

# Note

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# 1 Semisimplicity

## 1.1 Simplicity

**Definition.** A **division ring**  $K$  is a ring with  $1 \neq 0$  such that every non-zero element is a unit.

- Every non-zero module  $M$  over  $K$  has a basis, and the cardinalities of two bases are the same. We call this cardinality the **dimension** of  $M$  over  $K$ .

*Proof.* For simplicity, assume  $M$  admits a finite generating set  $S = \{s_i\}_{i=1}^m$ . We prove the replacement theorem: if  $T$  is a  $K$ -linearly independent subset of  $M$ , then we can find  $T' \subseteq S$  with  $\#T' = \#T$  such that  $(S \setminus T') \cup T$  still generates.

We prove this by induction on  $n = \#T$ ,  $n = 0$  being nothing to do. Assume  $n \geq 1$ , and write  $T = \{v_1, \dots, v_n\}$ . By induction we can find  $T'' \stackrel{\text{say}}{\cong} \{s_1, \dots, s_{n-1}\} \subseteq S$  such that  $(S \setminus T'') \cup (T \setminus \{v_n\})$  generates. Write  $v_n = a_1 v_1 + \dots + a_{n-1} v_{n-1} + a_n s_n + \dots + a_m s_m$  for some  $a_i \in K$ . Since  $T$  is linearly independent, at least one of  $a_n, \dots, a_m$  is nonzero, say  $a_n \neq 0$ . Then

$$s_n = -(a_n^{-1} a_n v_1 + \dots + a_n^{-1} a_{n-1} v_{n-1} + a_n^{-1} v_n + a_n^{-1} a_{n+1} s_{n+1} + \dots + a_n^{-1} a_m s_m)$$

Take  $T' = T'' \cup \{s_n\}$ ; then  $(S \setminus T') \cup T$  generates. □

**Definition.** Let  $R$  be a ring. An  $R$ -module is **simple** if it is non-zero and it contains no proper trivial submodule.

**Proposition 1.1** (Schur's lemma). Let  $E, F$  be simple  $R$ -module. Then every non-zero  $R$ -homomorphism from  $E$  to  $F$  is an isomorphism. In particular,  $\text{End}_R(E)$  is a division ring.

*Proof.* Let  $f : E \rightarrow F$  be a nonzero homomorphism. Then  $\ker f \subseteq E$  and  $\text{Im } f \subseteq F$ ; by simplicity, we must have  $\ker f = 0$  and  $\text{Im } f = F$ . Thus  $f : E \rightarrow F$  is an isomorphism. □

**Proposition 1.2.** Let  $E = E_1^{n_1} \oplus \dots \oplus E_r^{n_r}$  be a direct sum of simple modules, the  $E_i$  being non-isomorphic, and each  $E_i$  being repeated  $n_i$  times in the sum. Then, up to a permutation,  $E_1, \dots, E_r$  are uniquely determined up to isomorphisms, and the multiplicities  $n_1, \dots, n_r$  are uniquely determined.

*Proof.* Suppose there is an isomorphism

$$E_1^{n_1} \oplus \dots \oplus E_r^{n_r} \longrightarrow F_1^{m_1} \oplus \dots \oplus F_s^{m_s}$$

where the  $E_i$  are non-isomorphic, and the  $F_j$  are non-isomorphic. By Schur's lemma, we see each  $E_i$  must be isomorphic to some  $F_j$ , and vice versa. It follows that  $r = s$  and after a permutation,  $E_i \cong F_i$ . Furthermore, the isomorphism must induce an isomorphism

$$E_i^{n_i} \longrightarrow F_i^{m_i}$$

for each  $i$ . Since  $E_i \cong F_i$ , we may assume  $E_i = F_i$ . Hence we are reduced to proving: if  $E$  is a simple module and  $E^n \cong E^m$ , then  $n = m$ . Since  $\text{End}_R(E^n)$  is an  $\text{End}_R(E) = K$ -vector space isomorphic to the  $n \times n$  matrix ring  $M_n(E)$ , which has dimension  $n^2$  over  $K$ . Thus the multiplicity  $n$  is uniquely determined. □

## 1.2 Semisimplicity

Let  $R$  be a ring.

**Proposition 1.3.** For an  $R$ -module  $E$ , TFAE:

- (i)  $E$  is a sum of a family of simple submodules.
- (ii)  $E$  is the direct sum of a family of simple submodules.
- (iii) Every submodule  $F$  of  $E$  is a direct summand of  $E$ .

If  $E$  satisfies one of the both condition,  $E$  is called **semisimple**.

*Proof.*

- (i)  $\Rightarrow$  (ii) Say  $E = \sum\{E_i \mid i \in I\}$  with  $E_i \leq E$ . Let  $J \subseteq I$  be a maximal subset such that the sum  $E' = \sum\{E_j \mid j \in J\}$  is direct. To show (ii), it suffices to show each  $E_i$  ( $i \in I$ ) is contained in the sum. For each  $E_i$ ,  $E_i \cap E'$  is a submodule of  $E_i$ , so it is either 0 or  $E_i$ ; if it is 0, then  $J$  is not maximal, a contradiction.
- (ii)  $\Rightarrow$  (iii) Say  $E = \sum\{E_i \mid i \in I\}$  with  $E_i \leq E$  and the sum being direct. Let  $J \subseteq I$  be the maximal subset such that the sum  $F + \sum\{E_j \mid j \in J\}$  is direct. The argument above shows (iii).
- (iii)  $\Rightarrow$  (i) We first show every nonzero submodule of  $E$  contains a simple module, and it suffices to consider the principal submodule  $Rv$  with  $E \ni v \neq 0$ . The kernel of the homomorphism  $R \rightarrow Rv$  is a proper left ideal  $L$  of  $R$ , and thus is contained in a maximal ideal  $M$  of  $R$ . Then  $M/L$  is a maximal (proper) submodule of  $R/L$ , and hence  $Mv$  is a maximal (proper) submodule of  $Rv$  being isomorphic to  $M/L$  under the isomorphism  $R/L \rightarrow Rv$ . Write  $E = Mv \oplus M'$  for some submodule  $M'$ . Then  $Rv = Mv \oplus (M' \cap Rv)$ , for  $x \in Rv$  can be written as  $x = mv + m'$ , and  $m' = x - mv \in Rv$ . Since  $Mv$  is maximal,  $M' \cap Rv$  is simple.

Let  $E'$  be the sum of all simple submodules of  $E$ . If  $E' \neq E$ , then  $E = E' \oplus F$  for some  $F \neq 0$ , and there exists a simple submodule of  $F$  as proved above, a contradiction to the definition of  $E'$ .

□

**Proposition 1.4.** Every submodule or quotient module of a semisimple module is semisimple.

*Proof.* Let  $E$  be a semisimple module and  $F$  be a submodule of  $E$ . Let  $F'$  be the sum of all simple submodules of  $F$  and write  $E = F' \oplus F''$  for some  $F''$ . Every element  $x \in F$  has a unique expression  $x = x' + x''$  with  $x' \in F'$  and  $x'' \in F''$ , and so  $x'' = x - x' \in F$ . Hence  $F = F' \oplus (F'' \cap F)$ . Then we must have  $F = F'$  (otherwise,  $F'' \cap F$  contains a simple submodule of  $F$ ).

For the quotient module, write  $E = F \oplus F'''$  for some  $F'''$ ; then  $E/F \cong F'''$  is semisimple as shown above.

□

## 1.3 Jacobson's Density Theorem

Let  $E$  be a semisimple  $R$ -module. Let  $R' = \text{End}_R(E)$ . There is a  $R$ -bilinear pairing

$$\begin{aligned} R' \times E &\longrightarrow E \\ (\varphi, x) &\longmapsto \varphi(x) \end{aligned}$$

and thus a homomorphism  $R' \rightarrow \text{End}_R(E)$ , making  $E$  an  $R'$ -module. There is also a homomorphism  $R \rightarrow \text{End}_{R'}(E)$ , given by  $R \ni r \mapsto [f_r : x \mapsto rx]$ . This is due to the fact  $\varphi(rx) = r\varphi(x)$  for all  $\varphi \in R'$ . We ask how large is the image of this homomorphism.

**Theorem 1.5** (Jacobson). Let  $E$  be semisimple over  $R$  and let  $R' = \text{End}_R(E)$ . Let  $f \in \text{End}_{R'}(E)$ . For  $x_1, \dots, x_n \in E$  there exists  $r \in R$  such that  $rx_i = f(x_i)$  for  $i = 1, \dots, n$ . In particular, if  $E$  is finite over  $R'$ , then the natural map  $R \rightarrow \text{End}_{R'}(E)$  is surjective.

We equip  $R$  and  $E$  with discrete topology and equip  $\text{End}_{R'}(E)$  with pointwise convergence topology;  $E$  being discrete, the topology on  $\text{End}_{R'}(E)$  is the same as the compact-open topology. The theorem above then shows that the homomorphism  $R \rightarrow \text{End}_{R'}(E)$  is dense.

*Proof.* (of [Theorem 1.5](#)) First consider the case  $n = 1$ . Since  $E$  is semisimple, we can write  $E = Rx \oplus F$  for some  $F$ . Let  $\pi : E \rightarrow Rx$  be the projection; then  $\pi \in R'$ , and hence  $f(x) = f(\pi x) = \pi f(x)$ . Thus  $f(x) \in Rx$ , as wanted. For general  $n \geq 1$ , consider  $E^n$  and  $F := \text{End}_R(E^n)$ . We need a lemma.

**Lemma 1.6.** Let  $E$  be an  $R$ -module,  $R' := \text{End}_R(E)$ ,  $n > 0$  and  $F = \text{End}_R(E^n)$ . If  $f \in \text{End}_{R'}(E)$ , then the homomorphism

$$\begin{aligned} f^n : E^n &\longrightarrow E^n \\ (x_1, \dots, x_n) &\longmapsto (f(x_1), \dots, f(x_n)) \end{aligned}$$

is  $F$ -linear.

*Proof.* Let  $\varphi \in F$ ; write  $\varphi = (\varphi_{ij})_{1 \leq i, j \leq n}$  with  $\varphi_{ij} \in \text{End}_R(E) = R'$  such that

$$\varphi(x_1, \dots, x_n) = \left( \sum_{j=1}^n \varphi_{1j} x_j, \dots, \sum_{j=1}^n \varphi_{nj} x_j \right)$$

Then since  $f \in \text{End}_{R'}(E)$ , it commutes with any element of  $R'$ , and thus

$$\begin{aligned} f^n(\varphi(x_1, \dots, x_n)) &= f^n \left( \sum_{j=1}^n \varphi_{1j} x_j, \dots, \sum_{j=1}^n \varphi_{nj} x_j \right) = \left( \sum_{j=1}^n f(\varphi_{1j} x_j), \dots, \sum_{j=1}^n f(\varphi_{nj} x_j) \right) \\ &= \left( \sum_{j=1}^n \varphi_{1j} f(x_j), \dots, \sum_{j=1}^n \varphi_{nj} f(x_j) \right) = \varphi(f^n(x_1, \dots, x_n)) \end{aligned}$$

□

Return to the proof. By Lemma,  $f^n \in \text{End}_F(E^n)$ . Since  $E^n$  is semisimple, by the first paragraph, applied to  $E^n$ , we can find  $r \in R$  such that  $r(x_1, \dots, x_n) = f^n(x_1, \dots, x_n)$ , as desired. □

**Corollary 1.6.1** (Burnside). Let  $E$  be a finite dimension vector space over an algebraically closed field  $k$  and let  $R$  be a subalgebra of  $\text{End}_k(E)$ . If  $E$  is a simple  $R$ -module, then  $R = \text{End}_{R'}(E)$ .

*Proof.* We contend  $\text{End}_R(E) = k$ . Since  $E$  is simple,  $R' = \text{End}_R(E)$  is a division ring containing  $k$  such that  $k \subseteq Z(R')$ . Let  $\alpha \in R'$ . Then  $k(\alpha)$  is a field. Furthermore,  $R'$  is contained in  $\text{End}_k(E)$  as a  $k$ -subspace, and therefore finite dimensional over  $k$ . Hence  $k(\alpha)/k$  is finite, and hence  $k(\alpha) = k$  for  $k$  is algebraically closed. This proves that  $R' = k$ .

Now let  $\{v_1, \dots, v_n\}$  be a  $k$ -basis for  $E$ . Let  $A \in \text{End}_k(E)$ . By Jacobson's density theorem, there exists  $r \in R$  such that  $rv_i = Av_i$  for  $i = 1, \dots, n$ . Since the effect of  $A$  is determined by its effect on a basis, we conclude  $R = \text{End}_k(E)$ . □

The above Corollary is used in the following situation. Let  $E$  be a finite dimensional vector space over  $k$ . Let  $G$  be a multiplicative submonoid of  $\text{GL}(E)$ . A  $G$ -invariant subspace  $F$  of  $E$  is such that  $\sigma F \subseteq F$  for all  $\sigma \in G$ . We say  $E$  is  $G$ -simple if it has no trivial proper  $G$ -invariant subspace. Let  $R = k[G]$  be the

subalgebra of  $\text{End}_k(E)$  generated by  $G$  over  $k$ . Since  $G$  is assumed to be a monoid, it follows that  $R$  consists of the linear combination

$$\sum a_i \sigma_i$$

with  $a_i \in k$  and  $\sigma_i \in G$ . Then we see a subspace  $F$  of  $E$  is  $G$ -invariant if and only if it is  $R$ -invariant. Thus  $E$  is  $G$ -simple if and only if it is  $R$ -simple.

**Corollary 1.6.2.** Let  $E$  be a finite dimensional vector space over  $k$  and let  $G$  be a multiplicative submonoid of  $\text{GL}(E)$ . If  $E$  is  $G$ -simple, then  $k[G] = \text{End}_k(E)$ .

When  $k$  is not algebraically closed, we still get some result.

**Definition.** An  $R$ -module  $E$  is **faithful** if the structure homomorphism  $R \rightarrow \text{End}_{\mathbb{Z}}(E)$  is injective.

**Corollary 1.6.3** (Wedderburn). Let  $R$  be a ring and  $E$  a simple faithful  $R$ -module. Let  $D = \text{End}_R(E)$  and assume that  $E$  is finite dimensional over  $D$ . Then  $R = \text{End}_D(E)$ .

*Proof.* Let  $\{v_1, \dots, v_n\}$  be a  $D$ -basis for  $E$ . Given  $A \in \text{End}_D(E)$ , by Jacobson's density theorem there exists  $r \in R$  such that  $rv_i = Av_i$  for  $i = 1, \dots, n$ . Hence  $R \rightarrow \text{End}_D(E)$  is surjective. Since  $E$  is faithful over  $R$ ,  $R \rightarrow \text{End}_D(E)$  is injective, and our corollary is proved.  $\square$

Suppose  $R$  is a finite dimensional  $k$ -algebra, and assume  $R$  has a unit element. If  $R$  has no trivial proper two-sided ideal, then any nonzero  $R$ -module  $E$  is faithful, for the kernel of  $R \rightarrow \text{End}_k(E)$  is a two sided ideal not equal to  $R$ . If  $E$  is simple, then  $E$  is finite dimensional over  $k$ . Then  $D = \text{End}_R(E)$  is a finite dimensional division algebra over  $k$ . Wedderburn's theorem gives a representation of  $R$  as the ring of  $D$ -endomorphisms of  $E$ .

**Corollary 1.6.4.** Let  $R$  be a ring, finite dimensional algebra over an algebraically closed field  $k$ . Let  $V$  be a finite dimensional vector space over  $k$  with a simple faithful representation  $\rho : R \rightarrow \text{End}_k(V)$ . Then  $\rho$  is an isomorphism; in other words,  $R \cong M_n(k)$ .

*Proof.* We apply Wedderburn's theorem with  $E = V$ . Note that  $D = \text{End}_R(V)$  is finite dimensional over  $k$ . Given  $\alpha \in D$ , since  $k(\alpha)$  is a commutative subfield of  $D$ , so  $k(\alpha) = k$  by assumption that  $k$  is algebraically closed.  $\square$

**Theorem 1.7.** Let  $k$  be a field,  $R$  a  $k$ -algebra, and  $V_1, \dots, V_m$  finite dimensional  $k$ -spaces which are also simple  $R$ -module, and such that  $V_i$  is not  $R$ -isomorphic to  $V_j$  for  $i \neq j$ . Then there exist elements  $e_i \in R$  such that  $e_i$  acts as the identity on  $V_i$  and  $e_i V_j = 0$  if  $j \neq i$ .

*Proof.* Let  $E = V_1 \oplus \dots \oplus V_m$ . Let  $p_i : E \rightarrow V_j$  be the canonical projection. We have  $p_i \in \text{End}_{R'}(E)$ , for if  $\varphi \in R'$ , then  $\varphi(V_j) \subseteq V_j$  by **Schur's lemma**. Since the  $V_j$  are finite dimensional over  $k$ , the result follows from **Jacobson's density theorem**.  $\square$

**Corollary 1.7.1** (Bourbaki). Let  $k$  be a field,  $R$  be a  $k$ -algebra and  $E, F$   $R$ -modules finite dimensional over  $k$ . Assume either

- (i)  $k$  is characteristic zero and  $E, F$  are semisimple over  $R$ .
- (ii)  $E, F$  are simple over  $R$ .

For each  $r \in R$  let  $r_E$  and  $r_F$  be the corresponding  $k$ -endomorphisms on  $E$  and  $F$  respectively. Suppose that  $\text{Tr}(r_E) = \text{Tr}(r_F)$  for all  $\alpha \in R$ . Then  $E \cong F$  as  $R$ -modules.

*Proof.* For (ii), assume otherwise. Then by Theorem we can find  $e \in R$  such that  $e_E = \text{id}_E$  and  $e_F(F) = 0$ . Then  $\dim_k E = \text{Tr}(e_E) = \text{Tr}(e_F) = 0$ , a contradiction (recall a simple module is nonzero).

For (i), let  $V$  be a simple  $R$ -module and suppose  $E = V^n \oplus E'$  and  $F = V^m \oplus F'$  with  $E'$  and  $F'$  contains no  $V$ . Let  $e \in R$  be such that  $e_V = \text{id}_V$  and 0 on  $E'$  and  $F'$ . Then

$$n \dim_k V = \text{Tr}(e_E) = \text{Tr}(e_F) = m \dim_k V$$

It follows that  $n = m$ . Note that the characteristic 0 is used, because the values of the trace are in  $k$ .  $\square$

In the language of representations, suppose  $G$  is a monoid, and we have two semisimple representations into finite dimensional  $k$ -spaces

$$\rho : G \rightarrow \text{End}_k(E) \quad \text{and} \quad \rho' : G \rightarrow \text{End}_k(F)$$

Assume that  $\text{Tr } \rho(\sigma) = \text{Tr } \rho'(\sigma)$  for all  $\sigma \in G$ . Then  $\rho$  and  $\rho'$  are isomorphic. Indeed, we let  $R = k[G]$ , so that  $\rho$  and  $\rho'$  extend to representations of  $R$ . By linearity one has that  $\text{Tr } \rho(r) = \text{Tr } \rho'(r)$  for all  $r \in R$ , so one can apply Corollary above.

## 2 Local $\zeta$ -integral on $GL(1)$

We first set up our notation. Let  $p \leq \infty$  be a rational prime and  $\mathbb{Q}_p$  the  $p$ -adic completion of  $\mathbb{Q}$ . The  $p$ -adic absolute value is denoted by  $|\cdot|_p : \mathbb{Q}_p \rightarrow \mathbb{R}_{\geq 0}$ .

- $p = \infty$ . Then  $|\cdot|_\infty = |\cdot|$  is the usual absolute value on  $\mathbb{R}$ .
- $p < \infty$ . Then  $|\cdot|_p$  is the normalized absolute value such that  $|p|_p = p^{-1}$ .

Then  $(\mathbb{Q}, |\cdot|_p)$  is a Banach space. If  $F$  is a finite extension of  $\mathbb{Q}_p$ , define  $|\cdot|_F : F \rightarrow \mathbb{R}_{\geq 0}$  by  $|a|_F := |N_{F/\mathbb{Q}_p}(a)|_p$ . Then  $|\cdot|_F$  is an absolute value on  $F$  and  $F$  is complete with respect to  $|\cdot|_F$ . Note that when  $F = \mathbb{C}$ ,  $|z|_{\mathbb{C}} = |z\bar{z}|$  is the square of the usual norm on  $\mathbb{C}$ .

Suppose  $p < \infty$ . Let  $dx$  be a Haar measure on  $\mathbb{Q}_p$ . Then  $\text{vol}(\mathbb{Z}_p, dx) \neq 0$ , and for  $a \in \mathbb{Q}_p$ ,

$$\text{vol}(a\mathbb{Z}_p, dx) = |a|_p \text{vol}(\mathbb{Z}_p, dx)$$

so that  $d(ax) = |a|dx$ , i.e.

$$\int_{\mathbb{Q}_p} f(xa^{-1})dx = |a| \int_{\mathbb{Q}_p} f(x)dx$$

for all  $f \in C_c(\mathbb{Q}_p)$ . This means  $\frac{dx}{|x|}$  is a Haar measure on  $\mathbb{Q}_p^\times$ .

$$\int_{\mathbb{Z}_p^\times} \frac{dx}{|x|} = \int_{\mathbb{Z}_p^\times} dx = (1 - p^{-1}) \text{vol}(\mathbb{Z}_p, dx)$$

We usually normalize  $dx$  so that  $\text{vol}(\mathbb{Z}_p, dx) = 1$ . Similarly, we normalized the Haar measure on  $\mathbb{Q}_p^\times$ , denoted by  $d^\times x$ , so that  $\text{vol}(\mathbb{Z}_p^\times, d^\times x) = \frac{1}{1 - p^{-1}} \frac{dx}{|x|}$ . When  $p = \infty$ , we simply take  $dx$  to be the Lebesgue measure and  $d^\times x = \frac{dx}{|x|}$ .

If  $p = \infty$ ,  $\mathbb{Q}_p = \mathbb{R}$ , let  $\psi_\infty : \mathbb{R} \rightarrow \mathbb{C}$  be given by  $\psi_\infty(x) = e^{2\pi i x}$ . If  $p < \infty$  by given by  $\psi_p(x) = e^{-2\pi i \{x\}}$ , where  $\{\cdot\} : \mathbb{Q}_p \rightarrow \mathbb{Q}$  is the fractional part

$$\left\{ \frac{a_{-n}}{p^n} + \frac{a_{1-n}}{p^{n-1}} + \cdots + \frac{a_{-1}}{p} + a_0 + \cdots \right\} := \frac{a_{-n}}{p^n} + \cdots + \frac{a_{-1}}{p} \in \mathbb{Q}$$

These are called the standard additive characters on  $\mathbb{Q}_p$ .

Let  $\mathcal{S}(\mathbb{Q}_p)$  be the **space of Schwartz-Bruhat functions** on  $\mathbb{Q}_p$ : when  $p = \infty$ ,  $\mathcal{S}(\mathbb{R})$  consists of the usual Schwartz functions on  $\mathbb{R}$ , and when  $p < \infty$ ,  $\mathcal{S}(\mathbb{Q}_p)$  is the space of all locally constant functions with compact support.

We define the **Fourier transform** on  $\mathcal{S}(\mathbb{Q}_p)$ :

$$\begin{aligned} \mathcal{S}(\mathbb{Q}) &\longrightarrow \mathcal{S}(\mathbb{Q}_p) \\ f &\longmapsto \hat{f}(x) := \int_{\mathbb{Q}_p} f(y)\psi_p(xy)dy \end{aligned}$$

**Example.**

1.  $p = \infty$ ,  $f(x) = e^{-\pi x^2}$ . Then  $\hat{f}(x) = f(x)$ .
2.  $p < \infty$ ,  $f(x) = \mathbb{I}_{a\mathbb{Z}_p}(x)$ ,  $a \in \mathbb{Q}_p$ . Then  $\widehat{\mathbb{I}_{a\mathbb{Z}_p}}(x) = |a| \mathbb{I}_{a^{-1}\mathbb{Z}_p}(x)$ . In particular,  $\widehat{\mathbb{I}_{\mathbb{Z}_p}} = \mathbb{I}_{\mathbb{Z}_p}$ .

**Proposition 2.1.**



1. If  $\varphi \in \mathcal{S}(\mathbb{Q}_p)$ , then  $\hat{\varphi} \in \mathcal{S}(\mathbb{Q}_p)$ .
2. We have  $\hat{\hat{\varphi}}(x) = \varphi(-x)$ .

In particular, the Fourier transform defines a bijection on  $\mathcal{S}(\mathbb{Q}_p)$ .

## 2.1 Functional equation for Riemann $\zeta$ -functions

Let  $\chi : (\mathbb{Z}/n\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$  be a Dirichlet character of conductor  $N$ . We extend  $\chi$  to  $\mathbb{Z}$  by setting  $\chi(n) = 0$  if  $(n, N) > 1$ . Define

$$L(s, \chi) := \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

This is absolutely convergent for  $\operatorname{Re} s > 1$ .

### Theorem 2.2.

- (i) For  $\operatorname{Re} s > 1$ , we have

$$L(s, \chi) = \prod_{p < \infty} \frac{1}{1 - \frac{\chi(p)}{p^s}}$$

- (ii)  $L(s, \chi)$  has an analytic continuation to the whole plane  $\mathbb{C}$  with the only simple pole at  $s = 1$ .
- (iii) We have the **functional equation**: define

$$\Lambda(s, \chi) := L(s, \chi) \cdot \begin{cases} \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) & , \text{ if } \chi(-1) = 1 \\ \pi^{-\frac{s+1}{2}} \Gamma\left(\frac{s+1}{2}\right) & , \text{ if } \chi(1) = 1 \end{cases}$$

where  $\Gamma(s)$  is the usual Gamma function, which has a meromorphic continuation with the only simple poles at  $s = 0, -1, -2, \dots$ . Then there exists a unique number  $W(\chi) \in S^1$ , called the **root number**, such that

$$\Lambda(1-s, \chi^{-1}) = N^{s-\frac{1}{2}} W(\chi) \Lambda(s, \chi)$$

We prove this theorem when  $\chi = 1$  is the trivial character. (i) is clear. For (ii) and (iii), we proceed as follows.

**Integral representation of the  $\zeta$ -function.** Define the  **$\theta$ -function**  $\theta : \mathbb{R} \rightarrow \mathbb{C}$  by

$$\theta(t) := \sum_{n \in \mathbb{Z}} e^{-\pi n^2 t}$$

This series converges compactly on  $\mathbb{R}$ . Consider the Mellin transform of  $\tilde{\theta} := \frac{1}{2}\theta - 1$ : for  $\operatorname{Re} s > 0$

$$\begin{aligned} \mathcal{M}(\tilde{\theta})(s) &:= \int_0^\infty \tilde{\theta}(t) t^s \frac{dt}{t} = \int_0^\infty \sum_{n \geq 1} e^{-\pi n^2 t} t^s \frac{dt}{t} = \sum_{n \geq 1} \int_0^\infty e^{-\pi n^2 t} t^s \frac{dt}{t} = \sum_{n \geq 1} \frac{1}{(\pi n^2)^s} \int_0^\infty e^{-t} t^s dt \\ &= \pi^{-s} \Gamma(s) \zeta(2s) = \Lambda(2s) \end{aligned}$$

**Poisson summation formula.**

**Theorem 2.3.** If  $\varphi \in \mathcal{S}(\mathbb{R})$ , then

$$\sum_{n \in \mathbb{Z}} \varphi(n) = \sum_{n \in \mathbb{Z}} \hat{\varphi}(n)$$

**Corollary 2.3.1.** For  $t > 0$ , we have

$$\theta(t) = \frac{1}{\sqrt{t}} \theta\left(\frac{1}{t}\right)$$

**The argument.**

## 2.2 Local $L$ -functions on $\mathbb{Q}_p$

Let  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  be a continuous group homomorphism.

- $p = \infty$ ,  $\mathbb{Q}_p = \mathbb{R}$ . Then  $\chi = |\cdot|^r \text{sign}^\epsilon$  for some  $r \in \mathbb{C}$  and  $\epsilon \in \{0, 1\}$ . Then we define

$$L(s, \chi) := \Gamma_{\mathbb{R}}(s + r + \epsilon)$$

where  $\Gamma_{\mathbb{R}}(s) := \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right)$ .

- $p < \infty$ .
  - $\chi$  **unramified**, i.e.,  $\chi|_{\mathbb{Z}_p^\times} \equiv 1$ . Then define

$$L(s, \chi) := \frac{1}{1 - \chi(p)p^{-s}}$$

- $\chi$  **ramified**, i.e.,  $\chi|_{\mathbb{Z}_p^\times} \neq 1$ . Then define

$$L(s, \chi) := 1$$

The function  $L(s, \chi)$  is called the  **$L$ -function** for  $\chi$ .

**Definition.** For  $\varphi \in \mathcal{S}(\mathbb{Q}_p)$  and  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ , define (formally) the **Tate integral/local  $\zeta$ -integral**

$$Z(\varphi, \chi, s) := \int_{\mathbb{Q}_p^\times} \varphi(x) \chi(x) |x|^s d^\times x, \quad s \in \mathbb{C}$$

**Example.** We compute Tate integrals of some test functions.

- $p = \infty$ ,  $\varphi(x) = e^{-\pi x^2}$  or  $x e^{-\pi x^2}$ .
- $p < \infty$ ,  $\chi$  unramified,  $\varphi = \mathbb{I}_{\mathbb{Z}_p}$ .
- $p < \infty$ ,  $\chi$  ramified,  $\varphi = \mathbb{I}_{1+p^n \mathbb{Z}_p}$ , where  $n = c(\chi)$  is the conductor.

## 2.3 Intrinsic definition for $L(s, \chi)$

For  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ , we can find  $\sigma_0 \in \mathbb{R}$  such that

$$\chi(x) = \chi^u(x) |x|^{\sigma_0}$$

where  $\chi^u : \mathbb{Q}_p^\times \rightarrow S^1$  is a unitary character. Then  $Z(\varphi, \chi, s) = Z(\varphi, \chi^u, s + \sigma_0)$  by definition. Thus in the study of local zeta integrals, we may assume  $\chi$  is unitary.

**Proposition 2.4.** If  $\chi$  is a unitary character,  $Z(\varphi, \chi, s)$  is absolutely convergent for  $\operatorname{Re} s > 0$ .

**Theorem 2.5.**

- (i) For  $\varphi \in \mathcal{S}(\mathbb{Q}_p)$  and  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}$ , the Tate integral  $Z(\varphi, \chi, s)$  has a meromorphic continuation to  $\mathbb{C}$ .
- (ii) For  $\varphi \in \mathcal{S}(\mathbb{Q}_p)$ ,

$$\Xi(\varphi, \chi, s) := \frac{Z(\varphi, \chi, s)}{L(s, \chi)}$$

is an entire function on  $\mathbb{C}$ .

- (iii) We have **local functional equation**:

$$\frac{Z(\hat{\varphi}, 1 - s, \chi^{-1})}{Z(\varphi, s, \chi)} = \gamma(s, \chi)$$

is a constant independent of  $\varphi \in \mathcal{S}(\mathbb{Q}_p)$ . The constant  $\gamma(s, \chi)$  is called the  $\gamma$ -factor for  $\chi$ .

**Remark 2.6.**

1. Let  $\mathcal{O}_{\mathbb{C}}$  be the ring of entire functions on  $\mathbb{C}$ . Then  $L(s, \chi)$  is the gcd of local zeta integrals, i.e.,

$$\sum_{\varphi \in \mathcal{S}(\mathbb{Q}_p)} \mathcal{O}_{\mathbb{C}} Z(\varphi, s, \chi) = \mathcal{O}_{\mathbb{C}} L(s, \chi)$$

in the field  $\operatorname{Frac} \mathcal{O}_{\mathbb{C}}$  of meromorphic functions on  $\mathbb{C}$ .

2. Consider  $\rho : \mathbb{Q}_p^\times \rightarrow \operatorname{Aut} \mathcal{S}(\mathbb{Q}_p)$  defined by right translation:  $\rho(x)\varphi(z) = \varphi(zx)$ . One computes

$$Z(\rho(x)\varphi, \chi, s) = \chi^{-1}|x|^{-s} Z(\varphi, \chi, s)$$

Hence

$$Z(\cdot, \chi, s) \in \operatorname{Hom}_{\mathbb{Q}_p^\times}((\rho, \mathcal{S}(\mathbb{Q}_p)), \chi^{-1}|\cdot|^{-s})$$

and the map

$$\varphi \mapsto \frac{Z(\varphi, \chi, s)}{L(s, \chi)} \Big|_{s=0} \in \operatorname{Hom}_{\mathbb{Q}_p^\times}(\mathcal{S}(\mathbb{Q}_p), \chi^{-1})$$

is a non-zero intertwining operator.

**Proposition 2.7.** Given  $\varphi_1, \varphi_2 \in \mathcal{S}(\mathbb{Q}_p)$ , we have

$$Z(\varphi_1, \chi, s) Z(\hat{\varphi}_2, \chi^{-1}, 1 - s) = Z(\varphi_2, \chi, z) Z(\hat{\varphi}_1, \chi^{-1}, 1 - s)$$

with  $0 < \operatorname{Re} s < 1$ .

As before we compute the ratio  $\frac{Z(\hat{\varphi}, 1 - s, \chi^{-1})}{Z(\varphi, s, \chi)}$  explicitly for some particular test function  $\varphi$ .

- $p = \infty$ .
- $p < \infty$ ,  $\chi$  unramified.
- $p < \infty$ ,  $\chi$  ramified.

**Definition.** Define the  $\epsilon$ -factor for  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$

$$\epsilon(s, \chi, \psi_p) = \begin{cases} i^\epsilon & , \text{ if } p = \infty, \chi = \text{sign}^\epsilon \cdot |\cdot|^n \\ 1 & , \text{ if } p < \infty, \chi \text{ unramified} \end{cases}$$

If  $p < \infty$  and  $\chi$  is ramified, let  $c(\chi)$  be the conductor of  $\chi$  and choose any  $t \in p^{c(\chi)}\mathbb{Z}_p^\times$ . Define

$$\begin{aligned} \epsilon(s, \chi, \psi_p) &= \int_{t^{-1}\mathbb{Z}_p^\times} \chi^{-1}(x)|x|^{-s}\psi_p(x)dx \\ &= |t|^{s-1}\chi(t) \int_{\mathbb{Z}_p^\times} \chi^{-1}(x)\psi_p\left(\frac{x}{t}\right) dx \end{aligned}$$

**Definition.** Define the  $\gamma$ -factor for  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$

$$\gamma(s, \chi, \psi_p) = \frac{L(1-s, \chi^{-1})}{L(s, \chi)} \epsilon(s, \chi, \psi_p)$$

**Theorem 2.8.**

$$\frac{Z(\hat{\varphi}, 1-s, \chi^{-1})}{Z(\varphi, s, \chi)} = \gamma(s, \chi, \psi_p)$$

for  $0 < \text{Re } s < 1$ .

**Lemma 2.9.** Let  $t \in p^c\mathbb{Z}_p^\times$ ,  $c = c(\chi) \geq 1$ .

1.  $\epsilon(s, \chi, \psi_p) = |t|^s \epsilon(0, \chi, \psi_p)$ .
2.  $\epsilon(0, \chi, \psi_p) \epsilon(0, \chi^{-1}, \psi_p) = |t|^{-1} \chi(-1)$

**Theorem 2.10.**  $Z(\varphi, \chi, s)$  has a meromorphic continuation to  $\mathbb{C}$ .

### 3 Haar measures

#### 3.1 $\mathrm{GL}_n(\mathbb{Q}_p)$ is unimodular

Let  $p \leq \infty$  be a prime. For  $X = (x_{ij}) \in \mathrm{GL}_n(\mathbb{Q}_p)$ , define

$$dX := |\det X|_p^{-n} \prod_{i,j=1}^n dx_{ij}$$

Then  $dX$  is a Haar measure on  $\mathrm{GL}_n(\mathbb{Q}_p)$ , and it is unimodular. To see this, note that  $\mathrm{GL}_n(\mathbb{Q}_p)$  is generated by the matrices of the forms:

- (i)  $A_{\mathbf{a}} := a_1 E_{11} + \cdots + a_n E_{nn}$  for  $\mathbf{a} = (a_i)_{1 \leq i \leq n} \in (\mathbb{Q}_p^\times)^n$ .
- (ii)  $B_{i,j,a} := I_n + a E_{ij}$  for  $a \in \mathbb{Z}_p$  (resp.  $\mathbb{R}$ ) and  $1 \leq i \neq j \leq n$ .
- (iii)  $C_{i,j} := I_n - E_{ii} - E_{jj} + E_{ij} + E_{ji}$  for  $1 \leq i \neq j \leq n$ .

We must show for  $\phi \in C_c(\mathrm{GL}_n(\mathbb{Q}_p))$  and  $A \in \mathrm{GL}_n(\mathbb{Q}_p)$ ,

$$\int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(X) dX = \int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(AX) dX = \int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(XA) dX$$

When  $A = A_{\mathbf{a}}$ , then doing change of variable  $a_i x_{ij} = y_{ij}$ , we have  $dy_{ij} = d(a_i x_{ij}) = |a_i|_p dx_{ij}$  and  $\det Y = \det AX = \det A \det X$ , so that

$$\int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(AX) dX = \int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(Y) \frac{|\det A|_p^n}{|\det Y|_p^n} \prod_{i,j} \frac{dy_{ij}}{|a_i|_p} = \int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(Y) dY = \int_{\mathrm{GL}_n(\mathbb{Q}_p)} \phi(X) dX$$

The same holds for  $Y = XA$ . For (ii) and (iii), note that under the open compact subgroup  $\mathrm{GL}_n(\mathbb{Z}_p)$  for  $p < \infty$  (resp. the unit cube when  $p = \infty$ ) is unchanged (resp. has the same volume) under the transformation  $X \mapsto B_{i,j,a}X$  and  $X \mapsto C_{i,j}X$ , so the Haar integral has the formula above. The same holds for the right translation.

#### 3.2 Basic representation theory

In the following we let  $p < \infty$  be a finite prime and  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ .

**Definition.**

1. Let  $V$  be a  $\mathbb{C}$ -vector space. We say  $(\rho, V)$  is a **representation** of  $G$  if  $\rho : G \rightarrow \mathrm{Aut}_{\mathbb{C}} V$  is a group homomorphism.
2. If  $(\rho_1, V_1)$  and  $(\rho_2, V_2)$  are representations of  $G$ , we define the space of **intertwining operators** to be

$$\mathrm{Hom}_G((\rho_1, V_1), (\rho_2, V_2)) := \{f \in \mathrm{Hom}_{\mathbb{C}}(V_1, V_2) \mid f(\rho_1(g)v) = \rho_2(g)f(v) \text{ for all } g \in G, v \in V\}$$

3. A representation  $(\rho, V)$  of  $G$  is **smooth** if for any  $v \in V$ , there exists an open subgroup  $U \leq G$  such that  $\rho(g)v = v$  for all  $g \in U$ . Equivalently,  $(\rho, V)$  is smooth if and only if

$$V = \bigcup_{n=1}^{\infty} V^{K_n}$$

where the  $K_n$  are the **standard open-compact subgroups** of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$  defined by

$$K_n = \{g \in \mathrm{GL}_2(\mathbb{Z}_p) \mid g \equiv I_2 \pmod{p^n}\} = I_2 + p^n M_2(\mathbb{Z}_p)$$

4. A representation  $(\rho, V)$  of  $G$  is **admissible** if for all open compact  $K \leq G$ , we have  $\dim_{\mathbb{C}} V^K < \infty$ .
5. A representation  $(\rho, V)$  is **irreducible** if  $V$  does not contain any proper nontrivial  $G$ -invariant subspace of  $V$ .

In the theory of representation of finite groups  $G$ , a representation  $(\rho, V)$  of  $G$  is equivalent to a  $\mathbb{C}[G]$ -module  $V$ , where

$$\mathbb{C}[G] := \{f : G \rightarrow \mathbb{C}\} = \mathbb{C}^G$$

and  $\mathbb{C}[G]$  acts on  $V$  by

$$\rho(f).v := \sum_{g \in G} f(g)\rho(g).v$$

for all  $f \in \mathbb{C}[G]$  and  $v \in V$ . Here  $\mathbb{C}[G]$  is a finite dimensional  $\mathbb{C}$ -algebra with multiplication given by the **convolution**: for  $f_1, f_2 \in \mathbb{C}[G]$ , define  $f_1 * f_2 \in \mathbb{C}[G]$  by

$$f_1 * f_2(x) := \sum_{g \in G} f_1(xg^{-1})f_2(g)$$

Then  $(\mathbb{C}[G], *)$  is a (usually non-commutative)  $\mathbb{C}$ -algebra, and  $V$  is a  $\mathbb{C}[G]$ -module.

In algebra,  $\mathbb{C}[G]$  usually denotes the **group ring** of  $G$ :

$$\mathbb{C}[G] = \bigoplus_{g \in G} \mathbb{C}[g]$$

with  $[g_1].[g_2] := [g_1g_2]$  for all  $g_1, g_2 \in G$ .

**Lemma 3.1.**  $(\mathbb{C}[G], *)$  is isomorphic to the group ring of  $G$  defined above, via the map  $\mathbf{1}_g \mapsto [g]$ , where  $\mathbf{1}_g$  is the characteristic function of the set  $\{g\}$ .

### 3.2.1 Hecke algebra

**Definition.** Let  $f : G = \mathrm{GL}_2(\mathbb{Q}_p) \rightarrow \mathbb{C}$  be a function.

1. For an open compact  $U \leq G$ ,  $f$  is called **bi  $U$ -invariant** if  $f(u_1gu_2) = f(g)$  for all  $u_1, u_2 \in U$  and  $g \in G$ . Equivalently,  $f$  descends to a map  $f : U \backslash G / U \rightarrow \mathbb{C}$  on the set of double cosets.
2. Define

$$\mathcal{H}(G) := \left\{ f : G \rightarrow \mathbb{C} \mid \text{supp } f \text{ is compact, } \exists U \underset{\substack{\text{cpt} \\ \text{open}}}{\leq} G \text{ such that } f \text{ is bi } U\text{-invariant.} \right\}$$

Fix a Haar measure  $dg$  on  $G$ . For  $f_1, f_2 \in \mathcal{H}(G)$ , define  $f_1 * f_2 \in \mathcal{H}(G)$  by

$$f_1 * f_2(x) := \int_G f_1(xg^{-1})f_2(g)dg$$

for all  $x \in G$ . Then  $(\mathcal{H}(G), *)$  is an associative  $\mathbb{C}$ -algebra, called the **Hecke algebra** of  $G = \mathrm{GL}_2(\mathbb{Q})_p$ .

Note that  $\mathcal{H}(G)$  has no unit element (for  $G$  is not compact). However, for every open compact  $U \leq G$ , define

$$e_U := \frac{1}{\mathrm{vol}(U, dg)} \mathbb{1}_U \in \mathcal{H}(G)$$

**Lemma 3.2.** Let  $U$  be an open compact subgroup of  $G$ .

1.  $e_U$  is idempotent, i.e.,  $e_U * e_U = e_U$ .
2. Put  $\mathcal{H}(G, U) := e_U \mathcal{H}(G) e_U$ . Then  $\mathcal{H}(G, U)$  is a  $\mathbb{C}$ -algebra with the identity  $e_U$ , and

$$\mathcal{H}(G, U) = \{f \in \mathcal{H}(G) \mid f \text{ is bi } U\text{-invariant}\}$$

In particular,  $e_U * f * e_U = f$  for  $f \in \mathcal{H}(G, U)$ .

Suppose  $(\rho, V)$  is a smooth admissible representation of  $G$ . Then we can view  $V$  as a  $\mathcal{H}(G)$ -module as follows. For  $f \in \mathcal{H}(G)$  and  $v \in V$ , define

$$\rho(f)v := \int_G f(g)\rho(g)v dg \in V$$

This is in fact a finite sum. Let  $U \leq G$  be compact open such that  $f$  is bi  $U$ -invariant and  $v \in V^U$ . Cover  $\text{supp } f$  by finitely many translations of  $U$ , say  $\text{supp } f = g_1 U \cup \dots \cup g_n U$ . Then

$$\rho(f).v = \sum_{i=1}^n f(g_i)\rho(g_i)v$$

**Lemma 3.3.**

- (i) For  $\phi_1, \phi_2 \in \mathcal{H}(G)$  and  $v \in V$ , one has  $\rho(\phi_1 * \phi_2)v = \rho(\phi_1)\rho(\phi_2)v$ . In particular, this means  $V$  is a  $\mathcal{H}(G)$ -module.
- (ii) For open compact  $U \leq G$ ,  $\rho(e_U)V = V^U$ .
- (iii) If  $V$  is an  $\mathcal{H}(G)$ -module, then  $V^U$  is an  $\mathcal{H}(G, U)$ -module for any open compact  $U \leq G$ .
- (iv)  $V$  is simple as a  $\mathcal{H}(G)$ -module if and only if each  $V^{K_n}$  is a simple  $\mathcal{H}(G, K_n)$ -module.

*Proof.*

- (i) Compute directly.

$$\begin{aligned} \rho(\phi_1 * \phi_2).v &= \int_G \phi_1 * \phi_2(g)\rho(g).v dg \\ &= \int_G \left( \int_G \phi_1(gh^{-1})\phi_2(h)dh \right) \rho(g).v dg \\ \text{(Fubini)} &= \int_G \int_G \phi_1(gh^{-1})\phi_2(h)\rho(g).v dg dh \\ \text{(invariant)} &= \int_G \int_G \phi_1(g)\phi_2(h)\rho(gh).v dg dh \\ &= \int_G \phi_1(g)\rho(g). \left( \int_G \phi_2(h)\rho(h).v dh \right) dg \\ &= \rho(\phi_1)\rho(\phi_2).v \end{aligned}$$

- (ii) This follows from (i) and [Lemma 3.2.1](#):  $\rho(e_U)\rho(e_U)V = \rho(e_U * e_U)V = \rho(e_U)V$ , so  $\rho(e_U)V \subseteq V^U$ . Conversely, we need to show  $\rho(e_U)V^U = V^U$ . For  $v \in V^U$ ,

$$\rho(e_U)v = \int_G e_U(x)\rho(g)v dg = \frac{1}{\text{vol}(U, dg)} \int_U \rho(g)v dg = v$$

- (iii) For  $f \in \mathcal{H}(G, U)$  we have  $e_U * f * e_U = f$  by [Lemma 3.2.2](#), so that

$$\rho(f)V^U = \rho(e_U)\rho(f)\rho(e_U)V^U \subseteq \rho(e_U)V = V^U$$

(iv) Let  $0 \neq W \subsetneq V^{K_n}$  be a proper submodule. Then  $\mathcal{H}(G)W = V$  as  $V$  is simple. Then

$$V^{K_n} = e_{K_n}V = e_{K_n}\mathcal{H}(G)W = \mathcal{H}(G, K_n)e_{K_n}W = \mathcal{H}(G, K_n)W = W$$

a contradiction. Conversely, let  $0 \leq W \subsetneq V$  be a proper  $\mathcal{H}(G)$ -module. Since  $W = \bigcup_{n=1}^{\infty} W^{K_n}$ ,  $0 \neq W^{K_n} \subsetneq V^{K_n}$  for some  $n$ , but this contradicts the simplicity of  $V^{K_n}$  as a  $\mathcal{H}(G, K_n)$ -module.  $\square$

**Proposition 3.4.** There is a bijection

$$\{\text{smooth admissible representation of } G\} \longleftrightarrow \{\text{smooth admissible } \mathcal{H}(G)\text{-module}\}$$

where a smooth admissible  $\mathcal{H}(G)$ -module  $(\rho, V)$  means that  $V = \bigcup_{n=1}^{\infty} \rho(e_{K_n})V$  with  $\dim_{\mathbb{C}} \rho(e_{K_n})V < \infty$ . Under this bijection, the irreducible representations of  $G$  correspond to simple  $\mathcal{H}(G)$ -modules.

### 3.2.2 Traces

In general, for  $V$  with  $\dim_{\mathbb{C}} V = \infty$  we cannot define naive trace  $\text{Tr}(\rho(g))$  for  $g \in G$ . Nevertheless, if  $V$  is smooth admissible, then for all  $f \in \mathcal{H}(G)$ ,  $f$  is bi  $U$ -invariant for some open compact  $U \leq G$ , so that  $e_U * f * e_U = f$ . Thus

$$\rho(f)V \subseteq \rho(e_U)V = V^U$$

so that  $\dim_{\mathbb{C}} \rho(f)V < \infty$ . Then we can define  $\text{Tr} \rho(f) := \text{Tr} \rho(f)|_{V^U}$ ; this is well-defined by the following elementary lemma.

**Lemma 3.5.** Let  $T : V \rightarrow V$  be a linear operator such that  $\text{Im} T \subseteq U, W$  for some finite-dimensional subspaces  $U, W$  of  $V$ . Then  $\text{Tr} T|_U = \text{Tr} T|_W$ .

*Proof.* It suffices to show  $\text{Tr} T|_U = \text{Tr} T|_{U \cap W}$ , so we may assume  $W \subseteq U$  in the first place. Let  $w_1, \dots, w_n$  be a basis for  $W$  and extend it to a basis  $w_1, \dots, w_n, u_1, \dots, u_m$  for  $U$ . Then by writing down the matrix explicitly we easily see  $\text{Tr} T|_U = \text{Tr} T|_W$ .  $\square$

**Theorem 3.6.** Let  $(\rho_1, V_1)$  and  $(\rho_2, V_2)$  be irreducible smooth admissible representation of  $G = \text{GL}_2(\mathbb{Q}_p)$ . If  $\text{Tr} \rho_1 = \text{Tr} \rho_2$  on  $\mathcal{H}(G)$ , then  $(\rho_1, V_1) \cong (\rho_2, V_2)$ .

*Proof.* We first prove a lemma.

**Lemma 3.7.** If for all  $n \in \mathbb{N}$  we have  $V_1^{K_n} \cong V_2^{K_n}$  as  $\mathcal{H}(G, K_n)$ -modules, then  $V_1 \cong V_2$  as  $\mathcal{H}(G)$ -modules.

*Proof.* Since  $K_1 \supseteq K_2 \supseteq K_3 \supseteq \dots$ , we have

$$V^{K_1} \subseteq V^{K_2} \subseteq V^{K_3} \subseteq \dots \subseteq V^{K_n} \subseteq \dots$$

and  $V = \bigcup_{n=1}^{\infty} V^{K_n}$  by smoothness. Fix a  $\sigma_1 \in \text{Isom}_{K_1}(V_1^{K_1}, V_2^{K_1})$  and let  $\sigma_2 \in \text{Isom}_{K_2}(V_1^{K_2}, V_2^{K_2})$ . Then

$$\sigma_2|_{V_1^{K_1}} \in \text{Isom}_{K_1}(V_1^{K_1}, V_2^{K_1})$$

Since each  $V_i$  is irreducible, by [Lemma 3.3\(iv\)](#) each  $V_i^{K_n}$  is a simple  $\mathcal{H}(G, K_n)$ -modules, so by [Schur's lemma](#)  $\sigma_2|_{V_1^{K_1}} = \lambda \sigma_1$  for some  $\lambda \in \mathbb{C}^\times$ . Replacing  $\sigma_2$  by  $\lambda^{-1} \sigma_2$ , we may assume  $\sigma_2|_{V_1^{K_1}} = \sigma_1$ . Continuing in this way, we can construct  $\sigma \in \text{Isom}_G(V_1, V_2)$  such that  $\sigma|_{V_1^{K_n}} = \sigma_n$  for each  $n$ .  $\square$

By this [Lemma](#), it suffices to show  $V_1^{K_n} \cong V_2^{K_n}$  as  $\mathcal{H}(G, K_n)$ -modules for each  $n \in \mathbb{N}$ . Since each  $V_i^{K_n}$  is a simple  $\mathcal{H}(G, K_n)$ -module and  $\text{Tr} \rho_1 = \text{Tr} \rho_2$  on  $\mathcal{H}(G, K_n)$  by assumption, it follows from [Jacobson's density theorem](#) that  $V_1^{K_n} \cong V_2^{K_n}$  for each  $n \in \mathbb{N}$ , hence the theorem.  $\square$



### 3.3 Contragredient representation

Let  $G = \mathrm{GL}_2(\mathbb{Q}_p)$  with  $p < \infty$  a finite prime, and  $(\pi, V)$  a smooth admissible representation of  $G$ . Put  $V^* := \mathrm{Hom}_{\mathbb{C}}(V, \mathbb{C})$  to be the **algebraic dual** of  $V$ , and define

$$\pi^\vee : G \longrightarrow \mathrm{Aut}_{\mathbb{C}}(V^\vee)$$

by  $\pi^\vee(g)\Lambda(v) := \Lambda(\pi(g^{-1})v)$ .  $V^*$  is too big to be smooth. To fix this, define the **smooth dual**

$$V^\vee = \left\{ \Lambda \in V^* \mid \exists U \underset{\substack{\text{open} \\ \text{cpt}}}{\leq} G \text{ such that } \pi^\vee(g)\Lambda = \Lambda \text{ for all } g \in U \right\}$$

A linear functional  $\Lambda \in V^\vee$  is the smooth dual is said to be **smooth**.

**Definition.**  $(\pi^\vee, V^\vee) := (\pi^\vee|_{V^\vee}, V^\vee)$  is called the **contragredient representation** of  $(\pi, V)$ .

Let

$$\begin{aligned} \langle \cdot, \cdot \rangle : V \times V^\vee &\longrightarrow \mathbb{C} \\ (v, \Lambda) &\longmapsto \langle v, \Lambda \rangle := \Lambda(v) \end{aligned}$$

be the canonical pairing.

**Lemma 3.8.** If  $0 \rightarrow U \xrightarrow{\alpha} V \xrightarrow{\beta} W \rightarrow 0$  is an exact sequence of smooth admissible  $G$ -modules, then

$$0 \rightarrow W^\vee \xrightarrow{\beta^*} V^\vee \xrightarrow{\alpha^*} U^\vee \rightarrow 0$$

is also exact.

*Proof.*

- Suppose  $\Lambda \in W^\vee$  such that  $\beta^*\Lambda = \Lambda \circ \beta = 0$ . Since  $V \xrightarrow{\beta} W$  is surjective,  $\Lambda = 0$ .
- Let  $\Lambda \in U^\vee$ . Then we can find  $\Lambda' \in V^*$  in the algebraic dual such that  $\alpha^*\Lambda' = \Lambda$ . Let  $K \leq G = \mathrm{GL}_2(\mathbb{Q}_p)$  be a compact open subgroup such that  $\pi^\vee(e_K)\Lambda = \Lambda$ . Then

$$\begin{aligned} \alpha^*(\pi^\vee(e_K)\Lambda')(v) &:= \int_G e_K(g)\Lambda'(\pi(g^{-1})\alpha v)dg = \int_G e_K(g)\Lambda'(\alpha\pi(g^{-1})v)dg \\ &= \int_G e_K(g)\alpha^*\Lambda'(\pi(g^{-1})v)dg \\ &= \pi^\vee(e_K)(\alpha^*\Lambda')(v) = \pi^\vee(e_K)\Lambda(v) = \Lambda(v) \end{aligned}$$

Since  $\pi^\vee(e_K)\Lambda' \in V^\vee$  is smooth (see [Homework 2](#)), this shows the surjectivity of  $\alpha^*$ .

- Suppose  $\Lambda \in V^\vee$  is such that  $\alpha^*\Lambda = 0$  in  $U^\vee$ . Then we can find  $\Lambda' \in W^*$  in the algebraic dual such that  $\beta^*\Lambda' = \Lambda$ . The same argument as above says we can replace  $\Lambda'$  by a smooth one.

□

**Proposition 3.9.** Let  $(\pi, V)$  be a smooth admissible representation.

- For all compact open  $K \leq G$ , the restriction  $\Lambda \mapsto \Lambda|_{V^K}$  is an isomorphism  $(V^\vee)^K \rightarrow (V^K)^*$ .
- $(\pi^\vee, V^\vee)$  is admissible.
- The pairing  $\langle \cdot, \cdot \rangle : V \times V^\vee \rightarrow \mathbb{C}$  is a perfect pairing, in the sense that for all compact open  $K \leq G$ , the induced map  $V^K \times (V^\vee)^K \rightarrow \mathbb{C}$  is perfect. In particular,  $V \cong (V^\vee)^\vee$ .

*Proof.*

(i) Suppose  $\Lambda \in (V^\vee)^K$  such that  $\Lambda|_{V^K} = 0$ . Then for  $v \in V$

$$\begin{aligned}\Lambda(v) &= \pi^\vee(e_K)\Lambda(v) \\ &= \int_G e_K(g)\Lambda(\pi(g^{-1})v)dg \\ &= \Lambda \int_G e_K(g)\pi(g^{-1})v dg = \Lambda \int_G e_K(g^{-1})\pi(g)v dg \\ &= \Lambda(\pi(e_K)v) = 0\end{aligned}$$

for  $\pi(e_K)v \in V^K$ . Hence  $\Lambda = 0$ , proving the injectivity.

For the surjectivity, let  $\Lambda \in (V^K)^*$  and pick  $\Lambda' \in V^*$  in the algebraic dual such that  $\Lambda'|_{V^K} = \Lambda$ . But as in the proof of [Lemma 3.8](#), we have

$$(\pi^\vee(e_K)\Lambda')|_{V^K} = \pi^\vee(e_K)(\Lambda'|_{V^K}) = \pi^\vee(e_K)\Lambda = \Lambda$$

Since  $\pi^\vee(e_K)\Lambda' \in (V^\vee)^K$ , we are done.

(ii) By (i),  $\dim_{\mathbb{C}}(V^\vee)^K = \dim_{\mathbb{C}}(V^K)^* = \dim_{\mathbb{C}} V^K < \infty$ .

(iii) This follows from (i), (ii), the fact (iii) holds trivially in the finite dimensional case, and [Lemma 3.7](#). □

**Remark 3.10.** For  $\phi \in \mathcal{H}(G)$  and  $\Lambda \in V^*$ , we always have  $\pi^\vee(\phi)\Lambda \in V^\vee$ . This is the  $p$ -adic analogue of approximation by smooth functions.

Suppose  $(\pi, V)$  an *irreducible* smooth admissible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ . Consider a new representation defined by

$$\begin{aligned}\check{\pi} : G &\longrightarrow \mathrm{Aut}_{\mathbb{C}}(V) \\ g &\longmapsto \check{\pi}(g) := \pi({}^t g^{-1})\end{aligned}$$

Then  $(\check{\pi}, V)$  is also irreducible smooth admissible.

**Theorem 3.11.** There is an isomorphism  $(\check{\pi}, V) \cong (\pi^\vee, V^\vee)$ .

### 3.4 Td-space

**Definition.**

1. A topological space is a **td-space** if it admits a compact open basis. Equivalently, it is a totally disconnected locally compact space.
2. A topological group is a **td-group** if its underlying space is a td-space.

In the following let  $X$  be a td-space. We put

$$\begin{aligned}\mathcal{S}(X) &:= \{\phi : X \rightarrow \mathbb{C} \mid \phi \text{ is smooth (i.e. locally constant) with compact support}\} (= C_c^\infty(X)) \\ \mathcal{D}(X) &:= \mathrm{Hom}_{\mathbb{C}}(\mathcal{S}(X), \mathbb{C}) \quad (\text{no continuity is concerned})\end{aligned}$$

**Lemma 3.12.** For closed  $Z \subseteq X$ , we have an exact sequence

$$0 \longrightarrow \mathcal{S}(X - Z) \longrightarrow \mathcal{S}(X) \longrightarrow \mathcal{S}(Z) \longrightarrow 0$$

The first arrow is “extending by zero”, and the second arrow is the restriction.

*Proof.* If  $\phi \in \mathcal{S}(X)$  such that  $\phi|_Z = 0$ , then since  $\phi$  is locally constant, we can find open  $W$  containing  $Z$  such that  $\phi|_W \equiv 0$ , implying  $\text{supp } \phi \subseteq X - W \subseteq X - Z$ , i.e.  $\phi \in \mathcal{S}(X - Z)$ . This shows the complex is exact in the middle.

To show the complex is exact in the last position, note that by definition  $\mathcal{S}(Z)$  is generated by the  $\mathbf{1}_V$ 's, where  $V = U \cap Z$  for open  $U$  in  $X$ ; then  $\mathbf{1}_V = \mathbf{1}_U|_Z$ , showing the exactness.  $\square$

We can regard  $\mathcal{S}(X)$  as a  $\mathbb{C}$ -algebra, with pointwise multiplication; note that  $\mathcal{S}(X)$  has no identity element unless  $X$  is compact. For  $x \in X$ , put

$$\mathfrak{m}_x := \{\phi \in \mathcal{S}(X) \mid \phi(x) = 0\} \trianglelefteq \mathcal{S}(X)$$

Then  $\mathcal{S}(X)/\mathfrak{m}_x \cong \mathbb{C}$ .

**Definition.**

1. A  $\mathcal{S}(X)$ -module  $M$  is **smooth** if for all  $m \in M$ , there exists open compact  $V \subseteq X$  such that  $\mathbf{1}_V.m = m$ .
2. The **fibre** of  $M$  at  $x \in X$  is defined as  $M_x := \frac{M}{\mathfrak{m}_x M}$ .

**Lemma 3.13.** Let  $M$  be a smooth  $\mathcal{S}(X)$ -module.

- (i)  $m \in \mathfrak{m}_x M \Leftrightarrow \mathbf{1}_V.m = 0$  for all sufficiently small open compact neighborhoods  $V$  of  $x$ .
- (ii) If  $M_x = 0$  for all  $x \in X$ , then  $M = 0$ .

*Proof.*

- (i) Assume  $m = \phi.m'$  for some  $\phi \in \mathfrak{m}_x$ ; then we can find an open compact neighborhood  $W$  of  $x$  such that  $\phi|_W \equiv 0$ . Then for all  $V \subseteq W$  sufficiently small,  $\mathbf{1}_V.m = \underbrace{\mathbf{1}_V \phi}_{=0}.m' = 0$ .

For the converse, take an open compact  $V$  such that  $\mathbf{1}_V.m = m$  by virtue of smoothness. If  $x \notin V$ , then  $\mathbf{1}_V \in \mathfrak{m}_x$  so that  $m = \mathbf{1}_V.v \in \mathfrak{m}_x M$ . If  $x \in V$ , then by assumption, then we can find  $x \in W \subseteq V$  small enough such that  $\mathbf{1}_W.m = 0$ . Thus

$$\mathbf{1}_{V-W}.m = \mathbf{1}_V.m - \mathbf{1}_W.m = m$$

Since  $\mathbf{1}_{V-W}(x) = 0$ ,  $m \in \mathfrak{m}_x M$ .

- (ii) Given  $m \in M$ , there exists an open compact  $V$  in  $X$  such that  $\mathbf{1}_W.m = 0$  for all open compact  $W \subseteq V \subseteq X$ . Since  $M_x = 0$  for all  $x \in X$ , then for each  $x \in V$  we can find an open compact  $x \in V_x \subseteq V$  such that  $\mathbf{1}_{V_x}.m = 0$ . Then

$$V = \bigcup_{x \in V} V_x = V_{x_1} \cup \cdots \cup V_{x_n}$$

for some  $x_1, \dots, x_n \in V$  by compactness. Put  $V_1 = V_{x_1}$ ,  $V_2 = V_{x_2} - V_{x_1}$ , and so on; then

$$V = V_1 \sqcup \cdots \sqcup V_n$$

Thus

$$m = \mathbf{1}_V m = \sum_{i=1}^n \mathbf{1}_{V_i} m = 0$$

the last equality resulting from the underlined statement.  $\square$

Suppose  $X, Y$  are td-spaces and  $f : Y \rightarrow X$  is a continuous map. Then  $\mathcal{S}(X)$  acts on  $\mathcal{S}(Y)$  via  $f$ , defined by

$$\phi.\xi(y) = \phi(f(y))\xi(y)$$

for all  $\phi \in \mathcal{S}(X)$ ,  $\xi \in \mathcal{S}(Y)$  and  $y \in Y$ . Then  $\mathcal{S}(Y)$  is a smooth  $\mathcal{S}(X)$ -module. Indeed, for  $\xi \in \mathcal{S}(Y)$ , since  $f(\text{supp } \phi)$  is compact, we can cover it by a finite number of open compact sets  $V_1 \dots, V_n$ ; denote their union by  $V$  and  $\phi = \mathbf{1}_V$ . Then if  $y \in \text{supp } \phi$ ,  $\phi(f(y))\xi(y) = \xi(y)$ , and if  $y \notin \text{supp } \phi$ ,  $\xi(y) = 0$ . Thus  $\phi.\xi = \xi$ .

In general,  $f^*(\mathcal{S}(X)) \not\subseteq \mathcal{S}(Y)$  unless  $f$  is proper.

**Proposition 3.14.** For  $x \in X$ , put  $Y_x := f^{-1}(x) \underset{\text{closed}}{\subseteq} Y$ . Then the restriction  $\mathcal{S}(Y) \rightarrow \mathcal{S}(Y_x)$  induces an isomorphism  $\mathcal{S}(Y)_x \cong \mathcal{S}(Y_x)$ .

*Proof.* By the exact sequence

$$0 \longrightarrow \mathcal{S}(X - Z) \longrightarrow \mathcal{S}(X) \longrightarrow \mathcal{S}(Z) \longrightarrow 0$$

it suffices to show that  $\mathcal{S}(Y - Y_x) = \mathfrak{m}_x \mathcal{S}(Y)$ . By definition we have  $\mathfrak{m}_x \mathcal{S}(Y) \subseteq \mathcal{S}(Y - Y_x)$ . Conversely, suppose  $\phi \in \mathcal{S}(Y - Y_x)$ . Since  $\text{supp } \phi$  is compact,  $f(\text{supp } \phi)$  is compact not containing  $x$ , and thus we can find an open neighborhood  $U$  of  $x$  such that  $f^{-1}(U)$  does not intersect with  $\text{supp } \phi$ . Now consider  $\mathbf{1}_U.\phi$ . If  $y \in \text{supp } \phi$ , then  $\mathbf{1}_U(f(y))\mathbf{1}_{f^{-1}(U)}(y) = 0$ ; if  $y \notin \text{supp } \phi$ , then  $\phi(y) = 0$ . From these we conclude  $\mathbf{1}_U.\phi = 0$ , and by [Lemma 3.13.\(i\)](#) we see  $\phi \in \mathfrak{m}_x \mathcal{S}(Y)$ .  $\square$

Consider  $X = G = \text{GL}_2(\mathbb{Q}_p)$ , and the right invariant distributions

$$\mathcal{D}(G)^G := \{\Delta \in \mathcal{D}(G) \mid \Delta(\rho_g \phi) = \Delta(\phi) \text{ for all } g \in G\}$$

where  $\rho_g \phi(x) := \phi(xg)$  for all  $x, g \in G$  and  $\phi \in \mathcal{S}(G)$ . The integral  $\int_G dg \in \mathcal{D}(G)^G \setminus \{0\}$ . Furthermore, we can show  $\mathcal{D}(G)^G = \mathbb{C} \int_G dg$ .

**Proposition 3.15.**  $\dim_{\mathbb{C}} \mathcal{D}(G)^G \leq 1$ .

*Proof.* It suffices to show that if  $\Delta \in \mathcal{D}(G)^G$  is such that  $\Delta(\mathbf{1}_{K_0}) = 0$  for some open compact subgroup  $K_0 \leq G$ , then  $\Delta \equiv 0$ . Suppose  $K \leq K_0$  is an open compact subgroup of  $K_0$ , and put  $\ell = [K_0 : K]$ ; the index is finite for  $K_0$  is compact and  $K$  is open. Then

$$K = K_0 g_1 \sqcup K_0 g_2 \sqcup \dots \sqcup K_0 g_\ell$$

so that  $\mathbf{1}_{K_0} = \rho_{g_1^{-1}} \mathbf{1}_K + \dots + \rho_{g_\ell^{-1}} \mathbf{1}_K$ . Thus

$$\Delta(\mathbf{1}_{K_0}) = \sum_{n=1}^{\ell} \Delta(\rho_{g_n^{-1}} \mathbf{1}_K) = \sum_{n=1}^{\ell} \Delta(\mathbf{1}_K) = \ell \cdot \Delta(\mathbf{1}_K)$$

Thus  $\Delta(\mathbf{1}_K) = 0$  for all sufficiently small open compact subgroups  $K$  of  $G$ . Since  $\mathcal{S}(G)$  is generated by the characteristic functions of all sufficiently small open compact subgroups, it follows that  $\Delta \equiv 0$ .  $\square$

### 3.5 Theorem

**Theorem 3.16.** If  $\Delta : \mathcal{H}(G) \rightarrow \mathbb{C}$  is a linear functional invariant under conjugation, then  $\Delta$  is also invariant under transpose.

## 4 Local Whittaker Functionals

### 4.1 Bessel distributions

### 4.2 Multiplicity one of Whittaker models

Let  $(\pi, V)$  be an irreducible smooth admissible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ , and  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}$  a nontrivial character. The set

$$W_{\pi, \psi} := \{\Lambda \in V^* \mid \Lambda(\pi(\mathbf{n}(x))v) = \psi(x)\Lambda(v)\}$$

is called the space of **Whittaker functionals**. Note that we are considering all *algebraic duals* of  $V$ , not only the smooth ones.

**Proposition 4.1.**  $\dim_{\mathbb{C}} W_{\pi, \psi} \leq 1$  (Homework 2)

**Proposition 4.2.** If  $\dim_{\mathbb{C}} V = 1$ , then  $\dim_{\mathbb{C}} W_{\pi, \psi} = 0$ .

*Proof.* Since  $\dim_{\mathbb{C}} V = 1$ ,  $\pi : G \rightarrow \mathrm{GL}(V) = \mathbb{C}^\times$  factors through the abelianization  $G^{\mathrm{ab}} \stackrel{\det}{\cong} \mathbb{Q}_p^\times$ , so that  $\pi(g)v = \chi(\det g)v$  for some character  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}$ . Then for  $\Lambda \in W_{\pi, \psi}$ , we have

$$\psi(x)\Lambda(v) = \Lambda(\pi(\mathbf{n}(x))v) = \Lambda(\chi(\det \mathbf{n}(x))v) = \Lambda(v)$$

Since  $\psi$  is chosen to be nontrivial, this implies  $\Lambda = 0$ . □

**Lemma 4.3.** If  $V^{N(\mathbb{Q}_p)} \neq 0$ , then  $\dim_{\mathbb{C}} V = 1$ , and  $\pi(g).v = \chi(\det g)v$  for some continuous character  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ .

*Proof.* Let  $0 \neq v \in V^{N(\mathbb{Q}_p)}$  and  $H \leq G$  the stabilizer of  $v$ . Then  $H \supseteq N(\mathbb{Q}_p)$  and  $H$  is open by smoothness. By openness we see

$$\begin{pmatrix} 1 & \\ a & 1 \end{pmatrix} \in H \text{ for } a \in p^n \mathbb{Z}_p, n \gg 0$$

Now use the very important identity in  $\mathrm{GL}_2(\mathbb{Q}_p)$ :

$$\begin{pmatrix} 1 & \\ a & 1 \end{pmatrix} = \begin{pmatrix} 1 & a^{-1} \\ & 1 \end{pmatrix} \begin{pmatrix} & a^{-1} \\ -a & \end{pmatrix} \begin{pmatrix} 1 & a^{-1} \\ & 1 \end{pmatrix}$$

This implies  $\begin{pmatrix} & a^{-1} \\ -a & \end{pmatrix} \in H$  for  $0 \neq |a| \rightarrow 0$ . Put  $w_0 := \begin{pmatrix} & a^{-1} \\ -a & \end{pmatrix}$ . Then

$$\begin{pmatrix} 1 & \\ x & 1 \end{pmatrix} = w_0^{-1} \begin{pmatrix} 1 & -a^2 x \\ & 1 \end{pmatrix} w_0 \in H$$

for all  $x \in \mathbb{Q}_p$ . Thus  $H$  contains  $\left\{ \begin{pmatrix} 1 & \\ x & 1 \end{pmatrix}, \begin{pmatrix} 1 & y \\ & 1 \end{pmatrix} \right\}_{x, y \in \mathbb{Q}}$ , a generating set of  $\mathrm{SL}_2(\mathbb{Q}_p)$ . Hence  $\mathrm{SL}_2(\mathbb{Q}_p) \leq H$ , so that  $V^{\mathrm{SL}_2(\mathbb{Q}_p)} \neq 0$ . Since  $\mathrm{SL}_2(\mathbb{Q}_p)$  is normal in  $G$ ,  $V^{\mathrm{SL}_2(\mathbb{Q}_p)}$  is  $G$ -invariant, and thus  $V = V^{\mathrm{SL}_2(\mathbb{Q}_p)}$  by irreducibility. This means the action of  $G$  on  $V$  factor through  $G/\mathrm{SL}_2(\mathbb{Q}_p) \stackrel{\det}{\cong} \mathbb{Q}_p^\times$  which is abelian. Thus  $\dim_{\mathbb{C}} V = 1$ , and the second statement follows at once. □

**Corollary 4.3.1.** If  $0 \neq \dim_{\mathbb{C}} V < \infty$ , then  $\dim_{\mathbb{C}} V = 1$ .

*Proof.* Choose a basis of  $V$  and consider the intersection  $U$  of their stabilizer in  $G$ . By smoothness and finiteness, it is a nonempty open subgroup. Let  $x \in \mathbb{Q}_p$  and take  $a \in \mathbb{Q}_p^\times$  making  $|ax| \rightarrow 0$  so small that  $\mathbf{n}(ax) \in U$ . Then

$$\begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} = \begin{pmatrix} a^{-1} & \\ & 1 \end{pmatrix} \begin{pmatrix} 1 & ax \\ & 1 \end{pmatrix} \begin{pmatrix} a & \\ & 1 \end{pmatrix}$$

so that  $\mathbf{n}(x) \in U$ . This shows  $N(\mathbb{Q}_p) \subseteq U$ , and thus  $\dim_{\mathbb{C}} V = 1$  by [Lemma 4.3](#).  $\square$

**Theorem 4.4.** Suppose  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$  be a nontrivial continuous homomorphism, and  $\dim_{\mathbb{C}} V > 1$ . Then  $\dim_{\mathbb{C}} W_{\pi, \psi} = 1$ .

*Proof.* It suffices to show  $W_{\pi, \psi} \neq 0$ . We proceed in the following steps.

- 1) Let  $1 \neq \psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$  be a continuous homomorphism. We know  $\psi(x) = \psi_p(ax)$  for some  $a \in \mathbb{Q}_p^\times$ . We contend that if  $W_{\pi, \psi_p} \neq 0$ , then  $W_{\pi, \psi} \neq 0$ . This is easy, for if we are given  $\Lambda \in W_{\pi, \psi_p}$ , then the map

$$\Lambda_a(v) := \Lambda\left(\pi \begin{pmatrix} a & \\ & 1 \end{pmatrix} v\right) \text{ lies in } W_{\pi, \psi}.$$

We prove the theorem by contradiction. By 1) we then have  $W_{\pi, \psi} = 0$  for all  $\psi \neq 1$ .

- 2) We equip  $V$  with another structure of smooth  $\mathcal{S}(\mathbb{Q}_p)$ -modules as follows: for  $\phi \in \mathcal{S}(\mathbb{Q}_p)$  and  $v \in V$ , define

$$\phi.v := \int_{\mathbb{Q}_p} \hat{\phi}(x) \pi(\mathbf{n}(x)) v dx$$

Here  $\hat{\phi}(x) := \int_{\mathbb{Q}_p} \phi(y) \psi_p(xy) dy$  is the Fourier transform. It is clear  $V$  then becomes an  $\mathcal{S}(\mathbb{Q}_p)$ -module. To see the smoothness, for  $v \in V$ , since  $(\pi, V)$  is smooth, we can find  $N \gg 0$  such that  $\pi(\mathbf{n}(x))v = v$  for  $x \in p^N \mathbb{Z}_p$ . Take  $\phi = \mathbf{1}_{p^{-N} \mathbb{Z}_p}$ . Then

$$\hat{\phi}(x) = \int_{p^{-N} \mathbb{Z}_p} \psi_p(xy) dy = p^N \mathbf{1}_{p^N \mathbb{Z}_p}(x)$$

so that

$$\phi.v = \int_{p^N \mathbb{Z}_p} p^N \pi(\mathbf{n}(x)) v dx = p^N \int_{p^N \mathbb{Z}_p} v dx = v$$

Consider the fibre of this  $\mathcal{S}(\mathbb{Q}_p)$ -action. For  $x \in \mathbb{Q}_p$ , by [Lemma 3.13.1](#),

$$\begin{aligned} \mathbf{m}_x V &= \{v \in V \mid \mathbf{1}_{x+p^n \mathbb{Z}_p} v = 0 \text{ for } n \gg 0\} \\ &= \left\{ v \in V \mid \int_{p^{-n} \mathbb{Z}_p} \psi_p(xy) \pi(\mathbf{n}(y)) v dy = 0 \text{ for } n \gg 0 \right\} \end{aligned}$$

On the other hand, for  $x \in \mathbb{Q}_p$  define

$$\begin{aligned} \psi_x : \mathbb{Q}_p &\longrightarrow \mathbb{C}^\times \\ y &\longmapsto \psi_p(-xy) \end{aligned}$$

and consider the subspace  $V_{\psi_x}(N) := \text{span}_{\mathbb{C}} \{\pi(\mathbf{n}(a))v - \psi_x(a)v \mid v \in V, a \in \mathbb{Q}_p\}$ . We contend the equality (**important!!**)

$$V_{\psi_x}(N) = \mathbf{m}_x V$$

$\subseteq$ : For  $v = \pi(\mathbf{n}(a))w - \psi_x(a)w$ .

$$\begin{aligned} \int_{p^{-n}\mathbb{Z}_p} \psi(xy)\pi(\mathbf{n}(y))v dy &= \int_{p^{-n}\mathbb{Z}_p} \psi(xy) (\pi(\mathbf{n}(a))w - \psi_x(a)w) dy \\ &= \int_{p^{-n}\mathbb{Z}_p} \psi_p(xy)\pi(\mathbf{n}(y+a))w dy - \int_{p^{-n}\mathbb{Z}_p} \psi_p(x(y-a))\pi(\mathbf{n}(y))w dy \\ &= 0 \end{aligned}$$

if  $n \gg 0$  so that  $a \in p^{-n}\mathbb{Z}_p$ .

$\supseteq$ : Let  $v \in \mathfrak{m}_x V$ . Then

$$0 = \int_{p^{-n}\mathbb{Z}_p} \psi_p(xy)\pi(\mathbf{n}(y))v dy$$

Take  $N \gg 0$  so that  $\pi(\mathbf{n}(t))v = v$  for  $t \in p^N\mathbb{Z}_p$  and  $xy \in \mathbb{Z}_p$  for all  $y \in p^{-n}\mathbb{Z}_p$ . Then

$$\begin{aligned} 0 &= \sum_{y \in p^{-n}\mathbb{Z}_p/p^N\mathbb{Z}_p} \psi_p(xy)\pi(\mathbf{n}(y))v \\ &= \sum_{y \in p^{-n}\mathbb{Z}_p/p^N\mathbb{Z}_p} \psi_p(xy) \left( \pi(\mathbf{n}(y))v - \underbrace{\psi_p(-xy)}_{=\psi_x(y)} v \right) + \# \frac{p^{-n}\mathbb{Z}_p}{p^N\mathbb{Z}_p} v \end{aligned}$$

and hence

$$v = -\# \left( \frac{p^{-n}\mathbb{Z}_p}{p^N\mathbb{Z}_p} \right)^{-1} \sum_{y \in p^{-n}\mathbb{Z}_p/p^N\mathbb{Z}_p} \psi_p(xy) (\pi(\mathbf{n}(y))v - \psi_x(y)v) \in V_{\psi_x}(N)$$

This proves the contention. Now  $V_x := \frac{V}{\mathfrak{m}_x V} = \frac{V}{V_{\psi_x}(N)}$ , so

$$V_x^* = W_{\pi, \psi_x}$$

3) Recall in 1) we are assuming  $W_{\pi, \psi_x} = 0$  for all  $x \neq 0$ . By [Lemma 3.13.2](#), we have an injection

$$V \hookrightarrow \prod_{x \in \mathbb{Q}_p} V_x = V_0 = \frac{V}{\mathfrak{m}_0 V}$$

This forces

$$0 = \mathfrak{m}_0 V = V_{\psi_0}(N) = \text{span}_{\mathbb{C}} \{ \pi(\mathbf{n}(a))v - v \mid v \in V, a \in \mathbb{Q}_p \}$$

so that  $V = V^{N(\mathbb{Q}_p)}$ . By [Lemma 4.3](#),  $\dim_{\mathbb{C}} V = 1$ , a contradiction to our assumption.  $\square$

We saw before that if  $V$  is a smooth admissible representation of  $G = \text{GL}_2(\mathbb{Q}_p)$ , then  $V$  is a module of the Hecke algebra  $\mathcal{H}(G)$ . In fact,  $\mathcal{H}(G) = \mathcal{S}(G)$  as sets, but with different ring multiplication:

$$\begin{aligned} (\mathcal{H}(G), *) : \phi_1 * \phi_2(x) &:= \int_G \phi_1(xg^{-1})\phi_2(g)dg \\ (\mathcal{S}(G), \cdot) : \phi_1 \cdot \phi_2(x) &:= \phi_1(x)\phi_2(x) \end{aligned}$$

When  $G = \mathbb{Q}_p$ , we can also define  $(\mathcal{H}(\mathbb{Q}_p), *)$ . But in this case, they are isomorphic as rings via the Fourier transform:

$$\begin{aligned} (\mathcal{H}(G), *) &\longrightarrow (\mathcal{S}(G), \cdot) \\ \phi &\longmapsto \hat{\phi} \end{aligned}$$

### 4.3 Uniqueness of Whittaker models

For a nontrivial continuous homomorphism  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$ , consider the space

$$W_\psi := \{W : G \rightarrow \mathbb{C} \mid W \text{ is locally constant, } W(\mathbf{n}(x)g) = \psi(x)W(g)\}$$

on which  $G$  acts by the right translation:  $\rho(g)W(x) = W(xg)$ .

**Theorem 4.5.** Let  $(\pi, V)$  be an irreducible smooth admissible representation with  $\dim_{\mathbb{C}} V = \infty$ . Then

$$\dim_{\mathbb{C}} \text{Hom}_G((\pi, V), (\rho, W_\psi)) = 1$$

*Proof.* Consider the maps

$$\begin{array}{ccc} \text{Hom}_G((\pi, V), (\rho, W_\psi)) & \xrightarrow{\sim} & W_{\pi, \psi} \\ f & \longmapsto & [\Lambda_f(v) = f(v)(1)] \\ [f_\Lambda(v)(g) = \Lambda(\pi(g)v)] & \longleftarrow & \Lambda \end{array}$$

The maps are well-defined and are mutually inverses. Hence the result follows from [Theorem 4.4](#). □

Let  $0 \neq f : (\pi, V) \rightarrow (\rho, W_\psi)$ . Since  $V$  is irreducible,  $f$  must be injective. Let

$$\text{Im } f := W_\psi(\pi)$$

This is called the **Whittaker model of  $(\pi, V)$  in  $(\rho, W_\psi)$** . We have  $(\rho, W_\psi(\pi)) \cong (\pi, V)$ , and [Theorem 4.5](#) is equivalent to the **uniqueness of the Whittaker model**, i.e.,

if  $(\rho, W_\psi(\pi))$  and  $(\rho, W_\psi(\pi)')$  are subrepresentations of  $(\rho, W_\psi)$ , each of which isomorphic to  $(\pi, V)$ , then

$$W_\psi(\pi) = W_\psi(\pi)' \text{ identically.}$$



## 5 Jacquet module

Let  $(\pi, V)$  be an irreducible smooth admissible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ . For a *continuous homomorphism*  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$ , put

$$V_\psi(N) = \left\{ \pi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v - \psi(x)v \mid x \in \mathbb{Q}_p, v \in V \right\} \subseteq V$$

Then we have two spaces

$$\begin{aligned} J_\psi(V) &:= V/V_\psi(N) \\ W_{\pi, \psi} &:= \left\{ \Lambda : V \rightarrow \mathbb{C} \mid \Lambda \left( \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v \right) = \psi(x)\Lambda(x) \right\} \\ &= J_\psi(V)^* = \mathrm{Hom}_{\mathbb{C}}(J_\psi(V), \mathbb{C}) \end{aligned}$$

**Theorem 5.1.** If  $\psi \neq 1$  and  $\dim_{\mathbb{C}} V > 1$ , then

$$\dim_{\mathbb{C}} J_\psi(V) = 1$$

*Proof.* This follows from [Theorem 4.4](#). □

If  $\psi = 1$ , we write

$$J(V) := J_1(V) = V/V(N)$$

where

$$V(N) = V_1(N) = \mathrm{span}_{\mathbb{C}} \left\{ \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v - v \mid x \in \mathbb{Q}_p, v \in V \right\}$$

$J(V)$  is called the **Jacquet module** of  $V$ .

**Lemma 5.2.** If  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$  be a continuous homomorphism, then there exists  $a \in \mathbb{Q}_p$  such that  $\psi(x) = \psi_p(ax)$  for all  $x \in \mathbb{Q}_p$ . Here  $\psi_p$  is the standard character on  $\mathbb{Q}_p$ :

$$\psi_p(x) = e^{-2\pi i \{x\}_p}$$

*Proof.* We show that  $\psi$  is trivial on  $p^N \mathbb{Z}_p$  for some  $N \gg 0$ . Let  $W$  be an sufficiently small open disk in  $\mathbb{C}$  with center 1:

$$W = \{z \in \mathbb{C}^\times \mid |z - 1| < \varepsilon\}$$

**Lemma 5.3.** If  $\varepsilon$  is small enough, then  $W$  contains no nontrivial subgroup of  $\mathbb{C}^\times$ .

*Proof.* Recall that  $\exp : \mathbb{C} \rightarrow \mathbb{C}^\times$  is a local diffeomorphism. Then we can find an open neighborhood  $U$  of 0 such that  $\exp|_U : U \rightarrow \exp(U) = W$  is an isomorphism. If  $W$  contains a nontrivial subgroup, then there exists  $U \ni z_0 \neq 0$  such that  $\exp(z_0)^n \in W$  for all  $n \in \mathbb{Z}$ , i.e.,  $nz_0 \in U$  for all  $n \in \mathbb{Z}$ , a contradiction. □

Pick  $W$  as in the lemma. Then  $\psi^{-1}(W)$  is an open set containing 0 in  $\mathbb{Q}_p$ , so we can find  $N \gg 0$  such that  $p^N \mathbb{Z}_p \subseteq \psi^{-1}(W)$ . The lemma implies  $\psi(p^N \mathbb{Z}_p) = \{1\}$ . Then for each  $n > 0$ ,

$$\begin{aligned} \psi|_{p^{-n} \mathbb{Z}_p} : \underbrace{\frac{p^{-n} \mathbb{Z}_p}{p^N \mathbb{Z}_p}}_{\text{a finite cyclic group}} &\rightarrow \mathbb{C} \end{aligned}$$

**Lemma 5.4.** The character group  $\widehat{\frac{p^{-n} \mathbb{Z}_p}{p^N \mathbb{Z}_p}}$  is generated by  $x \mapsto \psi_p(p^{-N} x)$ .

*Proof.* We have isomorphisms

$$\begin{array}{ccc} \frac{p^{-n}\mathbb{Z}_p}{p^N\mathbb{Z}_p} & \longrightarrow & \frac{\mathbb{Z}_p}{p^{n+N}\mathbb{Z}_p} \longrightarrow \frac{\mathbb{Z}}{p^{n+N}\mathbb{Z}} \\ x & \longmapsto & p^n x \\ & & x \longmapsto x \bmod p^{n+N} \end{array}$$

The character group  $\widehat{\frac{\mathbb{Z}}{p^{n+N}\mathbb{Z}}}$  is generated by the map  $x \mapsto e^{-2\pi i x p^{-(n+N)}}$ . The number  $\frac{x}{p^{n+N}}$  in the exponent can be replaced by the number  $\left\{ \frac{x}{p^{n+N}} \right\}_p$ . Thus  $\widehat{\frac{p^{-n}\mathbb{Z}_p}{p^N\mathbb{Z}_p}}$  is generated by the map

$$x \mapsto e^{-2\pi i \left\{ x p^{-N} \right\}_p} = \psi_p(p^{-N}x)$$

□

Thus can find  $a_n \in p^{-n}\mathbb{Z}_p$  such that

$$\psi(x) = \psi_p(a_n x) \text{ for all } x \in p^{-n}\mathbb{Z}_p$$

If  $x \in p^{-m}\mathbb{Z}_p$ ,  $m > n$ , then

$$\psi_p(a_m x) = \psi_p(a_n x) \text{ for all } x \in p^{-n}\mathbb{Z}_p$$

or  $\psi((a_m - a_n)x) = 1$  for all  $x \in p^{-n}\mathbb{Z}_p$ , or  $a_m - a_n \in p^n\mathbb{Z}_p$ . Thus  $\{a_n\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $\mathbb{Q}_p$ ; say  $a_n \rightarrow a \in \mathbb{Q}_p$ . Then  $\psi(x) = \psi_p(ax)$  for all  $x \in \mathbb{Q}_p$ . □

Let

$$T = \left\{ \begin{pmatrix} a & \\ & d \end{pmatrix} \mid a, d \in \mathbb{Q}_p^\times \right\} \subseteq G$$

For  $t \in T$ ,  $tNt^{-1} \subseteq N$ , so that  $\pi(t)V(N) \subseteq V(N)$ . Thus  $(\pi, J(V))$  is an representation of  $T$ :

$$\pi(t)(v \bmod V(N)) := \pi(t)v \bmod V(N)$$

Since  $(\pi, V)$  is smooth, it is clear from definition that  $(\pi, J(V))$  is smooth.

**Theorem 5.5.**  $J(V)$  is an admissible representation of  $T$ .

*Proof.*

1° Let

$$T_n = \left\{ \begin{pmatrix} a & \\ & d \end{pmatrix} \mid a, d \equiv 1 \pmod{p^n\mathbb{Z}_p} \right\} \subseteq T$$

$J(V)$  being smooth, we have

$$J(V) = \bigcup_{n=1}^{\infty} J(V)^{T_n}$$

so we only need to show  $\dim_{\mathbb{C}} J(V)^{T_n} < \infty$ . The number  $n$  is fixed throughout this proof. Consider

$$K_n^N := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{GL}_2(\mathbb{Z}_p) \mid a, d \equiv 1 \pmod{p^n}, c \equiv 0 \pmod{p^N} \right\}$$

Assume  $\dim_{\mathbb{C}} V^{K_n^n} = d$ , and choose  $x_1, \dots, x_{d+1} \in J(V)^{T_n}$ . There is a natural projection

$$\begin{aligned} V^{K_n^n} &\longrightarrow J(V)^{T_n} \\ v &\longmapsto [v] := v \bmod V(N) \end{aligned}$$

But this is not surjective. To fix this, note that for  $[v] \in J(V)^{T_n}$ ,  $v \in V$  can be replaced by

$$c \int_{1+p^n \mathbb{Z}_p} \int_{1+p^n \mathbb{Z}_p} \int_{\mathbb{Z}_p} \pi \begin{pmatrix} a_1 & b \\ & a_2 \end{pmatrix} v \, db d^\times a_1 d^\times a_2$$

for some constant  $c \neq 0$ . Indeed, write

$$\begin{aligned} &\int_{1+p^n \mathbb{Z}_p} \int_{1+p^n \mathbb{Z}_p} \int_{\mathbb{Z}_p} \pi \begin{pmatrix} a_1 & b \\ & a_2 \end{pmatrix} v \, db d^\times a_1 d^\times a_2 \\ &= \int_{\mathbb{Z}_p} \pi \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix} \left( \int_{1+p^n \mathbb{Z}_p} \int_{1+p^n \mathbb{Z}_p} \pi \begin{pmatrix} a_1 & \\ & a_2 \end{pmatrix} v \, d^\times a_1 d^\times a_2 \right) db \end{aligned}$$

Since  $\pi$  is smooth, there exists some  $M \gg 0$  such that the above sum becomes

$$\text{vol}(p^M \mathbb{Z}_p) \sum_{b \in \mathbb{Z}_p/p^M} \pi \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix} \left( \int_{1+p^n \mathbb{Z}_p} \int_{1+p^n \mathbb{Z}_p} \pi \begin{pmatrix} a_1 & \\ & a_2 \end{pmatrix} v \, d^\times a_1 d^\times a_2 \right)$$

Since  $[v] = v \bmod V(N)$  is fixed by  $T_n$ , we see the above integral reduces to

$$\text{vol}(p^M \mathbb{Z}_p) \sum_{b \in \mathbb{Z}_p/p^M} \pi \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix} \text{vol}(1 + p^n \mathbb{Z}_p)^2 [v] = \text{vol}(p^M \mathbb{Z}_p) \#(\mathbb{Z}_p/p^M) \text{vol}(1 + p^n \mathbb{Z}_p)^2 [v].$$

Then  $c := \text{vol}(p^M \mathbb{Z}_p) \#(\mathbb{Z}_p/p^M) \text{vol}(1 + p^n \mathbb{Z}_p)^2 = \text{vol}(1 + p^n \mathbb{Z}_p)^2$  works. In particular, this shows that  $[v] \in J(V)^{T_n}$  has a representative fixed by

$$B_n = \left\{ \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \in \text{GL}_2(\mathbb{Z}_p) \mid a, d \equiv 1 \pmod{p^n}, b \in \mathbb{Z}_p \right\}$$

In other words,

$$V^{B_n} \longrightarrow J(V)^{T_n}$$

is surjective. Say  $x_i \in J(V)^{T_n}$  is represented by some  $v_i \in V^{B_n}$ ,  $i = 1, \dots, d+1$ .

2° We have  $K_n^N = B_n N^-(p^N \mathbb{Z}_p)$  for  $N \geq n$ , where

$$N^-(p^N \mathbb{Z}_p) = \left\{ \begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix} \mid x \in p^N \mathbb{Z}_p \right\}$$

This is because for  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in K_n^N$ , by definition  $d \in 1 + p^n \mathbb{Z}_p \subseteq \mathbb{Z}_p^\times$  so that we can write

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a - bc/d & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ c/d & 1 \end{pmatrix}$$

Since  $J(V)$  is smooth, we can find  $N \gg n$  such that each  $v_i$  is fixed by  $N^-(p^N \mathbb{Z}_p)$ . Thus  $v_i \in V^{K_n^N}$  for  $i = 1, \dots, d+1$ .

3° For open compact  $K', K \leq G$  and  $x \in G$ , define

$$[K'xK] : V^K \longrightarrow V^{K'}$$

$$v \longmapsto \frac{1}{\text{vol}(K)} \pi(\mathbf{1}_{K'xK}) \cdot v = \frac{1}{\text{vol}(K)} \int_{K'xK} \pi(g)v dg$$

This is essentially a finite sum: if we write  $K'xK = \bigsqcup_{i=1}^m y_i K$  for some  $y_i$ , then

$$[K'xK]v = \sum_{i=1}^m \pi(y_i)v$$

Take  $K = K_n^N = B_n^1 N^-(p^N \mathbb{Z}_p)$ ,  $K' = K_n^n$  and  $x = \begin{pmatrix} p^m & \\ & 1 \end{pmatrix}$ , where  $N \gg n \geq 1$  and  $m = N - n$ ; then

$$K_n^n x K_n^N = \bigsqcup_{y=0}^{p^m-1} \begin{pmatrix} p^m & y \\ 0 & 1 \end{pmatrix} K \quad (\spadesuit)$$

To see this, we start with studying the double coset

$$K_N^n \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K_{N+1}^n$$

Compute

$$\begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ e & 1 \end{pmatrix} \begin{pmatrix} p & \\ & 1 \end{pmatrix} = \begin{pmatrix} p & bd^{-1} \\ & 1 \end{pmatrix} \begin{pmatrix} a & \\ & d \end{pmatrix} \begin{pmatrix} 1 & \\ pe & 1 \end{pmatrix}$$

If  $b \in \mathbb{Z}_p$ ,  $a, d \in 1 + p^n \mathbb{Z}_p$  and  $e \in p^N \mathbb{Z}_p$ , then  $bd^{-1} \in \mathbb{Z}_p$  and  $pe \in p^{N+1} \mathbb{Z}_p$ . Also,

$$\begin{pmatrix} p & \alpha \\ & 1 \end{pmatrix} K_{N+1}^n = \begin{pmatrix} p & \beta \\ & 1 \end{pmatrix} K_{N+1}^n$$

if and only if

$$K_n^{N+1} \ni \begin{pmatrix} p^{-1} & -\alpha p^{-1} \\ & 1 \end{pmatrix} \begin{pmatrix} p & \beta \\ & 1 \end{pmatrix} = \begin{pmatrix} 1 & p^{-1}(\beta - \alpha) \\ & 1 \end{pmatrix}$$

These show that

$$K_n^N \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} K_n^{N+1} = \bigsqcup_{y=0}^{p-1} \begin{pmatrix} p & y \\ 0 & 1 \end{pmatrix} K_n^{N+1}$$

(♠) can be derived exactly in the same way, and thus the map  $[K'xK]$  has the form

$$V^{K_n^N} \longrightarrow V^{K_n^n}$$

$$x \longmapsto \sum_{y=0}^{p^m-1} \pi \begin{pmatrix} p^m & y \\ 0 & 1 \end{pmatrix} v$$

Then we have a commutative diagram

$$\begin{array}{ccc}
v_i & v \in V^{K_n^N} & \xrightarrow{[K_n^N x K_n^N]} V^{K_n^N} \\
\downarrow & \downarrow & \downarrow \\
x_i & [v]J(V)^{T_n} & \xrightarrow{\Phi} J(V)^{T_n}
\end{array}
\quad
\begin{array}{c}
[K_n^N x K_n^N]v \\
\downarrow \\
\left[ \sum_{y=0}^{p^m-1} \pi \begin{pmatrix} p^m & y \\ 0 & 1 \end{pmatrix} v \right] \\
= p^m \pi \begin{pmatrix} p^m & \\ & 1 \end{pmatrix} [v]
\end{array}$$

where  $\Phi$  is induced by  $[K_n^N x K_n^N]$ . The description given above in the right shows that  $\Phi$  is in fact a  $\mathbb{C}$ -vector space isomorphism.

4° Since  $\{[K_n^N x K_n^N]v_i\}_{i=1, \dots, d+1} \subseteq V^{K_n^N}$  and  $\dim_{\mathbb{C}} V^{K_n^N} := d$ , there exist  $\alpha_1, \dots, \alpha_{d+1} \in \mathbb{C}$  not all zero such that

$$\sum_{i=1}^{d+1} \alpha_i [K_n^N x K_n^N]v_i = 0$$

Then

$$\begin{aligned}
0 &= \sum_{i=1}^{d+1} \alpha_i \cdot p^m \pi \begin{pmatrix} p^m & \\ & 1 \end{pmatrix} x_i = \Phi \left( \sum_{i=1}^{d+1} \alpha_i \cdot x_i \right) \quad \text{in } J(V)^{T_n} \\
\Rightarrow 0 &= \sum_{i=1}^{d+1} \alpha_i \cdot x_i \quad \text{in } J(V)^{T_n}
\end{aligned}$$

so that any  $d + 1$  elements in  $J(V)^{T_n}$  are linearly dependent, proving  $\dim_{\mathbb{C}} J(V)^{T_n} \leq d$ .

□

**Theorem 5.6.**  $\dim_{\mathbb{C}} J(V) \leq 2$ .

*Proof.* Suppose  $J(V) \neq 0$ . Since  $J(V)$  is admissible as a representation of  $T$ ,  $(J(V)^\vee)^{T_n} \neq 0$  and  $\dim_{\mathbb{C}}(J(V)^\vee)^{T_n} < \infty$  for some  $n \gg 0$ . Then the action of  $T$  on  $(J(V)^\vee)^{T_n}$  factors through  $T/T_n$ , which is a finite abelian group. Since  $T$  is abelian, there exist  $\Lambda \in J(V)^\vee \setminus \{0\}$  (in some irreducible sub  $T/T_n$ -repn of  $(J(V)^\vee)^{T_n}$ ) and continuous homomorphism  $\chi : T \rightarrow \mathbb{C}^\times$  (by [Schur's lemma](#)) such that

$$\pi^\vee(t)\Lambda = \chi^{-1}(t)\Lambda, \quad t \in T$$

Then

$$\begin{aligned}
\Lambda : J(V) &\longrightarrow \mathbb{C} \\
\pi(t)x &\longmapsto \chi(t)\Lambda(x)
\end{aligned}$$

Extending to  $B = TN$  by 0 across  $N$ , we have (recall that  $J(V) = V/V(N)$ )

$$\begin{aligned}
\Lambda : V &\longrightarrow \mathbb{C} \\
\pi(tn)x &\longmapsto \chi(t)\Lambda(x)
\end{aligned}$$

for  $t \in T$  and  $n \in N$  (we also extend  $\chi : B \rightarrow \mathbb{C}^\times$  by setting  $\chi|_N \equiv 1$ ). Then

$$0 \neq \Lambda \in \text{Hom}_B((V, \pi|_B), (\mathbb{C}, \chi)) = \text{Hom}_G((V, \pi), \text{ind}_B^G \chi)$$

by the Frobenius reciprocity, where

$$\text{ind}_B^G \chi := \left\{ f : G \rightarrow \mathbb{C} \mid \begin{array}{l} f(bg) = \chi(b)f(g) \text{ for } b \in B \\ \exists U \underset{\substack{\text{open} \\ \text{cpt}}}{\leq} G \text{ such that } f(gu) = f(g) \text{ for all } g \in G, u \in U \end{array} \right\}$$

and  $G$  acts on  $\text{ind}_B^G \chi$  by  $\rho : G \rightarrow \text{Aut}_{\mathbb{C}} \text{ind}_B^G \chi$  defined by  $\rho(g)f(x) = f(xg)$ . The isomorphism is given as below:

**Lemma 5.7** (Frobenius reciprocity). Let  $G$  be a td-group and  $H$  a closed subgroup. Suppose  $(V, \pi)$  and  $(W, \rho)$  be smooth representations of  $G$  and  $H$ , respectively. Then there is an isomorphism

$$\text{Hom}_H((V, \pi)|_B, (W, \psi)) \cong \text{Hom}_G((V, \pi), \text{ind}_H^G(W, \psi))$$

where  $\text{ind}_H^G W$  is defined by

$$\text{ind}_H^G W := \left\{ f : G \rightarrow W \mid \begin{array}{l} f(bg) = \psi(b)f(g) \text{ for } b \in B \\ \exists U \underset{\substack{\text{open} \\ \text{cpt}}}{\leq} G \text{ such that } f(gu) = f(g) \text{ for all } g \in G, u \in U \end{array} \right\}$$

with  $G$  acts on  $\text{ind}_H^G W$  by  $\rho : G \rightarrow \text{Aut}_{\mathbb{C}} \text{ind}_H^G W$  defined by  $\rho(g)f(x) = f(xg)$ .

*Proof.* Define

$$\begin{array}{ccc} \text{Hom}_H((V, \pi)|_B, (W, \psi)) & \begin{array}{c} \xrightarrow{(\cdot)^G} \\ \xleftarrow{(\cdot)_H} \end{array} & \text{Hom}_G((V, \pi), (\text{ind}_H^G W, \rho)) \\ T & \xrightarrow{\quad\quad\quad} & T^G(v)(g) := T(\pi(g)v) \\ T_H(v) := T(v)(1) & \xleftarrow{\quad\quad\quad} & T \end{array}$$

The only thing that needs to check is the well-definedness.

- Let  $T \in \text{LHS}$ . Then for  $v \in V, g, g' \in G$

$$T^G(\pi(g)v)(g') = T(\pi(g')\pi(g)v) = T(\pi(g'g)v) = T^G(v)(g'g) = \rho(g)T^G(v)(g')$$

For  $v \in V$ , by smoothness we can find open compact  $U \leq G$  by which  $v$  is fixed. Then for  $g \in G$  and  $u \in U$ ,

$$T^G(v)(gu) = T(\pi(gu)v) = T(\pi(g)\pi(u)v) = T(\pi(g)v) = T^G(v)(g)$$

so that  $T^G(v) \in \text{ind}_B^G W$ .

- Let  $T \in \text{RHS}$ . Then for  $v \in V, h \in H$

$$T_H(\pi(h)v) = T(\pi(h)v)(1) = \rho(h)T(v)1 = T(v)(h) = \psi(h)T(v)(1) = \psi(h)T_H(v)$$

For  $v \in V$ , by smoothness we can find open compact  $U \leq G$  such that  $\rho(u)T(v) = T(v)$  for all  $u \in U$ , and thus for  $g \in G$  and  $h \in U \cap B$ , we have

$$\psi(h)T_H(v) = \rho(h)T(v)1 = T(v)1 = T_H(v)$$

Thus  $T_H(v)$  is smooth so that  $T_H(v) \in W$ .

□

By definition,  $\text{ind}_B^G \chi$  is smooth, and it is also admissible by

**Lemma 5.8** (Iwasawa decomposition).  $G = BK$ , where  $K = \text{GL}_2(\mathbb{Z}_p)$ .

*Proof.* For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we have

$$g = \begin{pmatrix} \det g/c & a \\ 0 & c \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & d/c \end{pmatrix}$$

if  $\text{ord}_p c \leq \text{ord}_p d$ , and

$$g = \begin{pmatrix} \det g/d & b \\ 0 & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ c/d & 1 \end{pmatrix}$$

if  $\text{ord}_p c > \text{ord}_p d$ . □

To see how does this imply the admissibility, suppose generally  $(W, \psi)$  is a smooth admissible representation of  $B$ . A function  $f \in \text{ind}_B^G W$  is determined by  $f|_K$  for  $f(bk) = \rho(b)f(k)$ . Let  $U \leq G$  be open compact. Then any function  $f \in (\text{ind}_B^G W)^U$  induces  $f : K/K \cap U \rightarrow W$ . Since  $K$  is compact,  $K/K \cap U$  is a finite group. At this point, if  $W$  is finite dimensional, then  $(\text{ind}_B^G W)^U \subseteq \text{span}_{\mathbb{C}}\{f : K/K \cap U \rightarrow \mathbb{C}\}$  is also finite dimensional. In general, let  $x_1, \dots, x_n \in K$  be a complete set of representative of  $K/K \cap U$ . Then  $f(x_i)$  is fixed by  $B \cap x_i U x_i^{-1}$  so that  $f(x_i) \in W^{B \cap x_i U x_i^{-1}}$  which is finite dimensional thanks to the admissibility of  $W$ . Thus  $\dim_{\mathbb{C}}(\text{ind}_B^G W)^U < \infty$  as well.

Then  $\text{Hom}_G(V, \text{ind}_B^G \chi) \neq 0$ , and since  $V$  is irreducible, we have  $V \hookrightarrow \text{ind}_B^G \chi$  is injective.

**Lemma 5.9.** If we have an exact sequence of admissible smooth representations of  $G$

$$0 \longrightarrow V_1 \xrightarrow{\alpha} V_2 \xrightarrow{\beta} V_3 \longrightarrow 0$$

then

$$0 \longrightarrow J(V_1) \longrightarrow J(V_2) \longrightarrow J(V_3) \longrightarrow 0$$

is also exact.

*Proof.* The nontrivial part is to show  $J(V_1) \rightarrow J(V_2)$  is injective. If  $x = [v] \in J(V_1)$  with  $\alpha(x) = 0$  in  $J(V_2)$ . Then  $\alpha(v) \in V_2(N)$ , i.e..

$$\int_{p^{-n}\mathbb{Z}_p} \pi \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} \alpha(v) dx = 0 \text{ for } n \gg 0$$

Since the integral is in fact a finite sum (which can be seen by choosing  $U \leq p^{-n}\mathbb{Z}_p$  that fixes  $v$  and  $\alpha(v)$  simultaneously), it follows that

$$\alpha \left( \int_{p^{-n}\mathbb{Z}_p} \pi \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} v dx \right) = 0$$

Since  $\alpha$  is injective, it follows that  $\int_{p^{-n}\mathbb{Z}_p} \pi \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} v dx = 0$ , i.e.,  $v \in V_1(N)$ . □

By this lemma, it suffices to show  $\dim_{\mathbb{C}} J(\text{ind}_B^G \chi) \leq 2$ , or dually  $\dim_{\mathbb{C}} (J(\text{ind}_B^G \chi))^* \leq 2$ .

$$J(\text{ind}_B^G \chi)^* = \{L : \text{ind}_B^G \chi \rightarrow \mathbb{C} \mid L(\rho(n)f) = L(f) \text{ for } n \in N\}$$

Consider the projection

$$\begin{aligned} \mathcal{S}(G) &\xrightarrow{p_\chi} \text{ind}_B^G \chi \\ \phi &\longmapsto p_\chi(\phi)(g) := \int_B \phi(bg)\chi^{-1}(b)db \end{aligned}$$

where  $db$  is the right-invariant Haar measure on  $B$ . This is in fact surjective, for if  $f \in \text{ind}_B^G \chi$ , let  $\phi := f \cdot \mathbf{1}_K \in \mathcal{S}(G)$ . Then

$$p_\chi(\phi)(g) = \int_B f(bg)\mathbf{1}_K(bg)\chi^{-1}(b)db = \int_B f(g)\mathbf{1}_K(bg)db = f(g) \text{vol}(K \cap B, db)$$

For  $L \in J(\text{ind}_B^G \chi)^*$ , put  $\Delta = \Delta_L := L \circ p_\chi : \mathcal{S}(G) \rightarrow \mathbb{C}$ ; then  $\Delta \in \mathcal{D}(G)$ .

Let  $B \times N$  act on  $B$  by  $\tau(b, n)x = b^{-1}xn$ . For  $(b_1, n) \in B \times N$ ,

$$\begin{aligned} p_\chi(\tau(b_1, n)^*\phi)(g) &= \int_B \phi(b_1^{-1}bgn)\chi^{-1}(b)db \\ &= \int_B \phi(bgn)\chi^{-1}(b_1b)d(b_1b) \\ &= \chi^{-1}\delta_B^{-1}(b_1) \cdot \rho(n)p_\chi(\phi)(g) \end{aligned}$$

(where  $\delta_B$  is the modular character of  $B$ .) Thus

$$\Delta(\tau(b_1, n)^*\phi) = \chi\delta_B(b_1^{-1})L(\rho(n)p_\chi(\phi)) = \chi\delta_B(b_1^{-1})\Delta(\phi)$$

**Lemma 5.10** (Bruhat decomposition). We have

$$G = B \sqcup BwB$$

where  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .

It follows that we have an exact sequence

$$0 \longrightarrow \mathcal{S}(BwB) \longrightarrow \mathcal{S}(G) \longrightarrow \mathcal{S}(B) \longrightarrow 0$$

Taking dual, we have

$$0 \longrightarrow \mathcal{D}(BwB) \longrightarrow \mathcal{D}(G) \longrightarrow \mathcal{D}(B) \longrightarrow 0$$

so

$$0 \longrightarrow \mathcal{D}(BwB)^\times \longrightarrow \mathcal{D}(G)^\times \longrightarrow \mathcal{D}(B)^\times$$

where

$$\mathcal{D}(\cdot)^\times := \{\Delta \in \mathcal{D}(\cdot) \mid \tau(b, n)_*\Delta = \chi(b^{-1})\Delta \text{ for } (b, n) \in B \times N\}$$

Since  $B \times N$  acts on  $BwB$  and  $B$  respectively, we have  $B = \frac{B \times N}{B \times N}$  and  $BwB = \frac{B \times N}{(BwB)^{B \times N}}$  as topological spaces.

**Lemma 5.11.** For  $G$  a td-group and  $\chi$  a continuous character of  $G$ ,  $\dim_{\mathbb{C}} \mathcal{D}(G)^\times \leq 1$ .

*Proof.* Let  $\Delta \in \mathcal{D}(G)^\times$  and  $K_0 \leq G$  a compact open subgroup such that  $\Delta(\chi^{-1}\mathbf{1}_{K_0}) = 0$  (note that  $\chi \in \mathcal{S}(G)$  thanks to its continuity and by a no small subgroup argument). We need to show that  $\Delta \equiv 0$ . □



Since  $B$  and  $BwB$  a quotient of  $B \times N$ , we obtain

$$\dim_{\mathbb{C}} \mathcal{D}(B)^{\times}, \dim_{\mathbb{C}} \mathcal{D}(BwB)^{\times} \leq \dim_{\mathbb{C}} \mathcal{D}(B \times N)^{\times} \leq 1$$

so that  $\dim_{\mathbb{C}} \mathcal{D}(G)^{\times} \leq 2$ . Finally, since  $p_{\chi}$  is injective, the pullback map

$$\begin{aligned} p_{\chi}^* : J(\text{ind}_B^G \chi) &\longrightarrow \mathcal{D}(G)^{\times} \\ L &\longmapsto p_{\chi}^* L = L \circ p_{\chi} \end{aligned}$$

is injective, so  $\dim_{\mathbb{C}} J(\text{ind}_B^G \chi) \leq 2$ . □

**Remark 5.12.** This is a general method to study the representation of  $G = \text{GL}_2(\mathbb{Q}_p)$ . We have several important subgroup

**Borel subgroup**

$$B = \left\{ \begin{pmatrix} a & b \\ & d \end{pmatrix} \right\} \leq G$$

$\hookrightarrow$

$\hookrightarrow$

$$N = \left\{ \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix} \right\}$$

**unipotent radical**

$$T = \left\{ \begin{pmatrix} a & \\ & d \end{pmatrix} \right\}$$

**maximal torus / Levi subgroup**

Say  $(\pi, V)$  a representation of  $G$ , form  $J(V) = V/V(N)$  and prove that  $J(V)$  is a admissible representation of  $T$ . If  $J(V) \neq 0$ ,  $V$  is a subrepresentation of  $\text{ind}_B^G \chi$ .

## 6 Classification of $(\mathfrak{g}, K)$ -modules

### 6.1 Basics on real Lie groups

Let  $G$  be a Lie group. For  $x \in G$ , denote  $T(G)_x$  to be the tangent space of  $G$  at  $x$ , that is

$$T(G)_x := \{D : \mathcal{O}_{G,x} \rightarrow \mathbb{C} \mid D \text{ is a derivation at the point } x\}$$

where  $\mathcal{O}_{G,x}$  is the real algebra of smooth functions defined around  $x$ . Then we can form the tangent bundle

$$T(G) = \bigsqcup_{x \in G} T(G)_x$$

**Definition.**  $\text{Lie}(G) = T(G)_e$  is called the **Lie algebra** of  $G$ , where  $e$  is the identity element of  $G$ .

For  $g \in G$ , put

$$\begin{array}{ccc} \rho_g : G & \longrightarrow & G & & \lambda_g : G & \longrightarrow & G \\ x & \longmapsto & xg & & x & \longmapsto & g^{-1}x \end{array}$$

For  $X \in \text{Lie}(G)$ , we can construct a right invariant vector field  $\mathcal{L}_X$ ; namely, a smooth section

$$\mathcal{L}_X : G \rightarrow TG$$

with  $\mathcal{L}_X(e) = X$  and for all  $g \in G$ , the diagram

$$\begin{array}{ccc} G & \xrightarrow{\mathcal{L}_X} & TG \\ \rho_g \downarrow & & \downarrow \rho_{g*} \\ G & \xrightarrow{\mathcal{L}_X} & TG \end{array}$$

commutes. It is clear that  $\mathcal{L}_X(g) := \rho_{g*}X$  is the unique right invariant vector field with  $\mathcal{L}_X(e) = X$ .

**Theorem 6.1.** For  $X \in \text{Lie}(G)$ , there exists a unique curve  $\gamma_X : \mathbb{R} \rightarrow G$  such that

- $\gamma_X(0) = e$ ;
- $\gamma'_X(t_0) := (\gamma_X)_* \left( \frac{d}{dt} \Big|_{t=t_0} \right) = \mathcal{L}_X(\gamma_X(t_0))$  for all  $t_0 \in \mathbb{R}$ .

Such a curve is called the **integral curve** for  $\mathcal{L}_X$ . Moreover, the unique **local flow**  $\Phi(g, t) : G \times \mathbb{R} \rightarrow G$  for  $\mathcal{L}_X$  is smooth and is given by  $\Phi(g, t) = g\gamma_X(t)$ .

**Definition.** Define the **exponential map**

$$\begin{array}{ccc} \exp : \text{Lie}(G) & \longrightarrow & G \\ X & \longmapsto & \gamma_X(1) \end{array}$$

The  $\exp$  is smooth and is a local diffeomorphism at the origin.

- We have  $\frac{d}{dt} \Big|_{t=0} \exp(tX) = \frac{d}{dt} \Big|_{t=0} \gamma_X(t) = \gamma'_X(0) = X$ .

**Example.** Let  $G = \mathrm{GL}_2(\mathbb{R})$  or one of its connected components  $G = \mathrm{GL}_2(\mathbb{R})^+ = \{A \in \mathrm{GL}_2(\mathbb{R}) \mid \det A > 0\}$ . Note that  $\mathrm{GL}_2(\mathbb{R})^+ \trianglelefteq \mathrm{GL}_2(\mathbb{R})$  has index two.

With the standard coordinates  $x_{ij}$  on  $G$ ,

$$\mathrm{Lie}(G) = \bigoplus_{1 \leq i, j \leq 2} \mathbb{R}X_{ij}$$

where for  $f \in \mathcal{O}_{G,e}$

$$X_{ij}(f) = \frac{\partial f}{\partial x_{ij}}(e)$$

With this standard basis,  $\mathrm{Lie}(G) = M_2(\mathbb{R})$ . For  $X \in M_2(\mathbb{R}) = \mathrm{Lie}(G)$ , we have

$$\gamma_X(t) = e^{tX} = \sum_{n=0}^{\infty} \frac{X^n t^n}{n!}$$

Then  $\exp : \mathrm{Lie}(G) \rightarrow G$  has the form

$$\exp(X) = e^X = \sum_{n=0}^{\infty} \frac{X^n}{n!}$$

When  $G = \mathrm{GL}_2(\mathbb{R})$ , we will write  $t \mapsto e^{tX}$  to mean the integral curve for  $\mathcal{L}_X$ .

**Definition.** For  $X \in \mathrm{Lie}(G)$ , let  $\rho(X) : \mathcal{O}_{G,e} \rightarrow \mathcal{O}_{G,e}$  be the derivation defined by

$$\rho(X)f(g) = \left. \frac{d}{dt} \right|_{t=0} f(ge^{tX})$$

Note that  $\rho(X)f(e) = X(f)$ .

**Definition.** Define the **Lie bracket**  $[\cdot, \cdot] : \mathrm{Lie}(G) \times \mathrm{Lie}(G) \rightarrow \mathrm{Lie}(G)$  by

$$[X, Y]f := X(\rho(Y)f) - Y(\rho(X)f)$$

for  $f \in \mathcal{O}_{G,e}$ . It satisfies the **Jacobi's identity**

$$[X, [Y, Z]] = [[X, Y], Z] + [Y, [X, Z]]$$

With the exponential map, we can show that  $G$  has *no small subgroup*, i.e., there exists an open neighborhood of  $e$  in  $G$  such that  $W$  contains no nontrivial subgroup of  $G$ . Further, we can show that if  $G'$  is a compact td-group and  $f : G' \rightarrow G$  is a continuous group homomorphism, then  $f(G') \subseteq G$  must be finite.

## 6.2 Representations

**Definition.** A **representation**  $(\pi, H)$  of  $G = \mathrm{GL}_2(\mathbb{R})$  consists of a Hilbert space  $(H, \langle \cdot, \cdot \rangle)$  and a homomorphism  $\pi : G \rightarrow \mathrm{Aut}_{\mathbb{C}} H$  such that the action map

$$\begin{aligned} G \times H &\longrightarrow H \\ (g, v) &\longmapsto \pi(g).v \end{aligned}$$

is continuous. We say  $(\pi, H)$  is unitary if for all  $g \in G$ ,

$$\langle \pi(g)v, \pi(g)w \rangle = \langle v, w \rangle$$

for all  $v, w \in H$ .

Let  $C_c^\infty(G)$  denote the space of smooth functions on  $G$  with compact support. We define the **smooth convolution**. Let  $dg$  be the right invariant Haar measure on  $G$ . For  $\phi \in C_c^\infty(G)$  and  $v \in H$ , define

$$\pi(\phi).v := \int_G \phi(g)\pi(g).v dg$$

In fact,  $\pi(\phi).v$  is defined to be the unique vector in  $H$  such that for all  $w \in H$ ,

$$\langle \pi(\phi)v, w \rangle = \int_G \phi(g)\langle \pi(g)v, w \rangle dg$$

The existence and the uniqueness of such vector is guaranteed by the Rieze's representation theorem.

**Definition.** A vector  $v \in H$  is  $C^1$  if for all  $X \in \text{Lie}(G)$ , the limit

$$\lim_{t \rightarrow 0} \frac{\pi(e^{tX}).v - v}{t}$$

exists. If it exists, we put

$$\pi(X).v := \lim_{t \rightarrow 0} \frac{\pi(e^{tX}).v - v}{t} = \left. \frac{d}{dt} \right|_{t=0} \pi(e^{tX}).v$$

Inductively, we say  $v \in C^k$  ( $k \geq 2$ ) if  $\pi(X).v \in C^{k-1}$  for all  $X \in \text{Lie}(G)$ . Put

$$H^{\text{sm}} := \{v \in H \mid v \in C^k \text{ for all } k \geq 1\}$$

to be the subspace of **smooth vectors** in  $H$ .

- If  $\phi \in C_c^\infty(G)$  and  $v \in H$ , then  $\pi(\phi)v \in H^{\text{sm}}$ . Indeed,

$$\begin{aligned} \pi(X)\pi(\phi)v &= \left. \frac{d}{dt} \right|_{t=0} \pi(e^{tX})\pi(\phi).v = \left. \frac{d}{dt} \right|_{t=0} \int_G \phi(g)\pi(e^{tX}g)v dg \\ &= \left. \frac{d}{dt} \right|_{t=0} \int_G \phi(e^{-tX}g)\pi(g)v dg \\ &\quad - \int_G \phi_X(g)\pi(g)v dg \end{aligned}$$

$$\text{where } \phi_X(g) := \left. \frac{d}{dt} \right|_{t=0} \phi(e^{-tX}g).$$

Let  $\{\phi_n\}$  be an approximate of identity on  $G$ , namely,

- (1)  $\phi_n \in C_c^\infty(G)$  for all  $n$ ,
- (2)  $\int_G \phi_n(g)dg = 1$  for all  $n$  and
- (3) for all open neighborhoods  $U$  of  $e$ ,  $\lim_{n \rightarrow \infty} \int_U \phi_n(g)dg = 1$ .

**Lemma 6.2.** For all  $v \in H$ ,  $\lim_{n \rightarrow \infty} \pi(\phi_n)v = v$ , where  $\{\phi_n\}$  is an approximate of identity. In particular,  $H^{\text{sm}}$  is dense in  $H$ .

### 6.3 Classification

**Definition.** Let  $G = \mathrm{GL}_2(\mathbb{R})$ ,  $\mathfrak{g} = \mathrm{Lie}(G)$ ,  $K = \mathrm{O}(2)$ . A  **$(\mathfrak{g}, K)$ -module**  $(\pi, V)$  is a  $\mathbb{C}$ -vector space with a Lie algebra homomorphism  $\pi : \mathfrak{g} \rightarrow \mathrm{End}_{\mathbb{C}} V$  and a group homomorphism  $\pi : K \rightarrow \mathrm{Aut}_{\mathbb{C}}(V)$  such that

- for all  $X \in \mathrm{Lie} K \subseteq \mathfrak{g}$ , we have

$$\pi(X)v = \left. \frac{d}{dt} \right|_{t=0} \pi(e^{tX})v$$

- for all  $X \in \mathfrak{g}$  and  $k \in K$

$$\pi(\mathrm{Ad}_k X)v = \pi(k)\pi(X)\pi(k^{-1})v$$

where  $\mathrm{Ad}_k := (c_k)_{*,e}$  and  $c_k : G \rightarrow G$  is defined by  $c_k(x) = kxk^{-1}$ .

and the representation  $(\pi, V)$  of  $K$  is **admissible**, or  **$K$ -finite**, i.e.

- for all  $v \in V$ , the  $\mathbb{C}$ -span of  $\{\pi(k)v \mid v \in K\}$  is finite dimensional.

In addition, we assume  $V$  is **smooth**, i.e., for all  $X \in \mathrm{Lie} K$ ,  $v \in V$ ,  $\Lambda \in V^\vee$ , the function

$$\mathbb{R} \ni t \mapsto \langle \pi(e^{tX})v, \Lambda \rangle \in \mathbb{C}$$

is smooth in the usual sense.

For an Lie algebra  $\mathfrak{g}$  over  $\mathbb{C}$ , we can define the **universal enveloping algebra**  $U(\mathfrak{g})$  by the quotient  $T(\mathfrak{g})/I$ , where  $T(\mathfrak{g})$  is the tangent algebra generated by the  $\mathbb{C}$ -module  $\mathfrak{g}$ , and  $I$  is the two-sided ideal generated by the elements  $[X, Y] - X \otimes Y + Y \otimes X$ . The resulting quotient  $U(\mathfrak{g})$  is then a (non-commutative)  $\mathbb{C}$ -algebra. More precisely, if  $\mathfrak{g}$  has a  $\mathbb{C}$ -basis  $x_1, \dots, x_d$ , and  $[x_i, x_j] = \sum_{\ell=1}^d b_{ij}^\ell x_\ell$  with  $b_{ij}^\ell \in \mathbb{C}$ , then the **Poincaré-Birkhoff-Witt** theorem, or PBW theorem, says that

$$U(\mathfrak{g}) = \bigoplus_{a_1, \dots, a_d \in \mathbb{N}_0} \mathbb{C} x_1^{a_1} \cdots x_d^{a_d}$$

with  $x_i x_j = x_j x_i + \sum_{\ell=1}^d b_{ij}^\ell x_\ell$ . In particular, if  $\mathfrak{g}$  is an abelian Lie algebra, then  $U(\mathfrak{g}) = \mathbb{C}[x_1, \dots, x_d]$ .

For a Lie algebra  $\mathfrak{g}$ , we have the **adjoint representation**

$$\begin{aligned} \mathrm{ad} : \mathfrak{g} &\longrightarrow \mathrm{End} \mathfrak{g} \\ X &\longmapsto \mathrm{ad}_X : Y \mapsto [X, Y] \end{aligned}$$

The Jacobi identity becomes

$$\mathrm{ad}_{[X, Y]} = \mathrm{ad}_X \mathrm{ad}_Y - \mathrm{ad}_Y \mathrm{ad}_X \text{ in } \mathrm{End} \mathfrak{g}$$

We have the **Killing form** on  $\mathfrak{g}$ , which is by definition the symmetric bilinear form  $B(X, Y) := \mathrm{Tr}(\mathrm{ad}_X \mathrm{ad}_Y)$  on  $\mathfrak{g}$ . The Jacobi identity tells

$$B(\mathrm{ad}_Z X, Y) = -B(X, \mathrm{ad}_Z Y)$$

Let us assume the Killing form  $B$  is nondegenerate. Then for a basis  $x_1, \dots, x_d$  for  $\mathfrak{g}$ , there exists a dual basis  $y_1, \dots, y_d$  satisfying  $B(x_i, y_j) = \delta_{ij}$ . The **Casimir element** is defined as

$$\Delta := x_1 y_1 + \cdots + x_d y_d = \sum_{i=1}^d x_i y_i \in U(\mathfrak{g})$$

**Proposition 6.3.**

1. The element  $\Delta$  is independent of the choice of basis  $x_1, \dots, x_d$ .
2.  $\Delta$  lies in the center of  $U(\mathfrak{g})$ .

**Example.** Consider the  $\mathfrak{sl}_2(\mathbb{R}) = \text{Lie SL}_2(\mathbb{R}) = \{A \in \text{M}_2(\mathbb{R}) \mid \text{Tr } A = 0\}$ . We have  $\mathfrak{sl}_2(\mathbb{R}) = \mathbb{R}H_+ \oplus \mathbb{R}R_+ \oplus \mathbb{R}L_+$ , where

$$H_+ = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad R_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad L_+ = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

with the relations

$$[H_+, R_+] = 2R_+, \quad [H_+, L_+] = -2L_+, \quad [R_+, L_+] = H_+$$

Thus, with respect to the ordered basis  $\{H_+, R_+, L_+\}$ ,

$$\text{ad}_{H_+} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -2 \end{pmatrix}, \quad \text{ad}_{R_+} = \begin{pmatrix} 0 & 0 & 1 \\ -2 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \quad \text{ad}_{L_+} = \begin{pmatrix} 0 & -1 & 0 \\ 0 & 0 & 0 \\ 2 & 0 & 0 \end{pmatrix}$$

In matrices, the Killing form  $B$  is

$$B = \begin{pmatrix} 8 & 0 & 0 \\ 0 & 0 & 4 \\ 0 & 4 & 0 \end{pmatrix}$$

and thus the dual basis is  $\frac{1}{8}H_+, \frac{1}{4}L_+, \frac{1}{4}R_+$ . The Casimir element is then  $\frac{1}{8}H_+^2 + \frac{1}{4}R_+L_+ + \frac{1}{4}L_+R_+$ . For convenience, let us put

$$\Delta = H_+^2 + 2R_+L_+ + 2L_+R_+ \in Z(U(\mathfrak{sl}_2(\mathbb{R})))$$

Consider  $\mathfrak{g} := \text{Lie GL}_2(\mathbb{R})$ . The Killing form  $B$  on  $\mathfrak{g}$  is degenerate. To see this, note that

$$\mathfrak{g} = \mathfrak{sl}_2(\mathbb{R}) \oplus \mathbb{R} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

The element  $J = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  commutes with everyone, i.e.,  $\text{ad}_J = 0$  on  $\mathfrak{g}$ . Thus  $J \neq 0$  lies in the radical of  $B$ . Nonetheless,

$$U(\mathfrak{g}) = \mathbb{R}[J] \otimes_{\mathbb{R}} U(\mathfrak{sl}_2(\mathbb{R}))$$

so the constructed element  $\Delta$  also commutes with elements in  $U(\mathfrak{g})$ .

Consider the action of  $K = \text{O}(2)$ . We have  $\text{Ad}_g X = gXg^{-1}$  for all  $g \in G = \text{GL}_2(\mathbb{R})$  and  $X \in \mathfrak{g}$ . Then  $B(\text{Ad}_g X, \text{Ad}_g Y) = B(X, Y)$  and thus

$$\text{Ad}_g \Delta = \text{Ad}_g(H_+)^2 + 2 \text{Ad}_g(R_+) \text{Ad}_g(L_+) + 2 \text{Ad}_g(L_+) \text{Ad}_g(R_+) = \Delta$$

In particular,  $\text{Ad}_k \Delta = \Delta$  for all  $k \in K$ . Therefore, for any  $(\mathfrak{g}, K)$ -module  $(\pi, V)$ , we have  $\pi(\Delta) \in \text{End}_{(\mathfrak{g}, K)}(V)$ .

**Proposition 6.4** (Schur's lemma). If  $(\pi, V)$  is an irreducible admissible  $(\mathfrak{g}, K)$ -module and  $X \in \mathfrak{g}$  such that  $\pi(X) \in \text{End}_{(\mathfrak{g}, K)}(V)$ , then  $\pi(X)$  acts on  $V$  by a scalar.

In particular,  $\pi(\Delta)$  and  $\pi(J)$  acts on  $V$  as scalars, where

$$J = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \in \mathfrak{g}$$

Let  $G^+ = \text{GL}_2(\mathbb{R})^+ = \{g \in M_2(\mathbb{R}) \mid \det g > 0\}$ ; then  $\mathfrak{g} := \text{Lie } G = \text{Lie } G^+$ . Put

$$K^+ := K \cap G^+ = \text{SO}_2(\mathbb{R}) = \left\{ \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \mid \theta \in \mathbb{R} \right\}$$

which is an index two abelian subgroup of  $K^+$ . Let  $(\pi, V)$  be an admissible irreducible  $(\mathfrak{g}, K^+)$ -module, which is defined in a similar way as  $(\mathfrak{g}, K)$ -modules. Let  $\mathfrak{g}_{\mathbb{C}} := \mathfrak{g} \otimes_{\mathbb{R}} \mathbb{C}$  and

$$H = -i \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, R = \frac{1}{2} \begin{pmatrix} 1 & i \\ i & -1 \end{pmatrix}, L = \frac{1}{2} \begin{pmatrix} 1 & -i \\ -i & -1 \end{pmatrix}$$

For each  $\ell \in \mathbb{Z}$ , define the **weight  $\ell$  space**

$$V(\ell) := \left\{ v \in V \mid \pi \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} v = e^{i\ell\theta} v \right\}$$

By  $K^+$ -finiteness, together with the fact  $\widehat{K^+} = \widehat{\mathbb{R}/2\pi\mathbb{Z}} = \{x \mapsto e^{i\ell x} \mid \ell \in \mathbb{Z}\}$ , we have the decomposition

$$V = \bigoplus_{\ell \in \mathbb{Z}} V(\ell)$$

with each  $V(\ell)$  finite dimensional. ???

We have the following formulas. For  $v \in V(\ell)$ ,

1.  $\pi(H)v = \ell v$ .
2. If we put  $k_\theta := \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$ , then

$$\pi(\text{Ad}_{k_\theta} L)v = \pi(k_\theta L k_\theta^{-1})v = e^{2i\theta} \pi(L)v$$

$$\pi(\text{Ad}_{k_\theta} L)v = \pi(k_\theta L k_\theta^{-1})v = e^{2i\theta} \pi(L)v$$

In particular, this means  $R : V(\ell) \rightarrow V(\ell + 2)$  and  $L : V(\ell) \rightarrow V(\ell - 2)$ .

Since  $(\pi, V)$  is irreducible, by [Schur's lemma](#),  $\pi(\Delta) = \lambda_\Delta \text{id}$  and  $\pi(J) = \lambda_J \text{id}$  for some constant  $\lambda_\Delta, \lambda_J \in \mathbb{C}$ .

Pick  $0 \neq v \in V(\ell)$  and form the subspace

$$V' = \mathbb{C}v \oplus \bigoplus_{n \geq 1} \mathbb{C}R^n v \oplus \bigoplus_{n \geq 1} \mathbb{C}L^n v$$

This is a  $(\mathfrak{g}, K^+)$ -submodule of  $V$ , so by irreducibility of  $V$ ,  $V = V'$ . In particular,  $\dim_{\mathbb{C}} V(\ell) = 0$  or  $1$  for each  $\ell \in \mathbb{Z}$ . Put

$$\Sigma_V := \{\ell \in \mathbb{Z} \mid \dim_{\mathbb{C}} V(\ell) = 1\}$$

Then  $V = \bigoplus_{\ell \in \Sigma_V} V(\ell)$ , and if  $\ell_1, \ell_2 \in \Sigma_V$ , then  $\ell_1 \equiv \ell_2 \pmod{2}$ . Let  $\epsilon \in \{0, 1\}$  be the **parity** of  $V$ , i.e.,  $\epsilon \equiv \ell \pmod{2}$  for all  $\ell \in \Sigma_V$ .

**Theorem 6.5.**

1. If  $\lambda_\Delta$  is not of the form  $m^2 - 1$ ,  $m \in \mathbb{Z}$ , or  $\lambda_\delta = m^2 - 1$  for some  $m \in \mathbb{Z}$  with  $m \equiv \epsilon \pmod{2}$ , then

$$\Sigma_V = \{\ell \in \mathbb{Z} \mid \ell \equiv_2 \epsilon\}$$

2. If  $\lambda_\Delta = m^2 - 1$  with  $m \equiv \epsilon + 1 \pmod{2}$ , then there are three possibilities of  $\Sigma_V$ . If we put  $m = k + 1$ , then either

- $\Sigma_V = \{|k|, |k| + 2, \dots\} = \{\ell \in \mathbb{Z} \mid \ell \geq |k|, \ell \equiv_2 \epsilon\}$ ,
- $\Sigma_V = \{-|k|, -|k| + 2, \dots, |k| - 2, |k|\} = \{\ell \in \mathbb{Z} \mid |\ell| \leq |k|, \ell \equiv_2 \epsilon\}$ , or
- $\Sigma_V = \{-|k|, -|k| - 2, \dots\} = \{\ell \in \mathbb{Z} \mid \ell \leq -|k|, \ell \equiv_2 \epsilon\}$ .

**Example.** A continuous character  $\chi : \mathbb{R}^\times \rightarrow \mathbb{C}^\times$  has the form  $\chi = |\cdot|^s \text{sign}^\epsilon$  with  $s \in \mathbb{C}$ ,  $\epsilon \in \{0, 1\}$ . Now pick  $s_1, s_2 \in \mathbb{C}$ ,  $\epsilon_1, \epsilon_2 \in \{0, 1\}$  and put  $\chi_i = |\cdot|^{s_i} \text{sign}^{\epsilon_i}$ . Form the unitary induction  $\text{ind}_B^G(\chi_1, \chi_2)$

$$I(\chi_1, \chi_2) = \left\{ f : \text{GL}_2(\mathbb{R}) \rightarrow \mathbb{C} \mid f \text{ is smooth and } K\text{-finite, } f \left( \begin{pmatrix} a_1 & b \\ 0 & a_2 \end{pmatrix} g \right) = \chi_1(a_1) \chi_2(a_2) \left| \frac{a_1}{a_2} \right|^{\frac{1}{2}} f(g) \right\}$$

Then  $V = I(\chi_1, \chi_2)$  is a  $(\mathfrak{g}, K)$ -module, and in particular a  $(\mathfrak{g}, K^+)$ -module. For  $\ell \in \mathbb{Z}$ , we have

$$V(\ell) = \{f \in I(\chi_1, \chi_2) \mid f(gk_\theta) = e^{i\ell\theta} f(g)\}$$

The Iwasawa decomposition  $G = BK^+$  implies  $\dim_{\mathbb{C}} V(\ell) \leq 1$ , with equality if and only if  $\ell \equiv \epsilon_1 + \epsilon_2 \pmod{2}$ . To see the equality, if  $f \in V(\ell)$ , then

$$(-1)^\ell f(e) = e^{i\ell\pi} f(e) = f \left( \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} e \right) = (-1)^{\epsilon_1 + \epsilon_2}$$

Thus  $f \neq 0$  if and only if  $f(e) \neq 0$ , if and only if  $\ell \equiv \epsilon_1 + \epsilon_2 \pmod{2}$ . Then

$$\Sigma_V = \{\ell \in \mathbb{Z} \mid \ell \equiv \epsilon := \epsilon_1 + \epsilon_2 \pmod{2}\}$$

For  $\ell \equiv_2 \epsilon$ , let  $\varphi_\ell \in V(\ell)$  be the unique function with  $\varphi_\ell(e) = 1$ . If we put  $s = s_1 - s_2$ , then

1.  $\rho(R)\varphi_\ell = \frac{s+1+\ell}{2}\varphi_{\ell+2}$ .
2.  $\rho(L)\varphi_\ell = \frac{s+1-\ell}{2}\varphi_{\ell-2}$ .
3.  $\rho(R_+)\varphi_\ell(e) = 0$ .
4.  $\rho(H_+)\varphi_\ell(e) = s+1$ .
5.  $\rho(\Delta) = (s^2 - 1)\varphi_\ell$ , so that  $\lambda_\Delta = s^2 - 1$ .
6.  $\rho(J)\varphi_\ell = (s_1 + s_2)\varphi_\ell$ , so that  $\lambda_J = s_1 + s_2$ .



## 7 Kirillov Model

Let  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$  be the standard additive character  $\psi = \psi_p$ , and  $(\pi, V)$  an irreducible smooth admissible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ . Recall we have Whittaker functional

$$\Lambda : V \rightarrow \mathbb{C}$$

associated with  $\psi$  satisfying

$$\Lambda(\pi(\mathbf{n}(x))v) = \psi(x)\Lambda(v)$$

Define

$$C_0(\mathbb{Q}_p^\times) := \{\phi : \mathbb{Q}_p^\times \rightarrow \mathbb{C} \mid \text{supp } \phi \text{ is bounded in } \mathbb{Q}_p\}$$

Clearly, both  $\mathcal{S}(\mathbb{Q}_p)$ ,  $\mathcal{S}(\mathbb{Q}_p) \subseteq C_0(\mathbb{Q}_p^\times)$ . Let

$$B_1 = \left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{Q}_p^\times, b \in \mathbb{Q}_p \right\} \leq G$$

and let  $(K_\psi, C_0(\mathbb{Q}_p^\times))$  be the representation of  $B_1$  given by

$$K_\psi \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \phi(x) := \psi(bx)\phi(xa)$$

Then  $K_\psi : B_1 \rightarrow \mathrm{GL}(C_0(\mathbb{Q}_p^\times))$  is called the **Kirillov representation**.

Consider the map

$$\begin{aligned} (\pi, V) &\longrightarrow C_0(\mathbb{Q}_p^\times) \\ v &\longmapsto \xi_v(a) := \Lambda \left( \pi \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} v \right) \end{aligned}$$

Note that this association  $[v \mapsto \xi_v]$  is an intertwining operator: for  $g = \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \in B_1$  and  $x \in \mathbb{Q}_p^\times$

$$\begin{aligned} \xi_{\pi(g)v}(x) &= \Lambda \left( \pi \begin{pmatrix} x & 0 \\ 0 & 1 \end{pmatrix} \pi \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} v \right) \\ &= \Lambda \left( \pi \begin{pmatrix} 1 & bx \\ 0 & 1 \end{pmatrix} \pi \begin{pmatrix} ax & 0 \\ 0 & 1 \end{pmatrix} v \right) = \psi(bx)\Lambda \left( \pi \begin{pmatrix} ax & 0 \\ 0 & 1 \end{pmatrix} v \right) \end{aligned}$$

and

$$K_\psi(g)\xi_v(x) = \psi(bx)\xi_v(ax) = \psi(bx)\Lambda \left( \pi \begin{pmatrix} ax & 0 \\ 0 & 1 \end{pmatrix} v \right)$$

so that  $\xi_{\pi(g)v}(x) = K_\psi(g)\xi_v(x)$  as claimed.

**Proposition 7.1.**  $v \mapsto \xi_v$  is injective if  $\dim V = \infty$ .

*Proof.* Let  $v \in V$  and  $\xi_v = 0$ . Recall the space

$$V_\psi(N) = \text{span}_{\mathbb{C}} \left\{ \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v - \psi(x)v \mid x \in \mathbb{Q}_p, v \in V \right\} \subseteq V$$

Recall [Theorem 5.1](#) that  $\dim_{\mathbb{C}} J_{\psi}(V) = 1$  with  $J_{\psi}(V) := V/V_{\psi}(N)$ . In this setting,  $\Lambda : J_{\psi}(V) \rightarrow \mathbb{C}$  is an isomorphism (note  $\psi \neq 1$ ). Then

$$\begin{aligned} \xi_v = 0 &\Leftrightarrow \Lambda \left( \pi \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} v \right) = 0 \text{ for all } a \in \mathbb{Q}_p^{\times} \\ &\Leftrightarrow \pi \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} v \in V_{\psi}(N) \text{ for all } a \in \mathbb{Q}_p^{\times} \\ &\Rightarrow v \in V_{\psi_a}(N) \text{ for all } a \in \mathbb{Q}_p^{\times} \end{aligned}$$

where  $\psi(x) := \psi(ax)$ . The last implication is because that if we write

$$\pi \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} v = \sum_{x,w} \left( \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} w - \psi(x)w \right)$$

then

$$\begin{aligned} v &= \sum_{x,w} \left( \pi \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} w - \psi(x) \pi \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} w \right) \\ &= \sum_{x,w} \left( \pi \begin{pmatrix} 1 & a^{-1}x \text{ (=y)} \\ 0 & 1 \end{pmatrix} \pi \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} w - \underbrace{\psi(x) \pi \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} w}_{=:w'} \right) \\ &= \sum_{x',w'} \left( \pi \begin{pmatrix} 1 & y \\ 0 & 1 \end{pmatrix} w' - \psi(ay)w' \right) \end{aligned}$$

We view  $V$  as a smooth  $\mathcal{S}(\mathbb{Q}_p)$ -module, where the action is given by

$$\phi.v := \pi(\widehat{\phi})v$$

for  $\phi \in \mathcal{S}(\mathbb{Q}_p)$ ,  $v \in V$ , where  $\widehat{\phi}$  is the Fourier transform of  $\phi$  (with respect to the standard character  $\psi_p$ ). For  $a \in \mathbb{Q}_p^{\times}$ , put  $V_a := J_{\psi_a}(V)$ , which is the stalk of  $V$  at  $a \in \mathbb{Q}_p^{\times}$ . Then

$$v \in V_{\psi_a}(N) \text{ for all } a \in \mathbb{Q}_p^{\times} \Rightarrow v = 0 \text{ in } V_a \text{ for all } a \in \mathbb{Q}_p^{\times} \quad (\spadesuit)$$

By [Lemma 3.13](#), we have an injective map

$$V \hookrightarrow \prod_{a \in \mathbb{Q}_p^{\times}} V_a$$

Suppose for contradiction that  $v \neq 0$ . Then  $(\spadesuit)$  and the injectivity of the above map force that  $v \neq 0$  in the Jacquet module  $V_0 = J(V) = V/V(N)$ . Denote

$$K := \{v \in V \mid \xi_v = 0\}$$

Then the above map induces an injective map

$$K \hookrightarrow V_0 = J(V)$$

For  $v \in K$ , we have  $\pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v \in K$  for all  $x \in \mathbb{Q}_p$ . Indeed, we have  $\xi_{\pi(g)v} = K_{\psi}(g)\xi_v = 0$  with  $g = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$ .

Then

$$K \ni v - \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v \equiv 0 \pmod{V(N)}$$

so that the injectivity implies that

$$v = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v$$

for all  $x \in \mathbb{Q}_p$ . This (by a lemma in the class) implies  $\dim V = 1$  since  $0 \neq v \in K$ , a contradiction.  $\square$

Suppose  $(\pi, V)$  is an irreducible smooth admissible representation with  $\dim V = \infty$ . The proposition shows we have an injective operator

$$\begin{aligned} (\pi, V) &\longrightarrow C_0(\mathbb{Q}_p^\times) \\ v &\longmapsto \xi_v(a) := \Lambda \left( \pi \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} v \right) \end{aligned}$$

Let  $K_\psi(\pi) \subseteq C_0(\mathbb{Q}_p^\times)$  be the image; then

$$V \cong K_\psi(\pi) = \{\xi_v \mid v \in V\} \subseteq C_0(\mathbb{Q}_p^\times)$$

The action of  $G$  on  $V$  is transferred to an action on  $K_\psi(\pi)$  via this map, namely,

$$\begin{aligned} K_\psi : G &\longrightarrow \mathrm{GL}(K_\psi(\pi)) \\ g &\longmapsto [K_\psi(g) : \xi_v \mapsto \xi_{\pi(g).v}] \end{aligned}$$

$(K_\psi, K_\psi(\pi))$  is called the **Kirillov model** of  $(\pi, V)$ . In general, it is difficult to write down explicitly the action of  $\mathrm{GL}_2(\mathbb{Q}_p)$  on  $K_\psi(\pi)$ , but we know

$$K_\psi \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \xi_v(x) = \psi(bx) \xi_v(ax)$$

Recall the Kirillov representation  $(K_\psi, C_0(\mathbb{Q}_p^\times))$  of  $B_1$  defined above. Consider its subrepresentation  $(K_\psi, \mathcal{S}(\mathbb{Q}_p^\times))$ .

**Theorem 7.2.**  $(K_\psi, \mathcal{S}(\mathbb{Q}_p^\times))$  is an irreducible representation of  $B_1$ .

*Proof.* For any  $a \in \mathbb{Q}_p^\times$  and a continuous homomorphism  $\nu : \mathbb{Z}_p^\times \rightarrow \mathbb{C}^\times$ , define  $\phi_{a,\nu} \in \mathcal{S}(\mathbb{Q}_p^\times)$  by

$$\phi_{a,\nu}(x) := \nu(ax) \mathbf{1}_{\mathbb{Z}_p^\times}(ax)$$

**Lemma 7.3.**

$$\mathcal{S}(\mathbb{Q}_p^\times) = \mathrm{span}_{\mathbb{C}}\{\phi_{a,\nu} \mid a \in \mathbb{Q}_p^\times, \nu : \mathbb{Z}_p^\times \rightarrow \mathbb{C}^\times\}$$

*Proof.* Let  $\phi \in \mathcal{S}(\mathbb{Q}_p^\times)$ . Then  $\phi(x) = \sum_{n \in \mathbb{Z}} \phi(x) \mathbf{1}_{\mathbb{Z}_p^\times}(p^n x)$ . We first show a smooth function  $\varphi$  supported on  $\mathbb{Z}_p^\times$  can be written as a sum of characters. Let  $H$  be a subgroup of  $\mathbb{Z}_p^\times$  such that on each coset of  $H$ ,  $\varphi$  is a constant; this is possible, for  $\mathbb{Z}_p^\times$  is compact (and totally disconnected). Then  $\varphi$  descends to the quotient  $\varphi' : \mathbb{Z}_p^\times / H \rightarrow \mathbb{C}$ . Since  $\mathbb{Z}_p^\times / H$  is a finite abelian group,  $\varphi' = \sum_{\nu \in \widehat{\mathbb{Z}_p^\times / H}} a_\nu \cdot \nu$ , and hence so is  $\varphi$ .

Each  $\phi(x) \mathbf{1}_{\mathbb{Z}_p^\times}(p^n x)$  can be viewed (under suitable dilation) as a smooth function on  $\mathbb{Z}_p^\times$ , so the above argument proves the lemma.  $\square$

Suppose  $0 \neq W \subseteq \mathcal{S}(\mathbb{Q}_p^\times)$  is  $B_1$ -invariant. We want to show  $W = \mathcal{S}(\mathbb{Q}_p^\times)$ .

- 1) There exists  $\phi_{a,\nu} \in W$  for some  $a, \nu$ . To show this, take  $0 \neq \phi \in W$ . Since  $\phi$  is compactly supported, we can find  $n \in \mathbb{Z}$  such that  $\phi|_{p^n \mathbb{Z}_p^\times} \neq 0$  while  $\phi|_{p^m \mathbb{Z}_p^\times} = 0$  for all  $m < n$ . Write

$$\phi(p^n u) = \sum_{\nu \in \widehat{\mathbb{Z}_p^\times}} a_\nu \cdot \nu(u)$$

where  $u \in \mathbb{Z}_p^\times$  and  $\widehat{\mathbb{Z}_p^\times}$  denotes the continuous dual, and

$$a_\nu := \int_{\mathbb{Z}_p^\times} \phi(p^n u) \nu^{-1}(u) d^\times u$$

This is in fact a finite sum, as said in the above lemma.

Since  $\phi \neq 0$ , we have  $a_\nu \neq 0$  for some  $\nu \in \widehat{\mathbb{Z}_p^\times}$ . Define

$$\begin{aligned} \phi_\nu(x) &:= \int_{\mathbb{Z}_p^\times} \phi(p^n u x) \nu^{-1}(u) d^\times u \\ &= \int_{\mathbb{Z}_p^\times} K_\psi \begin{pmatrix} p^n u & \\ & 1 \end{pmatrix} \phi(x) \nu^{-1}(u) d^\times u \end{aligned}$$

Then  $[x \mapsto \phi_\nu(x)]$  lies in  $W$ , for  $\phi \in W$  and  $W$  is  $B_1$ -invariant. Note that  $\phi_\nu(xu) = \nu(y)\phi_\nu(x)$  for all  $u \in \mathbb{Z}_p^\times$ . Define

$$\begin{aligned} \phi_{p^n, \nu}^+(x) &:= \int_{\mathbb{Z}_p} K_\psi \begin{pmatrix} 1 & z \\ & p^n \end{pmatrix} \phi_\nu(x) dz \in W \\ &= \int_{\mathbb{Z}_p^\times} \psi \left( \frac{zx}{p^n} \right) \phi_\nu(x) dz = \phi_\nu(x) \mathbb{I}_{p^n \mathbb{Z}_p}(x) \end{aligned}$$

The last equality is because  $\psi = \psi_p$  is the standard additive character. Then

$$\phi_{p^n, \nu}(x) = \phi_{p^n, \nu}^+(x) - \phi_{p^{n+1}, \nu}^+(x) = \phi_\nu(x) \mathbb{I}_{p^n \mathbb{Z}_p}(x) \in W$$

- 2) For  $\mu \in \widehat{\mathbb{Z}_p^\times} \setminus \{\nu\}$ , let  $c := p^n$  be the conductor of  $\mu$  and consider

$$\begin{aligned} &\int_{\mathbb{Z}_p^\times} \mu^{-1}(u) K_\psi \begin{pmatrix} 1 & au \\ & c \end{pmatrix} \phi_{a,\nu}(x) d^\times u \in W \\ &= \int_{\mathbb{Z}_p^\times} \mu^{-1}(u) \psi_p \left( \frac{aux}{c} \right) \phi_{a,\nu}(x) d^\times u \\ &= \epsilon(0, \mu^{-1}) \mu \left( \frac{ax}{c} \right) \phi_{a,\nu}(x) \\ &= \underbrace{\epsilon(0, \mu^{-1}) \mu^{-1}(c)}_{\neq 0} \phi_{a,\mu\nu}(x) \end{aligned}$$

where we have extended  $\mu$  to be a character on  $\mathbb{Q}_p^\times$  by setting  $\mu(p) := 1$ , and

$$\epsilon(0, \mu^{-1}) := \int_{\mathbb{Z}_p^\times} \mu^{-1}(u) \psi_p(u) d^\times u$$

Thus  $\phi_{a,\mu\nu} \in W$  for all  $\mu \neq \nu$ , so that  $\phi_{a,\mu} \in W$  for all  $\mu \in \widehat{\mathbb{Z}_p^\times}$ . Finally,

$$K_\psi \begin{pmatrix} a' & \\ & 1 \end{pmatrix} \phi_{a,\nu} = \phi_{aa',\nu}$$

so that  $\phi_{a,\mu} \in W$  for all  $a \in \mathbb{Q}_p^\times$ ,  $\mu \in \widehat{\mathbb{Z}_p^\times}$ . Thus  $W = \mathcal{S}(\mathbb{Q}_p^\times)$ .

□

**Lemma 7.4.** For all  $v \in V(N)$ , we have  $\xi_v \in \mathcal{S}(\mathbb{Q}_p^\times)$ . Further we have a commutative diagram

$$\begin{array}{ccc} V & \xrightarrow{\sim} & K_\psi(\pi) \hookrightarrow C_0(\mathbb{Q}_p^\times) \\ \cup & & \cup \\ V(N) & \xrightarrow{\sim} & \mathcal{S}(\mathbb{Q}_p^\times) \end{array}$$

*Proof.* Recall

$$V(N) = \text{span}_{\mathbb{C}} \left\{ \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} v - v \mid x \in \mathbb{Q}_p, v \in V \right\}$$

For  $v = \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} w - w$  with  $x \neq 0$ ,

$$\xi_v(y) = \pi \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \xi_w(y) - \xi_w(y) = (\psi(xy) - 1)\xi_w(y)$$

If  $y \in x^{-1}\mathbb{Z}_p$ , then  $\psi(xy) = 1$  so that  $\xi_v(y) = 0$ ; in particular,  $\xi_v(y) \in \mathcal{S}(\mathbb{Q}_p^\times)$ .

On the other hand,  $V(N)$  is a  $B_1$ -module for

$$\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & ax \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$$

so by the theorem we have either  $V(N) = 0$  or  $V(N) \cong \mathcal{S}(\mathbb{Q}_p^\times)$ . But if  $V(N) = 0$ , then  $V^N \neq \emptyset$  so that  $\dim_{\mathbb{C}} V = 1$  by [Lemma 4.3](#), a contradiction. □

**Conclusion.** For  $(\pi, V)$  admissible smooth irreducible representation of  $G = \text{GL}_2(\mathbb{Q})$  with  $\dim V = \infty$ , we have

$$\mathcal{S}(\mathbb{Q}_p^\times) \subseteq K_\psi(\pi) \subseteq C_0(\mathbb{Q}_p^\times)$$

with

$$\frac{K_\psi(\pi)}{\mathcal{S}(\mathbb{Q}_p^\times)} \cong \frac{V}{V(N)} = J(V)$$

and (by [Theorem 5.6](#))

$$\dim_{\mathbb{C}} \frac{K_\psi(\pi)}{\mathcal{S}(\mathbb{Q}_p^\times)} \leq 2$$

Now recall the space

$$W_\psi = \{W : G \rightarrow \mathbb{C} \mid W \text{ is smooth, } W(\mathbf{n}(x)g) = \psi(x)W(g)\}$$

and the map

$$\begin{aligned} V & \longrightarrow W_\psi \\ v & \longmapsto W_v(g) := \Lambda(\pi(g)v) \end{aligned}$$

Let  $W_\psi(\pi) \subseteq W_\psi$  denote the image of  $V$  under this map, and let  $G$  act on  $W_\psi(\pi)$  by right translation  $\rho : G \rightarrow \text{GL}(W_\psi(\pi))$ , namely,  $\rho(g)W(x) := W(xg)$ . Then  $(\rho, W_\psi(\pi))$  is called the **Whittaker model** of  $(\pi, V)$ . We have a commutative triangle

$$\begin{array}{ccccc}
 & v & \xrightarrow{\quad} & W_v & \\
 & (\pi, V) & \xrightarrow{\quad \sim \quad} & (\rho, W_\psi(\pi)) & \\
 v & \searrow & \xrightarrow{\quad \sim \quad} & \swarrow & W \\
 & \xi_v & (K_\psi, K_\psi(\pi)) & \xi_W(a) := W \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} \right) & \\
 & & & & 
 \end{array}$$

## 8 Classification of Irreducible Representations of $\mathrm{GL}_2(\mathbb{Q}_p)$

### 8.1 Weil representation

For two characters  $\chi_1, \chi_2 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ , define  $\chi : B \rightarrow \mathbb{C}^\times$  by

$$\chi \begin{pmatrix} a_1 & b \\ & a_2 \end{pmatrix} = \chi_1(a_1)\chi_2(a_2)$$

and

$$I(\chi_1, \chi_2) = \mathrm{Ind}_B^G \chi := \left\{ f : G \xrightarrow{\text{smooth}} \mathbb{C} \mid f(bg) = \chi(b)\delta_B(b)^{\frac{1}{2}}f(g) \right\} = \mathrm{ind}_B^G \chi \delta_B^{\frac{1}{2}}$$

where

$$\delta_B : B \longrightarrow \mathbb{R}_+$$

$$\begin{pmatrix} a_1 & b \\ & a_2 \end{pmatrix} \longmapsto \left| \frac{a_1}{a_2} \right|_p$$

is the modular character of  $B$ . Now let  $G$  act on  $I(\chi_1, \chi_2)$  by right translation:

$$\rho : G \longrightarrow \mathrm{GL}(I(\chi_1, \chi_2))$$

$$g \longmapsto \rho(g)f(x) := f(xg)$$

By [Lemma 5.8](#) (and the argument below there),  $I(\chi_1, \chi_2)$  is an admissible smooth representation of  $G$ .

**Definition.** The space of **Bruhat-Schwartz functions** is defined as

$$\mathcal{S}(\mathbb{Q}_p^2) = \mathcal{S}(\mathbb{Q}_p) \otimes_{\mathbb{C}} \mathcal{S}(\mathbb{Q}_p) := \mathrm{span}_{\mathbb{C}} \{ \varphi_1 \otimes \varphi_2(x, y) := \varphi_1(x)\varphi_2(y) \mid \varphi_i \in \mathcal{S}(\mathbb{Q}_p) \}$$

on which  $G$  acts by right translation:

$$\rho : G \longrightarrow \mathrm{GL}(\mathcal{S}(\mathbb{Q}_p^2))$$

$$g \longmapsto \rho(g)\Phi(x, y) := \Phi((x \ y)g)$$

**Definition.** On  $\mathcal{S}(\mathbb{Q}_p^2)$  we define the **partial Fourier transform**

$$\mathcal{S}(\mathbb{Q}_p^2) \longrightarrow \mathcal{S}(\mathbb{Q}_p^2)$$

$$\Phi \longmapsto \Phi^\sim$$

Here  $\Phi^\sim$  is defined by the integral

$$\Phi^\sim(x, y) := \int_{\mathbb{Q}_p} \Phi(x, a)\psi_p(ay)da$$

where  $da$  is the self-dual Haar measure on  $\mathbb{Q}_p$  (in this case,  $da$  is chosen so that  $\mathrm{vol}(\mathbb{Z}_p, da) = 1$ ).

When  $\Phi = \varphi_1 \otimes \varphi_2$  is a simple tensor, then

$$(\varphi_1 \otimes \varphi_2)^\sim = \varphi_1 \otimes \widehat{\varphi_2}$$

Since  $\varphi \mapsto \widehat{\varphi}$  is an isomorphism on  $\mathcal{S}(\mathbb{Q}_p)$ , the partial Fourier transform is an isomorphism

$$\mathcal{S}(\mathbb{Q}_p^2) \xrightarrow{\sim} \mathcal{S}(\mathbb{Q}_p^2)$$

$$\Phi \longmapsto \Phi^\sim$$

and this induces a new action of  $G$  on  $\mathcal{S}(\mathbb{Q}_p^2)$ :

$$\omega_\psi : G \longrightarrow \mathrm{GL}(\mathcal{S}(\mathbb{Q}_p^2))$$

such that

$$(\omega_\psi(g)\Phi)^\sim := \rho(g)\Phi^\sim$$

$(\omega_\psi, \mathcal{S}(\mathbb{Q}_p^2))$  is called the **Weil representation** of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ . By definition,

$$(\cdot)^\sim \in \mathrm{Isom}_G((\omega_\psi, \mathcal{S}(\mathbb{Q}_p^2)), (\rho, \mathcal{S}(\mathbb{Q}_p^2)))$$

and  $\omega_\psi$  is smooth (for  $\rho$  is smooth).

**Formulas.** For  $\Phi \in \mathcal{S}(\mathbb{Q}_p^2)$  and  $\psi = \psi_p$ , we have the following:

$$(i) \quad \omega_\psi \begin{pmatrix} a & \\ & a^{-1} \end{pmatrix} \Phi(x, y) = |a| \Phi(xa, ya).$$

$$(ii) \quad \omega_\psi \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix} \Phi(x, y) = \psi(bxy) \Phi(x, y).$$

$$(iii) \quad \omega_\psi \begin{pmatrix} & 1 \\ -1 & \end{pmatrix} \Phi(x, y) = \int_{\mathbb{Q}_p^2} \Phi(a, b) \psi(ay + bx) da db.$$

$$(iv) \quad \omega_\psi \begin{pmatrix} a & \\ & 1 \end{pmatrix} \Phi(x, y) = \Phi(ax, y).$$

*Proof.* The first step to prove these formulas is to take  $\sim$  and prove the corresponding identities.

(i) We need to show

$$\rho \begin{pmatrix} a & \\ & a^{-1} \end{pmatrix} \Phi^\sim(x, y) =: \left( \omega_\psi \begin{pmatrix} a & \\ & a^{-1} \end{pmatrix} \Phi(x, y) \right)^\sim(x, y) = \left( |a| \rho \begin{pmatrix} a & \\ & a \end{pmatrix} \Phi \right)^\sim(x, y)$$

Now just compute

$$\begin{aligned} \left( |a| \rho \begin{pmatrix} a & \\ & a \end{pmatrix} \Phi \right)^\sim(x, y) &= \int_{\mathbb{Q}_p} |a| \Phi(ax, at) \psi(yt) dt \\ &= \int_{\mathbb{Q}_p} \Phi(ax, t) \psi(ya^{-1}t) = \Phi^\sim(ax, a^{-1}y) = \rho \begin{pmatrix} a & \\ & a^{-1} \end{pmatrix} \Phi^\sim(x, y) \end{aligned}$$

(ii)

$$\Phi^\sim(x, bx + y) = \rho \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix} \Phi^\sim(x, y) = \int_{\mathbb{Q}_p} \psi(bxt) \Phi(x, t) \psi(yt) dt = \int_{\mathbb{Q}_p} \Phi(x, t) \psi((bx + y)t) dt$$

(iii) We need to show

$$\Phi^\sim(-y, x) = \rho \begin{pmatrix} & 1 \\ -1 & \end{pmatrix} \Phi^\sim(x, y) = \int_{\mathbb{Q}_p} \left( \int_{\mathbb{Q}_p^2} \Phi(a, b) \psi(at + bx) \psi(yt) da db \right) dt$$

Let  $\Phi = \varphi_1 \otimes \varphi_2$ . Expanding, we have

$$\int_{\mathbb{Q}_p} \left( \int_{\mathbb{Q}_p^2} \Phi(a, b) \psi(at + bx) \psi(yt) da db \right) dt = \int_{\mathbb{Q}_p} \widehat{\varphi_1}(t) \widehat{\varphi_2}(x) \psi(yt) dt = \varphi_1(-y) \widehat{\varphi_2}(x) = \Phi^\sim(-y, x)$$



(iv)

$$\Phi^\sim(ax, y) = \rho \begin{pmatrix} a & \\ & 1 \end{pmatrix} \Phi^\sim(x, y) = \left( \rho \begin{pmatrix} a & \\ & 1 \end{pmatrix} \Phi \right)^\sim(x, y) = \int_{\mathbb{Q}_p} \Phi(ax, t) \psi(ty) dt$$

□

## 8.2 Construction of Whittaker functional

Given  $\chi = (\chi_1, \chi_2) : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  and  $\Phi \in \mathcal{S}(\mathbb{Q}_p^2)$ , define

$$W_{\Phi, \chi} : G \longrightarrow \mathbb{C}$$

$$g \longmapsto \chi_1 | \cdot |^{\frac{1}{2}} (\det g) \int_{\mathbb{Q}_p^\times} \omega_\psi(g) \Phi(t, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t$$

The integral really takes place on a compact set, so it is absolutely convergent. To see this, since  $\Phi$  has compact support, so does  $\omega_\psi(g)\Phi$ . Then  $\omega_\psi(g)\Phi(t, t^{-1}) \neq 0$  if and only if  $|t| \leq C_1$  and  $|t^{-1}| \leq C_2$  for some  $C_1, C_2 > 0$ , i.e.,

$$0 < C_2^{-1} \leq |t| \leq C_1$$

The map  $W_{\Phi, \chi}$  is a Whittaker functional of  $\psi$ , i.e.,  $W_{\Phi, \chi}$  is smooth and satisfies

$$W_{\Phi, \chi}(\mathbf{n}(x)g) = \psi(x)W_{\Phi, \chi}(g)$$

for all  $x \in \mathbb{Q}_p$  and  $g \in G$ .

- Smoothness. This follows from that  $\omega_\psi$  is smooth.
- Expanding the LHS, we see

$$\begin{aligned} W_{\Phi, \chi}(\mathbf{n}(x)g) &= \chi_1 | \cdot |^{\frac{1}{2}} (\det(\mathbf{n}(x)g)) \int_{\mathbb{Q}_p^\times} \omega_\psi(\mathbf{n}(x)g) \Phi(t, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t \\ &\stackrel{\text{For. (ii)}}{=} \chi_1 | \cdot |^{\frac{1}{2}} (\det g) \int_{\mathbb{Q}_p^\times} \psi(x) \omega_\psi(g) \Phi(t, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t \\ &= \psi(x) W_{\Phi, \chi}(g) \end{aligned}$$

The map  $[\Phi \mapsto W_{\Phi, \chi}] \in \text{Hom}_G((\rho, \mathcal{S}(\mathbb{Q}_p^2)), (\rho, W_\psi))$  is NOT intertwining. Nevertheless, formally we have

$$\begin{aligned} \chi_1^{-1} | \cdot |^{-\frac{1}{2}}(a) W_{\rho(g)\Phi^\sim, \chi} \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) &= \int_{\mathbb{Q}_p^\times} (\omega_\psi(g)\Phi)^\sim(at, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t \\ &= \int_{\mathbb{Q}_p^\times} \int_{\mathbb{Q}_p} \omega_\psi(g) \Phi(at, x) \psi(t^{-1}x) \chi_1 \chi_2^{-1}(t) dx d^\times t \\ &= \int_{\mathbb{Q}_p^\times} \int_{\mathbb{Q}_p} \omega_\psi(g) \Phi(t, x) \psi(at^{-1}x) \chi_1 \chi_2^{-1}(a^{-1}t) dx d^\times t \\ &= \chi_1^{-1} \chi_2(a) \int_{\mathbb{Q}_p^\times} \int_{\mathbb{Q}_p} \omega_\psi(g) \Phi(t, tx) \psi(ax) \chi_1 \chi_2^{-1} | \cdot | (t) dx d^\times t \end{aligned}$$

Changes of variables are valid if  $\text{wt}(\chi_1\chi_2^{-1}) > 0$  is assumed. If we write  $(t, tx) = (0, t)w\mathbf{n}(x)$ , where  $w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ , then

$$\begin{aligned} \chi_2^{-1}|\cdot|^{-\frac{1}{2}}(a)W_{\rho(g)\Phi\sim, \chi} \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) &= \int_{\mathbb{Q}_p^\times} \int_{\mathbb{Q}_p} \omega_\psi(g)\Phi((0 \ t)w\mathbf{n}(x))\psi(ax)\chi_1\chi_2^{-1}(t)|t|dx d^\times t \\ &= \int_{\mathbb{Q}_p} f_{\omega_\psi(g)\Phi, \chi}(w\mathbf{n}(x))\psi(ax)dx \end{aligned}$$

where  $f_{\Phi, \chi}$  is the function defined by

$$\begin{aligned} f_{\Phi, \chi} : G &\longrightarrow \mathbb{C} \\ g &\longmapsto \chi_1|\cdot|^{\frac{1}{2}}(\det g) \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)g)\chi_1\chi_2^{-1}|\cdot|(t)d^\times t \end{aligned}$$

This is a local zeta integral, or a Tate integral, on  $\text{GL}(1)$ , and it converges absolutely when  $\text{wt}(\chi_1\chi_2^{-1}) > -1$ . (Recall the weight of a character  $\chi$  is the unique real number  $\text{wt}(\chi)$  such that  $|\chi| = |\cdot|^{\text{wt}(\chi)}$ .) When  $\text{wt}(\chi_1\chi_2^{-1}) > -1$ , we check that  $f_{\Phi, \chi} \in I(\chi_1, \chi_2)$ . For  $b = \begin{pmatrix} a_1 & * \\ & a_2 \end{pmatrix} \in B$ ,

$$\begin{aligned} f_{\Phi, \chi}(bg) &= \chi_1|\cdot|^{\frac{1}{2}}(\det bg) \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)bg)\chi_1\chi_2^{-1}|\cdot|(t)d^\times t \\ (t \mapsto a_2^{-1}t) &= \chi_1|\cdot|^{\frac{1}{2}}(a_1a_2 \det g) \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)g)\chi_1\chi_2^{-1}|\cdot|(a_2^{-1}t)d^\times t \\ &= \chi_1(a_1)\chi_2(a_2) \left| \frac{a_1}{a_2} \right|^{\frac{1}{2}} \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)g)\chi_1\chi_2^{-1}|\cdot|(t)d^\times t \\ &= \chi(b)\delta_B(b)^{\frac{1}{2}}f_{\Phi, \chi}(g) \end{aligned}$$

Again,  $\Phi \mapsto f_{\Phi, \chi}$  is NOT intertwining. Nevertheless, we have  $\rho(g)f_{\Phi, \chi} = \chi_1|\cdot|^{\frac{1}{2}}(\det g)f_{\rho(g)\Phi, \chi}$ ; indeed, by definition,

$$\begin{aligned} \rho(g)f_{\Phi, \chi}(x) &= \chi_1|\cdot|^{\frac{1}{2}}(\det xg) \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)xg)\chi_1\chi_2^{-1}|\cdot|(t)d^\times t \\ &= \chi_1|\cdot|^{\frac{1}{2}}(\det x \det g) \int_{\mathbb{Q}_p^\times} \rho(g)\Phi((0 \ t)x)\chi_1\chi_2^{-1}|\cdot|(t)d^\times t \\ &= \chi_1|\cdot|^{\frac{1}{2}}(\det g)f_{\rho(g)\Phi, \chi}(x) \end{aligned}$$

In the following, we always assume  $\text{wt}(\chi_1\chi_2^{-1}) > 1$ .

Consider the diagram

$$\begin{array}{ccc} \Phi & \longrightarrow & f_{\Phi\sim, \chi} \\ \downarrow & \mathcal{S}(\mathbb{Q}_p^2) \longrightarrow & I(\chi_1, \chi_2) \\ W_{\Phi, \chi} & \downarrow & W_\psi \end{array}$$

On each space  $G$  act by right translation.

**Proposition 8.1.**

1. If  $f_{\Phi^{\sim}, \chi} = 0$ , then  $W_{\Phi, \chi} = 0$ .
2. The map  $\Phi \mapsto f_{\Phi^{\sim}, \chi}$  is surjective onto  $I(\chi_1, \chi_2)$ .

By this proposition, we obtain a (colored) arrow

$$\begin{array}{ccc} \mathcal{S}(\mathbb{Q}_p^2) & \longrightarrow & I(\chi_1, \chi_2) \\ \downarrow & & \swarrow \text{red arrow} \\ W_\psi & & \end{array}$$

making this triangle commutative. To show this proposition, we need the following.

**Lemma 8.2.** For all  $x \in \mathbb{Q}_p$ , we have the identity

$$\int_{\mathbb{Q}_p} W_{\Phi, \chi} \begin{pmatrix} a & \\ & 1 \end{pmatrix} \chi_2^{-1} |\cdot|^{-\frac{1}{2}}(a) \psi(ax) da = f_{\Phi^{\sim}, \chi}(w\mathbf{n}(x))$$

where  $w = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \in G = \text{GL}_2(\mathbb{Q}_p)$  is the Weyl element.

*Proof.* Define  $\xi^* : \mathbb{Q}_p^\times \rightarrow \mathbb{C}$  by

$$\begin{aligned} \xi^*(a) &:= W_{\Phi, \chi} \begin{pmatrix} a & \\ & 1 \end{pmatrix} \chi_2^{-1} |\cdot|^{-\frac{1}{2}}(a) \\ &\stackrel{\text{For. (iv)}}{=} \chi_1 \chi_2^{-1}(a) \int_{\mathbb{Q}_p^\times} \Phi(at, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t \end{aligned}$$

Then  $\xi^* \in L^1(\mathbb{Q}_p)$ , for (first replace  $t$  by  $t^{-1}$  in the definition of  $\xi^*$ )

$$\begin{aligned} \int_{\mathbb{Q}_p} |\xi^*(a)| da &\leq \int_{\mathbb{Q}_p} |\chi_1 \chi_2^{-1}(a)| \int_{\mathbb{Q}_p^\times} |\Phi(at^{-1}, t)| |\chi_1 \chi_2^{-1}(t^{-1})| d^\times t da \\ &= \int_{\mathbb{Q}_p^\times \times \mathbb{Q}_p} |\Phi(at^{-1}, t)| |\chi_1 \chi_2^{-1}(at^{-1})| d^\times t da \\ (a \mapsto at) &= \int_{\mathbb{Q}_p^\times \times \mathbb{Q}_p} |\Phi(a, t)| |\chi_1 \chi_2^{-1}(a)| |t| d^\times t da \\ (|t| d^\times t da = |a| dt d^\times a) &= \int_{\mathbb{Q}_p \times \mathbb{Q}_p^\times} |\Phi(a, t)| |\chi_1 \chi_2^{-1}| \cdot |(a)| dt d^\times a < \infty \end{aligned}$$

because  $\text{supp } \Phi$  is compact and  $\text{wt}(\chi_1 \chi_2^{-1} |\cdot|) > 0$ . Now for the sake of absolute convergence, we have

$$\begin{aligned} \int_{\mathbb{Q}_p} \xi^*(a) \psi(ax) da &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi(at, t^{-1}) \chi_1 \chi_2^{-1}(at) \psi(ax) d^\times t da \\ (t \mapsto ta^{-1}) &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi(t, t^{-1}a) \chi_1 \chi_2^{-1}(t) \psi(ax) d^\times t da \\ (a \mapsto at) &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi(t, a) \chi_1 \chi_2^{-1}(t) \psi(atx) |t| d^\times t da \\ &= \int_{\mathbb{Q}_p^\times} \Phi^\sim(t, tx) \chi_1 \chi_2^{-1} |\cdot| (t) d^\times t \\ &= f_{\Phi^{\sim}, \chi}(w\mathbf{n}(x)) \end{aligned}$$

The last equality holds because of  $\det(w\mathbf{n}(x)) = 1$  and

$$(t \ tx) = (0 \ t) \begin{pmatrix} & -1 \\ 1 & x \end{pmatrix} = (0 \ t)w\mathbf{n}(x)$$

□

**Remark 8.3.** If  $\text{wt}(\chi_1\chi_2^{-1}) > 0$ , then

$$\int_{\mathbb{Q}_p} f_{\Phi^\sim, \chi}(w\mathbf{n}(x))\psi(-ax)dx = W_{\Phi, \chi} \begin{pmatrix} a & \\ & 1 \end{pmatrix} \chi_2^{-1}|\cdot|^{-\frac{1}{2}}(a)$$

for all  $a \in \mathbb{Q}_p^\times$ . This is a kind of Fourier inversion formula.

*Proof.* (of [Proposition 8.1.1](#)) Suppose  $f_{\Phi^\sim, \chi} = 0$ ; in particular,  $f_{\Phi^\sim, \chi}(w\mathbf{n}(x)) = 0$  for all  $x \in \mathbb{Q}_p$ . Let

$$\xi^*(a) = W_{\Phi, \chi} \begin{pmatrix} a & \\ & 1 \end{pmatrix} \chi_2^{-1}|\cdot|^{-\frac{1}{2}}(a)$$

be the same as in [Lemma 8.2](#). Then by the same lemma, we have

$$\int_{\mathbb{Q}_p} \xi^*(a)\psi(ax)da = 0 \text{ for all } x \in \mathbb{Q}_p$$

Integrating, for  $N \gg 0$  and  $x \in \mathbb{Q}_p^\times$ , we have

$$\begin{aligned} 0 &= \int_{p^{-N}\mathbb{Z}_p} \int_{\mathbb{Q}_p} \xi^*(a)\psi(ab)\psi(-bx)dadb \\ &= \int_{\mathbb{Q}_p} \xi^*(a) \int_{p^{-N}\mathbb{Z}_p} \psi(b(a-x))dbda \\ (\psi = \psi_p) &= \int_{\mathbb{Q}_p} \xi^*(a)\mathbb{I}_{x+p^N\mathbb{Z}_p}(a)da \\ &= \xi^*(x) \text{vol}(p^N\mathbb{Z}_p) \end{aligned}$$

since  $\xi^*$  is smooth (and if  $N \gg 0$ ,  $x$  and  $a$  are sufficiently close). This proves  $\xi^*(x) = 0$ ; putting  $x = 1$ , this gives  $W_{\Phi, \chi}(e) = 0$ .

In general, for all  $g \in G$ , we have

$$f_{\Phi^\sim, \chi} = 0 \Rightarrow 0 = \rho(g)f_{\Phi^\sim, \chi} = f_{\rho(g)\Phi^\sim, \chi} = f_{\omega_\psi(g)\Phi^\sim, \chi} \Rightarrow 0 = W_{\omega_\psi(g)\Phi, \chi}(e) \Rightarrow W_{\Phi, \chi}(g) = 0$$

The third implication follows from the case we prove above, and the last implication follows from the definition of  $W_{\Phi, \chi}$ :

$$\begin{aligned} W_{\omega_\psi(g)\Phi, \chi}(e) &= \chi_1|\cdot|^{\frac{1}{2}}(\det e) \int_{\mathbb{Q}_p^\times} \omega_\psi(e)\omega_\psi(g)\Phi(t, t^{-1})\chi_1\chi_2^{-1}(t)d^\times t \\ &= \chi_1|\cdot|^{\frac{1}{2}}(\det g)^{-1}W_{\Phi, \chi}(g) \end{aligned}$$

□

*Proof.* (of [Proposition 8.1.2](#)) For  $f \in I(\chi_1, \chi_2)$ ,  $f$  is completely determined by  $f|_K$  by [Iwasawa decomposition](#), where  $K = \text{GL}_2(\mathbb{Z}_p)$ . Now define  $\Phi \in \mathcal{S}(\mathbb{Q}_p^2)$  by

$$\Phi(x, y) = \begin{cases} \chi_1^{-1}|\cdot|^{-\frac{1}{2}}(\det k)f(k) & , \text{ if } (x \ y) = (0 \ 1)k \text{ for some } k \in K \\ 0 & , \text{ otherwise} \end{cases}$$

We have  $\text{supp } \Phi \subseteq (0 \ 1)K$  is compact, and

$$\begin{aligned}
f_{\Phi, \chi}(k) &= \chi_1 |\cdot|^{\frac{1}{2}} (\det k) \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)k) \chi_1 \chi_2^{-1} |\cdot| (t) d^\times t \\
&= \chi_1 |\cdot|^{\frac{1}{2}} (\det k) \int_{\mathbb{Z}_p^\times} \chi_1^{-1} |\cdot|^{-\frac{1}{2}} (t \det k) f\left(\begin{pmatrix} 1 & \\ & t \end{pmatrix} k\right) \chi_1 \chi_2^{-1} |\cdot| (t) d^\times t \\
&= \chi_1 |\cdot|^{\frac{1}{2}} (\det k) \int_{\mathbb{Z}_p^\times} \chi_1^{-1} |\cdot|^{-\frac{1}{2}} (t \det k) \chi_2 |\cdot|^{-\frac{1}{2}} (t) f(k) \chi_1 \chi_2^{-1} |\cdot| (t) d^\times t \\
&= \int_{\mathbb{Z}_p^\times} f(k) d^\times t = f(k)
\end{aligned}$$

Since  $\Phi \mapsto \Phi^\sim$  is bijective, we are done.  $\square$

Therefore, we obtain an operator

$$\begin{aligned}
I(\chi_1, \chi_2) &\longrightarrow W_\psi \\
f_{\Phi^\sim, \chi} &\longmapsto W_{\Phi, \chi}
\end{aligned}$$

We show this is intertwining; denote this operator by  $\Theta$  temporarily. We must show

$$\Theta(\rho(g) f_{\Phi^\sim, \chi}) = \rho(g) \Theta(f_{\Phi^\sim, \chi})$$

We have seen that  $\rho(g) f_{\Phi, \chi} = \chi_1 |\cdot|^{\frac{1}{2}} (\det g) f_{\rho(g)\Phi, \chi}$ ; in other words,

$$\rho(g) f_{\Phi^\sim, \chi} = \chi_1 |\cdot|^{\frac{1}{2}} (\det g) f_{\rho(g)\Phi^\sim, \chi} = \chi_1 |\cdot|^{\frac{1}{2}} (\det g) f_{(\omega_\psi(g)\Phi)^\sim, \chi}$$

On the other hand,

$$W_{\omega_\psi(g)\Phi, \chi}(x) = \chi_1 |\cdot|^{\frac{1}{2}} (\det x) \int_{\mathbb{Q}_p^\times} \omega_\psi(x) \omega_\psi(g) \Phi(t, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t = \chi_1^{-1} |\cdot|^{-\frac{1}{2}} (\det g) W_{\Phi, \chi}(xg)$$

Thus

$$\begin{aligned}
\Theta(\rho(g) f_{\Phi^\sim, \chi}) &= \Theta(\chi_1 |\cdot|^{\frac{1}{2}} (\det g) f_{(\omega_\psi(g)\Phi)^\sim, \chi}) = \chi_1 |\cdot|^{\frac{1}{2}} (\det g) W_{\omega_\psi(g)\Phi, \chi} \\
&= \chi_1 |\cdot|^{\frac{1}{2}} (\det g) \chi_1^{-1} |\cdot|^{-\frac{1}{2}} (\det g) \rho(g) W_{\Phi, \chi} \\
&= \rho(g) W_{\Phi, \chi} = \rho(g) \Theta(f_{\Phi^\sim, \chi})
\end{aligned}$$

as desired. We will use this map to study the irreducibility of  $I(\chi_1, \chi_2)$ .

### 8.3 Classification

Recall  $N = \left\{ \mathbf{n}(x) := \begin{pmatrix} 1 & x \\ & 1 \end{pmatrix} \mid x \in \mathbb{Q}_p \right\}$

**Lemma 8.4.**

$$I(\chi_1, \chi_2)^N \neq 0 \Leftrightarrow \chi_1 \chi_2^{-1} = |\cdot|^{-1}$$

If either holds, then  $\dim_{\mathbb{C}} I(\chi_1, \chi_2)^N = 1$ .

*Proof.* By [Bruhat decomposition](#), we have  $G = B \sqcup BwB = B \sqcup BwN$ . Then  $f \in I(\chi_1, \chi_2)^N$  is uniquely determined by  $f(e)$  and  $f(w)$ . Recall the very important identity that holds for all  $x \in \mathbb{Q}_p^\times$ :

$$\begin{pmatrix} 1 & \\ x & 1 \end{pmatrix} = \begin{pmatrix} x^{-1} & 1 \\ & x \end{pmatrix} \begin{pmatrix} & -1 \\ 1 & \end{pmatrix} \begin{pmatrix} 1 & x^{-1} \\ & 1 \end{pmatrix}$$

Then

$$f \begin{pmatrix} 1 & \\ x & 1 \end{pmatrix} = f \left( \begin{pmatrix} x^{-1} & 1 \\ & x \end{pmatrix} w \begin{pmatrix} 1 & x^{-1} \\ & 1 \end{pmatrix} \right) = \chi_1^{-1} \chi_2 |\cdot|^{-1}(x) f(w)$$

For  $|x|$  sufficiently small, since  $f$  is smooth, we have

$$f(e) = \chi_1^{-1} \chi_2 |\cdot|^{-1}(x) f(w)$$

This implies either  $\chi_1 \chi_2^{-1} = |\cdot|^{-1}$  or  $f(e) = f(w) = 0$  (i.e.  $f \equiv 0$ ), and  $f$  is uniquely determined by  $f(e)$ . This shows  $\dim_{\mathbb{C}} I(\chi_1, \chi_2)^N = 1$ .  $\square$

**Proposition 8.5.** Consider the pairing

$$\langle \cdot, \cdot \rangle : I(\chi_1, \chi_2) \times I(\chi_1^{-1}, \chi_2^{-1}) \longrightarrow \mathbb{C}$$

defined by

$$\langle f_1, f_2 \rangle := \int_K f_1(k) f_2(k) dk$$

Then

- (i) The pairing is perfect, i.e., for all compact open  $U \leq G$ , the induced pairing

$$I(\chi_1, \chi_2)^U \times I(\chi_1^{-1}, \chi_2^{-1})^U \rightarrow \mathbb{C}$$

is perfect. In particular,  $I(\chi_1^{-1}, \chi_2^{-1}) \cong I(\chi_1, \chi_2)^\vee$ .

- (ii) The pairing is  $G$ -equivariant, i.e.,

$$\langle \rho(g) f_1, \rho(g) f_2 \rangle = \langle f_1, f_2 \rangle$$

for all  $g \in G = \mathrm{GL}_2(\mathbb{Q}_p)$ .

*Proof.* By [Iwasawa decomposition](#)  $G = BK$  elements in  $I(\chi_1, \chi_2)$  are uniquely determined by their restriction to  $K = \mathrm{GL}_2(\mathbb{Z}_p)$ , i.e.,

$$I(\chi_1, \chi_2) \cong \{f : K \rightarrow \mathbb{C} \mid f(bg) = \chi(b) f(g) \text{ for all } b \in K \cap B, g \in K\}$$

We show that if  $f \in I(\chi_1, \chi_2)$  is such that  $\langle f, g \rangle = 0$  for all  $g \in I(\chi_1^{-1}, \chi_2^{-1})$ , then  $f = 0$ . For a fixed  $k_1 \in K$ , let  $U \leq G$  be compact open such that  $f(k_1 U) = f(k_1)$ . Define  $g : G \rightarrow \mathbb{C}$  such that

$$g(x) := \begin{cases} \chi^{-1} \delta_B^{\frac{1}{2}}(b) & , \text{ if } x = bk_1 u \text{ for some } b \in B, u \in U \\ 0 & , \text{ otherwise} \end{cases}$$

To see  $g$  is well-defined, suppose  $bk_1 u = b'k_1 u'$  for some other  $b' \in B, u' \in U$ . Then  $b'^{-1}b = k_1 u' u^{-1} k_1^{-1} \in k_1 U k_1^{-1}$ . We now take  $U$  smaller so that  $k_1 U k_1^{-1}$  is contained in the conductor of  $\chi \delta_B^{-\frac{1}{2}}$ . Then  $\chi^{-1} \delta_B^{\frac{1}{2}}(b'^{-1}b) = 1$ , or  $\chi^{-1} \delta_B^{\frac{1}{2}}(b) = \chi^{-1} \delta_B^{\frac{1}{2}}(b')$ , as wanted. It is clear that  $g \in I(\chi_1^{-1}, \chi_2^{-1})$ . Now

$$0 = \langle f, g \rangle = f(k_1) \int_{K \cap B k_1 U} \delta_B(b) dk$$

which implies  $f(k_1) = 0$ . Thus  $f \equiv 0$ .

To show the pairing is  $G$ -equivariant, we use the integration formula

$$\int_G f(g) dg = \int_B \int_K f(bg) dk d_L b$$

where  $d_L b$  is the left invariant Haar measure on  $B$ . On the other hand, consider

$$\begin{aligned} p_\chi : \mathcal{S}(G) &\longrightarrow I(\chi_1, \chi_2) \\ \phi &\longmapsto p_\chi(\phi)(g) = \int_B \phi(bg) \chi^{-1} \delta_B^{-\frac{1}{2}}(b) db \end{aligned}$$

where  $db$  is a chosen right invariant Haar measure on  $B$ .

- $p_\chi$  is surjective. The proof is similar to that of [Proposition 8.1.2](#). For  $f \in I(\chi_1, \chi_2)$ , define  $\phi \in \mathcal{S}(G)$  by

$$\phi(g) = \begin{cases} f(g) & , \text{ if } g \in K \\ 0 & , \text{ otherwise} \end{cases}$$

Then

$$\begin{aligned} p_\chi(\phi)(g) &= \int_B \phi(bg) \chi^{-1} \delta_B^{-\frac{1}{2}}(b) db \\ &= \int_{B \cap Kg^{-1}} f(bg) \chi^{-1} \delta_B^{-\frac{1}{2}}(b) db \\ &= \int_{B \cap Kg^{-1}} \chi(b) \delta_B(b)^{\frac{1}{2}} f(g) \chi^{-1} \delta_B^{-\frac{1}{2}}(b) db \\ &= f(g) \text{vol}(B \cap Kg^{-1}, db) \end{aligned}$$

- $p_\chi$  is intertwining. For

$$p_\chi(\rho(g)\phi)(x) = \int_B \rho(g)\phi(bx) \chi^{-1} \delta_B^{-\frac{1}{2}}(b) db = \int_B \phi(bxg) \chi^{-1} \delta_B^{-\frac{1}{2}}(b) db = p_\chi(\phi)(xg) = \rho(g)p_\chi(\phi)(x)$$

Now for  $f_1 \in I(\chi_1, \chi_2)$  and  $f_2 \in I(\chi_1^{-1}, \chi_2^{-1})$ , choose  $\phi_1 \in \mathcal{S}(G)$  such that  $p_\chi(\phi_1) = f_1$

$$\begin{aligned} \int_K f_1(k) f_2(k) dk &= \int_K \left( \int_B \phi_1(bk) \delta_B^{-\frac{1}{2}} \chi^{-1}(b) db \right) f_2(k) dk \\ &= \int_K \int_B \phi_1(bk) f_2(bk) db dk \\ &= \int_G \phi_1(g) f_2(g) dg \end{aligned}$$

Let us write the last integral as  $(\phi_1, f_2)$ . Then

$$\langle \rho(g)f_1, \rho(g)f_2 \rangle = (\rho(g)\phi_1, \rho(g)f_2) = (\phi_1, f_2) = \langle f_1, f_2 \rangle$$

for  $p_\chi(\rho(g)\phi) = \rho(g)p_\chi(\phi) = \rho(g)f_1$  and  $dg$  is right-invariant. □

**Theorem 8.6.**

- (i)  $I(\chi_1, \chi_2)$  is irreducible if  $\chi_1 \chi_2^{-1} \neq |\cdot|^{\pm}$ .
- (ii)  $I(\chi_1, \chi_2)$  has a unique irreducible (infinite dimensional) subrepresentation, denoted by  $I(\chi_1, \chi_2)_S$ , if  $\chi_1 \chi_2^{-1} = |\cdot|$ , and the sequence is exact

$$0 \longrightarrow I(\chi_1, \chi_2)_S \longrightarrow I(\chi_1, \chi_2) \longrightarrow \mathbb{C}\chi_1 |\cdot|^{-\frac{1}{2}} \circ \det \longrightarrow 0$$

- (iii)  $I(\chi_1, \chi_2)$  has a unique one-dimensional subrepresentation if  $\chi_1 \chi_2^{-1} = |\cdot|^{-1}$ .

$$0 \longrightarrow \mathbb{C}\chi_1 |\cdot|^{\frac{1}{2}} \circ \det \longrightarrow I(\chi_1, \chi_2) \longrightarrow I(\chi_1, \chi_2)_Q \longrightarrow 0$$

*Proof.* Taking dual, if necessary, we can always assume that  $\text{wt}(\chi_1\chi_2^{-1}) > -1$ . Consider the composition (which is well-defined by [Proposition 8.1](#))

$$\begin{aligned} I(\chi_1, \chi_2) &\longrightarrow W_\psi \hookrightarrow C_0(\mathbb{Q}_p^\times) \\ f_{\Phi^\sim, \chi} &\longmapsto \xi_{\Phi, \chi}(a) := W_{\Phi, \chi} \begin{pmatrix} a & \\ & 1 \end{pmatrix} \end{aligned}$$

This map is injective. To see this, assume  $\xi_{\Phi, \chi} = 0$ . By [Lemma 8.2](#), this implies  $f_{\Phi^\sim, \chi}(w\mathbf{n}(x)) = 0$  for all  $x \in \mathbb{Q}_p$ . By [Bruhat decomposition](#)  $G = B \sqcup BwN$ , to see  $f = 0$ , it suffices to show  $f(e) = 0$ , but this follows from the smoothness of  $\xi_{\Phi, \chi}$  and that  $BwN$  is dense in  $G$ . Let  $V$  be the image of  $I(\chi_1, \chi_2)$  in  $W_\psi$ ; then  $V \cong I(\chi_1, \chi_2)$ .

Suppose  $V$  contains a proper nontrivial invariant subspace  $0 \neq U \subsetneq V$ . Consider

$$U(N) = \text{span}_{\mathbb{C}}\{\rho(\mathbf{n}(x))u - u \mid u \in U, x \in \mathbb{Q}_p\} \subseteq U$$

- $U(N) = 0$ . Then  $U = U^N \neq 0$ , and by [Lemma 8.4](#) we see  $\chi_1\chi_2^{-1} = |\cdot|^{-1}$ .
- $U(N) \neq 0$ . Then  $U(N) = V(N) (= \mathcal{S}(\mathbb{Q}_p^\times))$  by [Theorem 7.2](#) and [Lemma 7.4](#), so

$$V(N) = U(N) \subseteq U \subseteq V$$

thus  $(V/U)^\vee \subseteq (V/V(N))^\vee = (V^\vee)^N = I(\chi_1^{-1}, \chi_2^{-1})^N$  by [Proposition 8.5](#). Since  $U$  is proper, this implies  $0 \neq I(\chi_1^{-1}, \chi_2^{-1})^N$ , hence  $\chi_1^{-1}\chi_2 = |\cdot|^{-1}$  by [Lemma 8.4](#).

Hence, if  $I(\chi_1, \chi_2)$  is irreducible, we must have  $\chi_1\chi_2^{-1} \neq |\cdot|^{\pm 1}$  by our discussion, whence (i).

- (ii)  $\chi_1\chi_2^{-1} = |\cdot|$ . Since  $U$  is chosen arbitrary, it follows  $\dim_{\mathbb{C}} V/U = 1$  and that  $U$  is the unique irreducible subrepresentation. Thus we have the exact sequence

$$0 \longrightarrow U \longrightarrow V \cong I(\chi_1, \chi_2) \longrightarrow \mathbb{C}\chi_1|\cdot|^{-\frac{1}{2}} \circ \det \longrightarrow 0$$

We must explain why  $V/U \cong \mathbb{C}\chi_1|\cdot|^{-\frac{1}{2}} \circ \det$ . We have

$$(V/U)^\vee = I(\chi_1^{-1}, \chi_2^{-1})^N = \mathbb{C}\chi_1^{-1}|\cdot|^{\frac{1}{2}} \circ \det$$

By [Proposition 3.9.\(iii\)](#), we have

$$V/U = ((V/U)^\vee)^\vee = (\mathbb{C}\chi_1^{-1}|\cdot|^{\frac{1}{2}} \circ \det)^\vee = \mathbb{C}\chi_1|\cdot|^{-\frac{1}{2}} \circ \det$$

The last isomorphism results from the definition of contragredient action.

- (iii)  $\chi_1\chi_2^{-1} = |\cdot|^{-1}$ . This follows from (ii) and [the fact that taking contragredient is an exact functor](#). □

**Definition.** Consider the induced module  $(\rho, I(\chi_1, \chi_2))$  and [Theorem 8.6](#).

1. For  $\chi_1\chi_2^{-1} \neq |\cdot|^{\pm 1}$ , let  $\pi(\chi_1, \chi_2)$  denote the isomorphism class of  $(\rho, I(\chi_1, \chi_2))$ . This is called the **principal series**.
2. Denote by  $\text{St}$  the unique irreducible subrepresentation of  $I(|\cdot|^{\frac{1}{2}}, |\cdot|^{-\frac{1}{2}})$ , and call it the **standard Steinberg representation**. For  $\chi_0 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ , we have

$$\text{St} \otimes \chi_0 = (\rho, I(\chi_0|\cdot|^{\frac{1}{2}}, \chi_0|\cdot|^{-\frac{1}{2}})_S)$$

This is called the **Steinberg representation**, or the **special / degenerate principal series**.



- We have  $\pi(\chi_1, \chi_2)^\vee = \pi(\chi_1^{-1}, \chi_2^{-1})$ .
- Put  $\chi_1 = \chi_0|\cdot|^{\frac{1}{2}}$  and  $\chi_2 = \chi_0|\cdot|^{-\frac{1}{2}}$ . Then  $\chi_1\chi_2^{-1} = |\cdot|$ , so the Steinberg representation  $\text{St} \otimes \chi_0$  is the unique irreducible subrepresentation of  $I(\chi_1, \chi_2)$ , and we have the following commutative digram

$$\begin{array}{ccccccc} 0 & \longrightarrow & I(\chi_1, \chi_2)_S & \longrightarrow & I(\chi_1, \chi_2) & \longrightarrow & \mathbb{C}\chi_1|\cdot|^{-\frac{1}{2}} \circ \det \longrightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & \text{St} \otimes \chi_0 & \longrightarrow & I(\chi_0|\cdot|^{\frac{1}{2}}, \chi_0|\cdot|^{-\frac{1}{2}}) & \longrightarrow & \mathbb{C}\chi_0 \circ \det \longrightarrow 0 \end{array}$$

with exact rows. Taking contragredient, and with the identification  $I(\chi_1, \chi_2)^\vee = I(\chi_1^{-1}, \chi_2^{-1})$ , we have

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{C}\chi_1^{-1}|\cdot|^{\frac{1}{2}} \circ \det & \longrightarrow & I(\chi_1^{-1}, \chi_2^{-1}) & \longrightarrow & I(\chi_1^{-1}, \chi_2^{-1})_Q \longrightarrow 0 \\ & & \parallel & & \parallel & & \parallel \\ 0 & \longrightarrow & \mathbb{C}\chi_0^{-1} \circ \det & \longrightarrow & I(\chi_0|\cdot|^{\frac{1}{2}}, \chi_0|\cdot|^{-\frac{1}{2}}) & \longrightarrow & (\text{St} \otimes \chi_0)^\vee \longrightarrow 0 \end{array}$$

so that  $(\text{St} \otimes \chi_0)^\vee \cong I(\chi_0^{-1}|\cdot|^{-\frac{1}{2}}, \chi_0^{-1}|\cdot|^{\frac{1}{2}})_Q$ . We will prove in the following that, in fact,

$$(\text{St} \otimes \chi_0)^\vee \cong \text{St} \otimes \chi_0^{-1} = I(\chi_0^{-1}|\cdot|^{\frac{1}{2}}, \chi_0^{-1}|\cdot|^{-\frac{1}{2}})_S$$

**Definition.** Let  $(\pi, V)$  be a representation of  $G = \text{GL}_2(\mathbb{Q}_p)$  and  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  a character. Define

$$\pi \otimes \chi : G \longrightarrow \text{GL}(V)$$

by  $(\pi \otimes \chi)(g).v = \chi(\det g)\pi(g)v$ . The new representation  $(\pi \otimes \chi, V)$  is called  **$(\pi, V)$  twisted by  $\chi$** .

- We have  $(\rho \otimes \mu, I(\chi_1, \chi_2)) \cong (\rho, I(\chi_1\mu, \chi_2\mu))$ , given by

$$\begin{array}{ccc} I(\chi_1, \chi_2) & \longrightarrow & I(\chi_1\mu, \chi_2\mu) \\ f & \longmapsto & f \otimes (\mu \circ \det) : g \mapsto f(g)\mu(\det g) \end{array}$$

Indeed, for  $x, g \in G$ , we have

$$\begin{aligned} \rho(g)(f \otimes (\mu \circ \det))(x) &= f(xg)\mu(\det xg) \\ &= \mu(\det g)(\rho(g)f \otimes (\mu \circ \det))(x) = (\rho \otimes \mu)(g)f \otimes (\mu \circ \det)(x) \end{aligned}$$

Then  $\pi(\chi_1, \chi_2) \otimes \mu = \pi(\chi_1\mu, \chi_2\mu)$  in the principal series case.

**Definition.** Let  $(\pi, V)$  be an *irreducible* representation of  $G = \text{GL}_2(\mathbb{Q}_p)$ . Let  $a \in \mathbb{Q}_p^\times$  and consider  $\begin{pmatrix} a & \\ & a \end{pmatrix}$ ;

being in the center of  $G$ , we have  $\pi\left(\begin{pmatrix} a & \\ & a \end{pmatrix}\right) \in \text{End}_G(V, V)$ . Let  $U$  be a compact open subgroup of  $G$  such

that  $V^U \neq 0$ . Then  $\pi\left(\begin{pmatrix} a & \\ & a \end{pmatrix}\right) \in \text{End}_G(V^U, V^U)$ , and since  $\dim_{\mathbb{C}} V^U < \infty$ ,  $\pi\left(\begin{pmatrix} a & \\ & a \end{pmatrix}\right)$  has an eigenvalue. By

[Schur's lemma](#) we can find  $\omega(a) \in \mathbb{C}$  such that  $\pi\left(\begin{pmatrix} a & \\ & a \end{pmatrix}\right)v = \omega(a)v$  for all  $v \in V$ . The resulting character  $\omega : \mathbb{Q}_p^\times \rightarrow \mathbb{C}$  is called the **central character** of  $\pi$ .

**Proposition 8.7.** For  $(\pi, V)$  irreducible, we have  $\pi^\vee \cong \pi \otimes \omega^{-1}$ .

*Proof.* From [Theorem 3.11](#) we have an isomorphism  $(\pi^\vee, V^\vee) \cong (\check{\pi}, V)$ , where  $\check{\pi}(g) := \pi({}^t g^{-1})$ . It suffices to show  $\check{\omega} \cong \pi \otimes \omega^{-1}$ . For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , we have

$${}^t g^{-1} \cdot \det g = \begin{pmatrix} d & -c \\ -b & a \end{pmatrix} = w g w^{-1}$$

where  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Now define

$$\begin{aligned} \theta : (\check{\pi}, V) &\longrightarrow (\pi \otimes \omega^{-1}, V) \\ v &\longmapsto \theta(v) = \pi(w^{-1})v \end{aligned}$$

Compute

$$\theta(\check{\pi}(g)v) = \pi(w^{-1})\pi({}^t g^{-1})v = \pi(w^{-1}w g w^{-1} \det g^{-1})v = \omega^{-1}(\det g)\pi(g w^{-1})v = \pi \otimes \omega^{-1}(g)\theta(v)$$

□

**Corollary 8.7.1.**

1. For  $\chi_1 \chi_2^{-1} \neq |\cdot|^\pm$ , we have  $\pi(\chi_1, \chi_2)^\vee = \pi(\chi_2, \chi_1)$ .
2. For  $\chi_0 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ , we have  $(\text{St} \otimes \chi_0)^\vee = \text{St} \otimes \chi_0^{-1}$ .

*Proof.*

1. The central character of  $(\rho, I(\chi_1, \chi_2))$  is  $\omega = \chi_1 \chi_2$ . Thus

$$\pi(\chi_1^{-1}, \chi_2^{-1}) = (\pi(\chi_1, \chi_2))^\vee = \pi(\chi_1, \chi_2) \otimes (\chi_1 \chi_2)^{-1} = \pi(\chi_2^{-1}, \chi_1^{-1}).$$

2.  $(\text{St} \otimes \chi_0)^\vee = (\text{St} \otimes \chi_0) \otimes \chi_0^{-2} \cong \text{St} \otimes \chi_0^{-1}$ .

□

Let  $(\pi, V)$  be an irreducible representation of  $G = \text{GL}_2(\mathbb{Q}_p)$ . We will consider the Whittaker model  $W_\psi(\pi)$  of  $\pi$ . Recall the space

$$W_\psi := \{W : G \rightarrow \mathbb{C} \mid W \text{ is smooth, } W(\mathbf{n}(x)g) = \psi(x)W(g)\}$$

Let  $\omega$  be the central character of  $\pi$ . For  $W \in W_\psi$ , define

$$W \otimes \omega^{-1}(g) := W(g)\omega^{-1}(\det g)$$

Then  $W \otimes \omega^{-1} \in W_\psi$ , as  $\det \mathbf{n}(x) = 1$  for all  $x \in \mathbb{Q}_p$ . Then

$$(\rho, W_\psi(\pi) \otimes \omega^{-1}) \cong (\rho \otimes \omega^{-1}, W_\psi(\pi)) \cong (\pi \otimes \omega^{-1}, V) \cong (\pi^\vee, V^\vee)$$

where the first isomorphism is defined by  $W \otimes \omega^{-1} \mapsto W$ , and hence

$$W_\psi(\pi^\vee) = W_\psi(\pi) \otimes \omega^{-1}$$

by the [uniqueness of Whittaker models](#).

## 8.4 Useful integration formulas

Let  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ ,  $K = \mathrm{GL}_2(\mathbb{Z}_p)$ ,  $N = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\}$ ,  $B = \left\{ \begin{pmatrix} * & * \\ & * \end{pmatrix} \right\}$ ,  $T = \left\{ \begin{pmatrix} * & \\ & * \end{pmatrix} \right\}$ , where  $* \in \mathbb{Q}_p$ . Then for  $f \in \mathcal{S}(G)$ , we have the following integration formulas.

$$\int_G f(g) dg = \int_B \int_K f(bk) dk d_L b \quad (\spadesuit)$$

$$= \int_B \int_N f(bwn) dn d_L b \quad (\clubsuit)$$

where  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ .  $(\spadesuit)$  results from the [Iwasawa decomposition](#), and  $(\clubsuit)$  results from the [Bruhat decomposition](#) together with the fact that  $\mathrm{vol}(B, dg) = 0$ . **(proofs to be filled)** Also,

$$\int_B f(b) d_L b = \int_T \int_N f(tn) dn dt$$

Note that the formulas above hold up to a positive scalar, due to the uniqueness of Haar measures. We will determine the scalar when we really need it.

Recall in the proof of [Proposition 8.5](#) we showed the map

$$\begin{aligned} \mathcal{S}(G) &\longrightarrow I(|\cdot|^{\frac{1}{2}}, |\cdot|^{-\frac{1}{2}}) \\ f &\longmapsto \bar{f}(g) := \int_B f(bg) d_L b \end{aligned}$$

is surjective; take  $\chi = (|\cdot|^{\frac{1}{2}}, |\cdot|^{-\frac{1}{2}})$  so that  $\chi \delta_B^{\frac{1}{2}} = \delta_B$ , and thus  $\chi^{-1} \delta_B^{-\frac{1}{2}} db = \delta_B^{-1} db = d_L b$ . Hence for  $\bar{f} \in I(|\cdot|^{\frac{1}{2}}, |\cdot|^{-\frac{1}{2}})$ , take any  $\mathcal{S}(G) \ni f \mapsto \bar{f}$  and compute

$$\begin{aligned} \int_K \bar{f}(k) dk &\stackrel{(\spadesuit)}{=} \int_B \int_K f(bk) dk d_L b \\ &\stackrel{(\clubsuit)}{=} \int_B \int_N f(bwn) dn d_L b = \int_B \bar{f}(wn) dn \end{aligned}$$

Consider the pairing  $\langle \cdot, \cdot \rangle : I(\chi_1, \chi_2) \times I(\chi_1^{-1}, \chi_2^{-1}) \rightarrow \mathbb{C}$  defined in [Proposition 8.5](#). For  $(f_1, f_2)$  in the domain, we have  $f_1 f_2 \in I(|\cdot|^{\frac{1}{2}}, |\cdot|^{-\frac{1}{2}})$ , and hence

$$\langle f_1, f_2 \rangle = \int_K f_1(k) f_2(k) dk = \int_N f_1(wn) f_2(wn) dn \quad (\heartsuit)$$

The first integral takes place on a compact set, so we can easily know its convergence. The second integral takes place on an abelian group, so the computation is rather easy.

## 8.5 Whittaker models for Steinberg representations

Let  $(\pi, V)$  be an irreducible smooth admissible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ .

**Principal series.**  $(\pi, V) \cong \pi(\chi_1, \chi_2)$  for some  $\chi_1, \chi_2 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}$  such that  $\chi_1 \chi_2^{-1} \neq |\cdot|^\pm$ . Then the Whittaker model of  $V$  is

$$W_\psi(\pi) = \{W_{\Phi, \chi} \mid \Phi \in \mathcal{S}(\mathbb{Q}_p^2)\}$$

This follows from [Proposition 8.1.2](#) and the [uniqueness of Whittaker models](#).

**Steinberg representation.**  $(\pi, V) = \text{St} \otimes \chi_0 \subsetneq I(\chi_0|\cdot|^{1/2}, \chi_0|\cdot|^{-1/2})$ , where  $\chi_0 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ . Put  $\chi = \chi_0|\cdot|^{1/2}, \chi_0|\cdot|^{-1/2}$ . Then

$$W_\psi(\pi) \subsetneq \{W_{\Phi, \chi} \mid \Phi \in \mathcal{S}(\mathbb{Q}_p^2)\}$$

We want to characterize the subspace  $W_\psi(\pi)$ .

**Proposition 8.8.** For  $\pi = \text{St} \otimes \chi_0$ ,

$$W_\psi(\pi) = \left\{ W_{\Phi, \chi} \mid \Phi \in \mathcal{S}(\mathbb{Q}_p^2), \int_{\mathbb{Q}_p} \Phi(x, 0) dx = 0 \right\}$$

*Proof.* Our assumption is  $(\pi, V) = (\rho, I(\chi_1, \chi_2)_S)$ , where  $\chi_1 = \chi_0|\cdot|^{1/2}$  and  $\chi_2 = \chi_0|\cdot|^{-1/2}$ . Note that

$$I(\chi_1, \chi_2)_S = \{f \in I(\chi_1, \chi_2) \mid \langle f, \chi_0^{-1} \circ \det \rangle = 0\}$$

where  $\langle \cdot, \cdot \rangle$  is the pairing defined in [Proposition 8.5](#). To see this, the same proposition says

$$\begin{aligned} I(\chi_1, \chi_2)_S &= \left( \frac{I(\chi_1^{-1}, \chi_2^{-1})}{\mathbb{C}\chi_0^{-1} \circ \det} \right)^\vee = \{T \in I(\chi_1^{-1}, \chi_2^{-1})^\vee \mid T(\chi_0^{-1} \circ \det) = 0\} \\ &= \{f \in I(\chi_1, \chi_2) \mid \langle f, \chi_0^{-1} \circ \det \rangle = 0\} \end{aligned}$$

By [Proposition 8.1.2](#), each  $f \in I(\chi_1, \chi_2)$  has the form  $f_{\Phi^\sim, \chi}$  for some  $\Phi \in \mathcal{S}(\mathbb{Q}_p^2)$ . Then  $f_{\Phi^\sim, \chi} \in I(\chi_1, \chi_2)_S$  if and only if

$$\begin{aligned} 0 &= \langle f_{\Phi^\sim, \chi}, \chi_0^{-1} \circ \det \rangle = \int_K f_{\Phi^\sim, \chi}(k) \chi_0^{-1}(\det k) dk \\ &\stackrel{(\heartsuit)}{=} \int_N f_{\Phi^\sim, \chi}(wn) \chi_0^{-1}(\det wn) dn \\ &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi^\sim((0 \ t)w\mathbf{n}(x)) |t|^2 d^\times t dx \\ &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi^\sim(-t, -tx) |t|^2 d^\times t dx \\ &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p} \Phi^\sim(t, x) dt dx = \int_{\mathbb{Q}_p} \Phi(t, 0) dt \end{aligned}$$

where the last equality follows from definition: since  $\Phi^\sim(x, y) = \int_{\mathbb{Q}_p} \Phi(x, y) \psi(ay) da$ , letting  $y = 0$  yields

$$\Phi^\sim(x, 0) = \int_{\mathbb{Q}_p} \Phi(x, a) da. \quad \square$$

## 8.6 Summary

Let  $(\pi, V)$  be an irreducible smooth admissible representation of  $G = \text{GL}_2(\mathbb{Q}_p)$  with  $\dim_{\mathbb{C}} V = \infty$ . Consider the Jacquet module  $J(V)$ .

- $J(V) = \mathbf{0}$ . In this case,  $(\pi, V)$  is called **supercuspidal**.
- $J(V) \neq \mathbf{0}$ . As in the first paragraph of the proof of [Theorem 5.6](#), we can find  $\chi : T \rightarrow \mathbb{C}^\times$  and  $0 \neq \Lambda \in \text{Hom}_G(V, \text{ind}_B^G \chi)$ . Since  $V$  is irreducible,  $\Lambda$  embeds  $V$  into  $\text{ind}_B^G \chi = \text{Ind}_B^G \chi \delta_B^{-1/2}$ . Denote  $\chi \delta_B^{-1/2} = (\chi_1, \chi_2)$ , so  $\text{ind}_B^G \chi = I(\chi_1, \chi_2)$ .
  - $\chi_1 \chi_2^{-1} \neq |\cdot|^\pm$ . Then  $(\pi, V) = \pi(\chi_1, \chi_2) = I(\chi_1, \chi_2)$ , and it is called the **principal series**.
  - $\chi_1 \chi_2^{-1} = |\cdot|^\pm$ . Then we can find  $\chi_0$  such that  $\pi = \text{St} \otimes \chi_0$ , and  $(\pi, V)$  is called the **Steinberg representation**.

## 9 Theory of $L$ -functions on $\mathrm{GL}_2(\mathbb{Q}_p)$

Let  $(\pi, V)$  be an irreducible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$  with  $\dim V = \infty$ . Consider the Whittaker model  $W_\psi(\pi)$  of  $(\pi, V)$ .

**Definition.** For  $W \in W_\psi(\pi)$  and  $s \in \mathbb{C}$ , define formally the local  $\zeta$ -integral

$$\Psi(W, s) := \int_{\mathbb{Q}_p^\times} W \begin{pmatrix} a & \\ & 1 \end{pmatrix} |a|^{s-\frac{1}{2}} d^\times a$$

where  $d^\times a$  is the normalized Haar measure such that  $\mathrm{vol}(\mathbb{Z}_p^\times, d^\times a) = 1$ . In general, if  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  is a character, we define

$$\Psi(W, \chi, s) := \int_{\mathbb{Q}_p^\times} W \begin{pmatrix} a & \\ & 1 \end{pmatrix} \chi(a) |a|^{s-\frac{1}{2}} d^\times a$$

**Theorem 9.1.**

1.  $\Psi(W, s)$  converges absolutely for  $\mathrm{Re} s \gg 0$ , and has a meromorphic continuation to  $\mathbb{C}$ .
2. There exists a unique  $L$ -factor  $L(s, \pi)$  such that

$$\Xi(W, s) := \frac{\Psi(W, s)}{L(s, \pi)}$$

is entire for all  $W \in W_\psi(\pi)$ , and exists  $W_0 \in W_\psi(\pi)$  such that  $\Xi(W_0, s) = 1$ . In other words,  $L(s, \pi)$  is the gcd of  $\{\Psi_{W,s}\}_{W \in W_\psi(\pi)}$ .

In general, a function  $L(s, \pi)$  is called an  **$L$ -factor** if  $L(s, \pi)^{-1} = Q(p^{-s})$  where  $Q \in \mathbb{C}[X]$  with  $Q(0) = 1$ , i.e.,

$$L(s, \pi)^{-1} = \prod_{i=1}^* (1 - \alpha_i p^{-s})$$

for some  $\alpha_i \in \mathbb{C}^\times$ .

3. We have the functional equation: for  $W \in W_\psi(\pi)$ , define

$$\widehat{W}(g) := W(gw)\omega^{-1}(\det g) = \rho(w)W \otimes \omega^{-1}(g) \in W_\psi(\pi^\vee)$$

where  $w = \begin{pmatrix} & 1 \\ -1 & \end{pmatrix}$  and  $\omega$  is the central character. Then there exists an epsilon factor  $\epsilon(s, \chi, \psi)$  such that

$$\frac{\Psi(\widehat{W}, 1-s)}{L(1-s, \pi^\vee)} = \frac{\Psi(W, s)}{L(s, \pi)} \cdot \epsilon(s, \pi, \psi)$$

If  $(\pi, V) = \pi(\chi_1, \chi_2)$ , then

$$\begin{aligned} L(s, \pi) &= L(s, \chi_1)L(s, \chi_2) \\ \epsilon(s, \pi, \psi) &= \epsilon(s, \chi_1, \psi)\epsilon(s, \chi_2, \psi) \end{aligned}$$

If  $(\pi, V) = \mathrm{St} \otimes \chi_0 \subseteq I(\chi_0|\cdot|^{\frac{1}{2}}, \chi_0|\cdot|^{-\frac{1}{2}})$ , write  $\chi_1 = \chi_0|\cdot|^{\frac{1}{2}}$  and  $\chi_2 = \chi_0|\cdot|^{-\frac{1}{2}}$ ; then

$$\begin{aligned} L(s, \pi) &= L(s, \chi_1) \\ \epsilon(s, \pi, \psi) &= \epsilon(s, \chi_1, \psi)\epsilon(s, \chi_2, \psi) \frac{L(1-s, \chi_1^{-1})}{L(s, \chi_2)} \end{aligned}$$

If  $(\pi, V)$  is supercuspidal, then

$$\begin{aligned} L(s, \pi) &= 1 \\ \epsilon(s, \pi, \psi) &= \text{complicated} \end{aligned}$$

Similar to the  $GL(1)$ , we define the  $\gamma$ -factor for  $\pi$  to be

$$\gamma(s, \pi, \psi) := \frac{L(1-s, \pi^\vee)}{L(s, \pi)} \epsilon(s, \pi, \psi)$$

Then the functional equation takes the form

$$\frac{\Psi(\widehat{W}, 1-s)}{\Psi(W, s)} = \gamma(s, \pi, \psi)$$

## 9.1 Principal Series

Let  $(\pi, V) \cong \pi(\chi_1, \chi_2)$ ,  $\chi_1 \chi_2^{-1} \neq |\cdot|^\pm$  be a principal series. Put  $\chi = (\chi_1, \chi_2)$ . Then

$$W_\psi(\pi) := \{W_{\Phi, \chi} \mid \Phi \in \mathcal{S}(\mathbb{Q}_p^2)\}$$

We may assume  $\Phi = \varphi_1 \otimes \varphi_2$  with  $\varphi_i \in \mathcal{S}(\mathbb{Q}_p)$ . Compute

$$\begin{aligned} \Psi(W_{\Phi, \chi}, s) &= \int_{\mathbb{Q}_p^\times} W_{\Phi, \chi} \begin{pmatrix} a & \\ & 1 \end{pmatrix} |a|^{s-\frac{1}{2}} d^\times a \\ &= \int_{\mathbb{Q}_p^\times} \chi_1 |\cdot|^{\frac{1}{2}}(a) \int_{\mathbb{Q}_p^\times} \Phi(at, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t |a|^{s-\frac{1}{2}} d^\times a \\ &= \int_{\mathbb{Q}_p^\times} \int_{\mathbb{Q}_p^\times} \Phi(at, t^{-1}) \chi_1 \chi_2^{-1}(t) \chi_1(a) |a|^s d^\times a d^\times t \\ (a \mapsto at^{-1}, t \mapsto t^{-1}) &= \int_{\mathbb{Q}_p^\times} \int_{\mathbb{Q}_p^\times} \Phi(a, t) \chi_1(a) |a|^s \chi_2(t) |t|^s d^\times t d^\times a \\ (\Phi = \varphi_1 \otimes \varphi_2) &= \left( \int_{\mathbb{Q}_p^\times} \varphi_1(a) \chi_1(a) |a|^s d^\times a \right) \left( \int_{\mathbb{Q}_p^\times} \varphi_2(t) \chi_2(t) |t|^s d^\times t \right) \\ &= Z(\varphi_1, \chi_1, s) Z(\varphi_2, \chi_2, s) \end{aligned}$$

which is a product of two Tate integrals. From the [theory of  \$L\$ -functions on  \$GL\(1\)\$](#) , we find the function

$$\Psi(W_{\Phi, \chi}, s) = Z(\varphi_1, \chi_1, s) Z(\varphi_2, \chi_2, s)$$

has analytic continuation

$$\frac{\Psi(W_{\Phi, \chi}, s)}{L(s, \chi_1) L(s, \chi_2)} = \frac{Z(\varphi_1, \chi_1, s)}{L(s, \chi_1)} \cdot \frac{Z(\varphi_2, \chi_2, s)}{L(s, \chi_2)}$$

so that

$$L(s, \pi) = L(s, \chi_1) L(s, \chi_2)$$

From the [formula.\(iv\)](#), we know

$$\begin{aligned} \omega_\psi(w) \Phi(x, y) &= \int_{\mathbb{Q}_p^2} \Phi(a, b) \psi(ay + bx) da db \\ (\Phi = \varphi_1 \otimes \varphi_2) &= \widehat{\varphi}_2 \otimes \widehat{\varphi}_1(x, y) \end{aligned}$$

Then

$$\begin{aligned} W_{\Phi, \chi}(gw) &= \chi_1 |\cdot|^{\frac{1}{2}}(t) \int_{\mathbb{Q}_p^\times} \omega_\psi(gw) \Phi(t, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t \\ &= \chi_1 |\cdot|^{\frac{1}{2}}(t) \int_{\mathbb{Q}_p^\times} \omega_\psi(g) \widehat{\varphi}_2 \otimes \widehat{\varphi}_1(t, t^{-1}) \chi_1 \chi_2^{-1}(t) d^\times t = W_{\widehat{\varphi}_2 \otimes \widehat{\varphi}_1, \chi}(g) \end{aligned}$$

Consequently,

$$\begin{aligned} \Psi(\widehat{W}_{\Phi, \chi}, 1-s) &= \Psi(W_{\widehat{\varphi}_2 \otimes \widehat{\varphi}_1, \chi}(g), \omega^{-1}, 1-s) \\ &= Z(\widehat{\varphi}_2, \chi_1 \omega^{-1}, 1-s) Z(\widehat{\varphi}_1, \chi_2 \omega^{-1}, 1-s) \end{aligned}$$

Recall that the central character of  $(\pi, V) = (\rho, I(\chi_1, \chi_2))$  is  $\omega = \chi_1 \chi_2$ . Thus

$$\Psi(\widehat{W}_{\Phi, \chi}, 1-s) = Z(\widehat{\varphi}_2, \chi_2^{-1}, 1-s) Z(\widehat{\varphi}_1, \chi_1^{-1}, 1-s)$$

and

$$L(1-s, \pi^\vee) = L(1-s, \pi \otimes \omega^{-1}) = L(1-s, \chi_1 \omega^{-1}) L(1-s, \chi_2 \omega^{-1}) = L(1-s, \chi_2^{-1}) L(1-s, \chi_1^{-1})$$

From the [theory of  \$L\$ -functions on  \$\mathrm{GL}\(1\)\$](#)  we deduce that

$$\epsilon(s, \pi, \psi) = \epsilon(s, \chi_1, \psi) \epsilon(s, \chi_2, \psi)$$

## 9.2 Steinberg Representation

Assume  $(\pi, V) = \mathrm{St} \otimes \chi_0$  for some character  $\chi_0 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ . Put  $\chi_1 = \chi_0 |\cdot|^{\frac{1}{2}}$  and  $\chi_2 = \chi_0 |\cdot|^{-\frac{1}{2}}$ . We [know](#) its Whittaker model is

$$W_\psi(\pi) = \left\{ W_{\Phi, \chi} \mid \Phi \in \mathcal{S}(\mathbb{Q}_p^2), \int_{\mathbb{Q}_p} \Phi(x, 0) dx = 0 \right\}$$

Assume  $\Phi = \varphi_1 \otimes \varphi_2$  with  $\varphi_i \in \mathcal{S}(\mathbb{Q}_p)$ . The the imposed condition on elements of  $W_\psi(\pi)$  means  $\widehat{\varphi}_1(0) \varphi_2(0) = 0$ , i.e.,  $\widehat{\varphi}_1(0) = 0$  or  $\varphi_2(0) = 0$ , i.e.,  $\widehat{\varphi}_1 \in \mathcal{S}(\mathbb{Q}_p^\times)$  or  $\varphi_2 \in \mathcal{S}(\mathbb{Q}_p^\times)$ . The computation in the principal series case shows

$$\Psi(W_{\Phi, \chi}, s) = Z(\varphi_1, \chi_1, s) Z(\varphi_2, \chi_2, s)$$

If  $\varphi_2 \in \mathcal{S}(\mathbb{Q}_p^\times)$ , then  $Z(\varphi_2, \chi_2, s) \in \mathbb{C}[p^s, p^{-s}]$ , so the ratio

$$\frac{\Psi(W_{\Phi, \chi}, s)}{L(s, \chi_1)} = \frac{Z(\varphi_1, \chi_1, s)}{L(s, \chi_1)} \cdot Z(\varphi_2, \chi_2, s)$$

is entire. If  $\widehat{\varphi}_1 \in \mathcal{S}(\mathbb{Q}_p^\times)$ , then

$$\begin{aligned} \frac{\Psi(W_{\Phi, \chi}, s)}{L(s, \chi_1)} &= \frac{Z(\varphi_1, \chi_1, s)}{L(s, \chi_1)} \cdot Z(\varphi_2, \chi_2, s) \\ &= \frac{Z(\widehat{\varphi}_1, \chi_1^{-1}, 1-s)}{L(1-s, \chi_1^{-1})} \epsilon(s, \chi_1, \psi) \cdot Z(\varphi_2, \chi_2, s) \\ &= Z(\widehat{\varphi}_1, \chi_1^{-1}, 1-s) \epsilon(s, \chi_1, \psi) \cdot \frac{Z(\varphi_2, \chi_2, s)}{L(1-s, \chi_1^{-1})} \end{aligned}$$

Recall that  $\chi_1 \chi_2^{-1} = |\cdot|$ . Then

$$L(1-s, \chi_1^{-1})^{-1} = 1 - \chi_1^{-1}(p) |p|^{1-s} = 1 - \chi_2^{-1}(p) |p|^{-s} = -\chi_2^{-1}(p) |p|^{-s} L(x, \chi_2)^{-1}$$

and therefore

$$\frac{\Psi(W_{\Phi, \chi}, s)}{L(s, \chi_1)} = Z(\widehat{\varphi}_1, \chi_1^{-1}, 1-s) \epsilon(s, \chi_1, \psi) \cdot \frac{Z(\varphi_2, \chi_2, s)}{L(x, \chi_2)} \cdot (-\chi_2^{-1}(p) |p|^{-s})$$

is entire. Now the theorem follows from the [theory of  \$L\$ -functions on  \$\mathrm{GL}\(1\)\$](#) .

### 9.3 Supercuspidal

Let  $(\pi, V)$  be supercuspidal and identify  $V$  with its Kirillov model  $K_\psi(\pi)$ . Since  $J(V) = 0$  by definition, we have  $K_\psi(\pi) = \mathcal{S}(\mathbb{Q}_p^\times)$ . Then the Whittaker model is

$$\begin{aligned} V &\longrightarrow W_\psi(\pi) \\ \xi &\longmapsto W_\xi \begin{pmatrix} a & \\ & 1 \end{pmatrix} := \xi(a) \end{aligned}$$

and the local zeta integral

$$\Psi(W_\xi, s) = \int_{\mathbb{Q}_p^\times} \xi(a) |a|^{s-\frac{1}{2}} d^\times a \in \mathbb{C}[s, s^{-1}]$$

is entire. Thus  $L(s, \pi) = 1$ .

We proceed to prove the existence of epsilon factor  $\epsilon(s, \chi, \psi)$  and the functional equation. For  $\xi \in V = \mathcal{S}(\mathbb{Q}_p^\times)$ ,  $\nu \in \widehat{\mathbb{Z}_p^\times}$  and  $n \in \mathbb{Z}$ , put

$$\widehat{\xi}_n(\nu) = \xi_n^\wedge(\nu) := \int_{\mathbb{Z}_p^\times} \xi(p^n u) \nu(u) d^\times u \in \mathbb{C}$$

and

$$\widehat{\xi}(\nu, t) = \xi^\wedge(\nu, t) := \sum_{n \in \mathbb{Z}} \widehat{\xi}_n(\nu) \cdot t^n$$

This is a polynomial in  $t, t^{-1}$  since  $\xi \in \mathcal{S}(\mathbb{Q}_p^\times)$  the support of  $\xi$  is bounded above and below.

Put  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  and for  $\nu \in \widehat{\mathbb{Z}_p^\times}$  define

$$\varphi_\nu(a) := \mathbf{1}_{\mathbb{Z}_p^\times}(a) \nu(a) \in \mathcal{S}(\mathbb{Q}_p^\times)$$

Then

- $\mathcal{S}(\mathbb{Q}_p^\times)$  is spanned by the  $\pi \begin{pmatrix} p^n & \\ & 1 \end{pmatrix} \varphi_\nu$ . See [the lemma in Theorem 7.2](#).

- $\widehat{\varphi}_\nu(\mu, t) = \begin{cases} 1 & \text{if } \mu\nu = \mathbf{1} \\ 0 & \text{if } \mu\nu \neq \mathbf{1} \end{cases}$ , where  $\mathbf{1}$  denotes the trivial character.

Define  $C(\pi, \nu, t) \in \mathbb{C}[t, t^{-1}]$  by

$$C(\pi, \nu, t) := \widehat{\pi(w)\varphi_{\nu\omega}}(\nu, t)$$

where  $\nu \in \widehat{\mathbb{Z}_p^\times}$  and  $\omega$  is the central character of  $\pi$ .

**Lemma 9.2.** Let  $z_0 = \omega(p)$ . For any  $\nu \in \widehat{\mathbb{Z}_p^\times}$  we have

$$\widehat{\pi(w)\xi}(\nu, t) = C(\pi, \nu, t) \cdot \widehat{\xi}(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1})$$

*Proof.* For any  $\xi \in V = \mathcal{S}(\mathbb{Q}_p^\times)$ , put

$$\Delta(\xi) = \widehat{\pi(w)\xi}(\nu, t) = -(\pi, \nu, t) \cdot \widehat{\xi}(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1})$$

We need to show  $\Delta(\xi) = 0$ , and we verify  $\Delta(\varphi_\mu) = 0$  first.



- $\mu = \nu\omega$ . Then

$$\begin{aligned}\Delta(\varphi_{\nu\omega}) &= \pi(\widehat{w})\widehat{\varphi}_{\nu\omega}(\nu, t) - C(\pi, \nu, t) \cdot \underbrace{\widehat{\varphi}_{\nu\omega}(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1})}_{=1} \\ &= C(\pi, \nu, t) - C(\pi, \nu, t) = 0\end{aligned}$$

- $\mu \neq \nu\omega$ . Then

$$\Delta(\varphi_{\nu\omega}) = \pi(\widehat{w})\widehat{\varphi}_{\nu\omega}(\nu, t) - 0 = \pi(\widehat{w})\widehat{\varphi}_{\nu\omega}(\nu, t)$$

Observe that  $\pi(w)\varphi_\mu$  is the eigenfunction of  $\mathbb{Z}_p^\times$  with eigencharacter  $\omega\mu^{-1}$ : for  $a \in \mathbb{Z}_p^\times$ ,

$$\begin{aligned}\pi\begin{pmatrix} a & \\ & 1 \end{pmatrix} \pi(w)\varphi_\mu &= \pi(w)\pi\begin{pmatrix} 1 & \\ & a \end{pmatrix} \varphi_\mu = \pi(w)\omega(a)\pi\begin{pmatrix} a^{-1} & \\ & 1 \end{pmatrix} \varphi_\mu \\ &= \omega\mu^{-1}(a)\pi(w)\varphi_\mu\end{aligned}$$

Thus

$$\pi(\widehat{w})\widehat{\varphi}_{\mu_n}(\nu) = \int_{\mathbb{Z}_p^\times} \pi(w)\varphi_\mu(p^n u)\nu(u)d^\times u = \pi(w)\varphi_\mu(p^n) \int_{\mathbb{Z}_p^\times} \omega\mu^{-1}\nu(u)d^\times u = 0$$

if  $\omega\mu^{-1}\nu \neq \mathbf{1} \Leftrightarrow \mu \neq \omega\nu$ , so that

$$\Delta(\varphi_{\nu\omega}) = \pi(\widehat{w})\widehat{\varphi}_{\nu\omega}(\nu, t) = \sum_{n \in \mathbb{Z}} \pi(\widehat{w})\widehat{\varphi}_{\mu_n}(\nu)t^n = 0$$

Next we show  $\Delta(\pi\begin{pmatrix} p^n & \\ & 1 \end{pmatrix} \varphi_\mu) = 0$ , from which we can conclude the lemma.

$$\begin{aligned}\Delta(\pi\begin{pmatrix} p^n & \\ & 1 \end{pmatrix} \varphi_\mu) &= \left( \pi(w)\pi\begin{pmatrix} p^n & \\ & 1 \end{pmatrix} \varphi_\mu \right)^\wedge(\nu, t) - C(\pi, \nu, t) \left( \pi\begin{pmatrix} p^n & \\ & 1 \end{pmatrix} \varphi_\mu \right)^\wedge(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1}) \\ &= \left( \pi\begin{pmatrix} p^n & p^{-n} & \\ & & 1 \end{pmatrix} \pi(w)\varphi_\mu \right)^\wedge(\nu, t) - C(\pi, \nu, t)(z_0^{-1}t^{-1})^{-n} \widehat{\varphi}_\mu(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1}) \\ &= z_0^n t^n \pi(\widehat{w})\widehat{\varphi}_\mu(\nu, t) - C(\pi, \nu, t)(z_0^{-1}t^{-1})^{-n} \widehat{\varphi}_\mu(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1}) \\ &= z_0^n t^n \Delta(\varphi_\mu) = 0\end{aligned}$$

□

Now we use this lemma twice and the fact  $w^2 = -1$ .

$$\begin{aligned}\widehat{\xi}(\nu, t) &= \pi(\widehat{-w^2})\widehat{\xi}(\nu, t) = \omega(-1)\pi(w)\pi(\widehat{w})\widehat{\xi}(\nu, t) \\ &= \omega(-1)C(\pi, \nu, t) \cdot \pi(\widehat{w})\widehat{\xi}(\nu^{-1}\omega^{-1}, z_0^{-1}t^{-1}) \\ &= \omega(-1)C(\pi, \nu, t)C(\pi, \nu^{-1}\omega^{-1}, z_0^{-1}t^{-1}) \cdot \widehat{\xi}(\nu, t)\end{aligned}$$

Hence

$$C(\pi, \nu, t)C(\pi, \nu^{-1}\omega^{-1}, z_0^{-1}t^{-1}) = \omega(-1)$$

Since  $C(\pi, \nu, t) \in \mathbb{C}[t, t^{-1}]$ , this implies  $C(\pi, \nu, t) = At^n$  for some  $A \in \mathbb{C}^\times$  and  $n \in \mathbb{Z}$ . Finally,

$$\Psi(W_\xi, s) = \int_{\mathbb{Q}_p^\times} \xi(a)|a|^{s-\frac{1}{2}}d^\times a = \sum_{n \in \mathbb{Z}} |p^n|^{s-\frac{1}{2}} \int_{\mathbb{Z}_p^\times} \xi(p^n u)d^\times u = \widehat{\xi}(\mathbf{1}, p^{\frac{1}{2}-s})$$

Recall that  $\widehat{W}(g) := W(gw)\omega^{-1}(\det g)$ . Then

$$\begin{aligned}\Psi(\widehat{W}_\xi, 1-s) &= \int_{\mathbb{Q}_p^\times} W_\xi \left( \begin{pmatrix} a & \\ & 1 \end{pmatrix} w \right) \omega^{-1}(a)|a|^{1-s-\frac{1}{2}} d^\times a \\ &= \sum_{n \in \mathbb{Z}} |p^n|^{1-s-\frac{1}{2}} \int_{\mathbb{Z}_p^\times} W_\xi \left( \begin{pmatrix} p^n u & \\ & 1 \end{pmatrix} w \right) \omega^{-1}(p^n u) d^\times u \\ &= \sum_{n \in \mathbb{Z}} p^{n(s-\frac{1}{2})} z_0^{-n} \int_{\mathbb{Z}_p^\times} \pi(w) \xi(p^n u) \omega^{-1}(u) d^\times u \\ &= \widehat{\pi(w)} \xi(\omega^{-1}, p^{s-\frac{1}{2}} z_0^{-1})\end{aligned}$$

By the lemma we have

$$\widehat{\pi(w)} \xi(\omega^{-1}, p^{s-\frac{1}{2}} z_0^{-1}) = C(\pi, \omega^{-1}, p^{s-\frac{1}{2}} z_0^{-1}) \widehat{\xi}(\mathbf{1}, p^{\frac{1}{2}-s})$$

Now we define our dreamed epsilon factor:

$$\epsilon(s, \pi, \psi) := C(\pi, \omega^{-1}, p^{s-\frac{1}{2}} z_0^{-1}) = Ap^{ns} \text{ for some } A \in \mathbb{C}^\times, n \in \mathbb{Z}$$

Then we attain the functional equation

$$\Psi(\widehat{W}_\xi, 1-s) = \epsilon(s, \pi, \psi) \Psi(W_\xi, s) \text{ for all } \xi \in V = \mathcal{S}(\mathbb{Q}_p^\times)$$

## 9.4 Archimedean Case

Let  $(\pi, V)$  be an irreducible  $(\mathfrak{g}, K)$ -module, where  $\mathfrak{g} = \text{Lie}(\text{GL}_2(\mathbb{R}))$  and  $K = \text{O}(2)$ . Then  $(\pi, V) \subseteq I(\chi_1, \chi_2)$  with  $\chi_1 \chi_2^{-1} = |\cdot|^s \text{sign}^\epsilon$ ,  $s \in \mathbb{C}$ ,  $\epsilon \in \{0, 1\}$ .

- $s - \epsilon \notin 1 + 2\mathbb{Z}$ . Then  $\pi \cong \pi(\chi_1, \chi_2)$  is the principal series and

$$V = \bigoplus_{\ell \equiv \epsilon \pmod{2}} V(\ell)$$

with  $\dim_{\mathbb{C}} V(\ell) = 1$ .

- $s - \epsilon \in 1 + 2\mathbb{Z}$  and  $s = k - 1 \geq 0$ , where  $k$  is the minimal weight of  $\pi$ . Let  $\sigma_k \subseteq I(|\cdot|^{\frac{k-1}{2}}, |\cdot|^{\frac{1-k}{2}} \text{sign}^k)$  be the unique irreducible subrepresentation. Then  $\pi = \sigma_k \otimes \chi_0 \subseteq I(\chi_1, \chi_2)$  is the discrete series of weight  $k$  when  $k \geq 2$ , and is the limit discrete series when  $k = 1$ . In this case,

$$V = \bigoplus_{\substack{\ell \geq k, \ell \leq -k \\ \ell \equiv k \pmod{2}}} V(\ell)$$

For  $\pi \cong \pi(\chi_1, \chi_2)$ , we have

$$W_\psi(\pi) = \left\{ W_{\Phi, \chi} \mid \Phi(x, y) = p(x, y) e^{-\pi(x^2 + y^2)}, p \in \mathbb{C}[x, y] \right\}$$

where  $\psi = \psi_\infty$  is the standard additive character. If  $\pi = \sigma_k \otimes \chi_0$ , then

$$W_\psi(\pi) = \left\{ W_{\Phi, \chi} \mid \Phi(x, y) = p(x, y) e^{-\pi(x^2 + y^2)}, p \in \mathbb{C}[x, y], \int_{\mathbb{R}} x^i \frac{\partial^j \Phi}{\partial y^j}(x, y) dx = 0 \text{ for } i + j = k - 2 \right\}$$

where  $\chi = (\chi_0 |\cdot|^{\frac{k-1}{2}}, \chi_0 |\cdot|^{\frac{1-k}{2}} \text{sign}^k)$ . To see this, put  $\chi = (\chi_1, \chi_2)$  for brevity. Consider the pairing  $\langle \cdot, \cdot \rangle : I(\chi_1, \chi_2) \times I(\chi_1^{-1}, \chi_2^{-1}) \rightarrow \mathbb{C}$  defined by

$$\langle f_1, f_2 \rangle = \int_{\text{O}(2)} f_1(x) f_2(x) dx$$

This pairing is  $\text{Lie GL}_2(\mathbb{R})$ -invariant, in the sense that for all  $X \in \text{Lie GL}_2(\mathbb{R})$  we have

$$\langle Xf_1, f_2 \rangle = -\langle f_1, Xf_2 \rangle$$

and is  $O(2)$ -invariant, in the sense that

$$\langle \rho(g)f_1, f_2 \rangle = \langle f_1, \rho(g^{-1})f_2 \rangle$$

To be filled

## 10 Intertwining Operators

Let  $p \leq \infty$  be a rational prime. For  $s \in \mathbb{C}$  and  $\chi_1, \chi_2 : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ , put  $I(\chi_1, \chi_2, s) := I(\chi_1 | \cdot |^s, \chi_2 | \cdot |^{-s})$ . Define  $\ell_N : I(\chi_1, \chi_2, s) \rightarrow \mathbb{C}$  by

$$\ell_N(f) := \int_{\mathbb{Q}_p} f \left( \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \right) dx$$

Formally, we write

$$\begin{aligned} \ell_N(f) &= \int_{|x| \leq 1} f \begin{pmatrix} 0 & -1 \\ 1 & x \end{pmatrix} dx + \int_{|x| > 1} f \left( \begin{pmatrix} x^{-1} & -1 \\ 0 & x \end{pmatrix} \begin{pmatrix} 1 & 0 \\ x^{-1} & 1 \end{pmatrix} \right) dx \\ (x \mapsto x^{-1}) &= \int_{|x| \leq 1} f \begin{pmatrix} 0 & -1 \\ 1 & x \end{pmatrix} dx + \int_{|x| < 1} \chi_1 \chi_2^{-1} | \cdot |^{2s}(x) f \begin{pmatrix} 1 & 0 \\ x & 1 \end{pmatrix} d^\times x \end{aligned}$$

The first term always exists, and the second term is a Tate integral. Thus  $\ell_N(f)$  converges absolutely when  $\text{wt}(\chi_1 \chi_2^{-1}) + 2 \text{Re}(s) > -1$ , and by [Theorem 2.5.\(i\)](#),  $\ell_N : I(\chi_1, \chi_2, s) \rightarrow \mathbb{C}$  has a “meromorphic continuation” to  $\mathbb{C}$ .

For  $\text{Re}(s) \gg 0$ , define  $M(\chi_1, \chi_2, s) : I(\chi_1, \chi_2, s) \rightarrow I(\chi_2, \chi_1, -s)$  by

$$M(\chi_1, \chi_2, s) f(g) := \ell_N(\rho(g) f)$$

To see  $g \mapsto \ell_N(\rho(g) f) \in I(\chi_2, \chi_1, -s)$ , compute

$$\begin{aligned} M(\chi_1, \chi_2, s) f \left( \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} g \right) &= \int_{\mathbb{Q}_p} f \left( \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} g \right) dx \\ &= \int_{\mathbb{Q}_p} f \left( \begin{pmatrix} d & 0 \\ 0 & a \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & a^{-1}xb + a^{-1}b \\ 0 & 1 \end{pmatrix} g \right) dx \\ &= \int_{\mathbb{Q}} \chi_1(d) \chi_2(a) \left| \frac{d}{a} \right|^{s+\frac{1}{2}} \left| \frac{a}{d} \right| f \left( \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx \\ &= \chi_2(a) \chi_1(d) \left| \frac{a}{d} \right|^{-s+\frac{1}{2}} \ell_N(\rho(g) f) \end{aligned}$$

Introduce the normalized intertwining operator

$$M^*(\chi_1, \chi_2, s) := L(2s, \chi_1 \chi_2^{-1})^{-1} M(\chi_1, \chi_2, s)$$

By [Theorem 2.5.\(ii\)](#), this is a well-defined map for all  $s \in \mathbb{C}$ .

To proceed, we first extend the modular function  $\delta_B : B \rightarrow \mathbb{R}_{>0}$  to a function on  $\text{GL}_2(\mathbb{Q}_p)$ , by setting  $\delta_B(g) = \delta_B(b)$  if  $g = bk$ ,  $b \in B$ ,  $k \in K$ . To see this is well-defined, if  $bk = b'k'$  with  $b, b' \in B$ ,  $k, k' \in K$ , then  $b^{-1}b' = kk'^{-1} \in B \cap K = B(\mathbb{Z}_p)$ . Since  $B(\mathbb{Z}_p) \leq B$  is compact,  $\delta_B(B(\mathbb{Z}_p))$  is a compact subgroup of  $\mathbb{R}_{>0}$ , so  $\delta_B(B(\mathbb{Z}_p)) = \{1\}$ . Consequently,  $\delta_B(b^{-1}b') = 1$ , or  $\delta_B(b') = \delta_B(b)$ . In conclusion, we obtain a well-defined map  $\delta_B : \text{GL}_2(\mathbb{Q}_p) \rightarrow \mathbb{R}_{>0} \subseteq \mathbb{C}^\times$ .

For  $f \in I(\chi_1, \chi_2)$  and  $s \in \mathbb{C}$ , we see  $f \delta_B^s \in I(\chi_1, \chi_2, s)$ ; this is called a **flat section**, which can be viewed as a section of the bundle  $\bigsqcup_{s \in \mathbb{C}} I(\chi_1, \chi_2, s) \rightarrow \mathbb{C}$ , and “flat” means  $f \delta_B^s|_K = f|_K$  is independent of  $s$ . Consider the composition

$$\begin{array}{ccc} M(\chi_1, \chi_2) : I(\chi_1, \chi_2) & \longrightarrow & I(\chi_1, \chi_2, s) \xrightarrow{M^*(\chi_1, \chi_2, s)} I(\chi_2, \chi_1, -s) \xrightarrow{(\cdot)|_{s=0}} I(\chi_2, \chi_1) \\ f & \longmapsto & f \delta_B^s \end{array}$$

Definitely, for  $f \in I(\chi_1, \chi_2)$ , we define

$$M(\chi_1, \chi_2)f := M^*(\chi_1, \chi_2, s)(f\delta_B^s)|_{s=0}$$

We now study the action of  $M(\chi_1, \chi_2)$  on the Godement section:

$$f_{\Phi, \chi, s}(g) = \chi_1 | \cdot |^{s+\frac{1}{2}} (\det g) \int_{\mathbb{Q}_p^\times} \Phi((0 \ t)g) \chi_1 \chi_2^{-1} | \cdot |^{2s+1}(t) d^\times t$$

where  $\chi = (\chi_1, \chi_2)$ . For this, we introduce the **symplectic Fourier transform**. For  $\Phi \in \mathcal{S}(\mathbb{Q}_p^2)$ , define

$$\begin{aligned} \widehat{\Phi}(x, y) &:= \int_{\mathbb{Q}_p^2} \Phi(u, v) \psi_p(-vx + uy) dudv \\ &= \int_{\mathbb{Q}_p^2} \Phi(u, v) \psi_p \left( \begin{pmatrix} u & v \\ -1 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} \right) dudv \end{aligned}$$

Clearly, if  $\Phi = \varphi_1 \otimes \varphi_2 \in \mathcal{S}(\mathbb{Q}_p^2)$  is a pure tensor, then

$$\widehat{\Phi}(x, y) = \widehat{\varphi}_2(-x) \widehat{\varphi}_1(y)$$

**Proposition 10.1.** For  $\Phi \in \mathcal{S}(\mathbb{Q}_p^2)$ , we have

$$M(\chi_1, \chi_2, s) f_{\Phi, \chi, s} = \gamma(2s, \chi_1 \chi_2^{-1}, \psi)^{-1} f_{\widehat{\Phi}, \chi^{\text{sw}}, -s}$$

where  $\chi = (\chi_1, \chi_2)$  and  $\chi^{\text{sw}} = (\chi_2, \chi_1)$ , and  $\gamma$  is the  $\gamma$ -factor.

*Proof.* By linearity, we may assume  $\Phi = \varphi_1 \otimes \varphi_2$  is a pure tensor. Further, using the formulas

$$\begin{aligned} f_{\Phi, \chi, s}(g) &= \chi_1 | \cdot |^{s+\frac{1}{2}} (\det g) f_{\rho(g)\Phi, \chi, s}(e) \\ f_{\widehat{\Phi}, \chi, s}(g) &= \chi_2 | \cdot |^{-s+\frac{1}{2}} (\det g) f_{\rho(g)\widehat{\Phi}, \chi, s}(e) \end{aligned}$$

we only need to show

$$M(\chi_1, \chi_2, s) f_{\Phi, \chi, s}(e) = \gamma(2s, \chi_1 \chi_2^{-1}, \psi)^{-1} f_{\widehat{\Phi}, \chi^{\text{sw}}, -s}(e)$$

First, we have

$$f_{\widehat{\Phi}, \chi^{\text{sw}}, -s}(e) = \widehat{\varphi}_2(0) Z(\widehat{\varphi}_1, \chi_2 \chi_1^{-1}, 1 - 2s)$$

Secondly, compute the left hand side.

$$\begin{aligned} M(\chi_1, \chi_2, s) f_{\Phi, \chi, s}(e) &= \int_{\mathbb{Q}_p} f_{\Phi, \chi, s} \left( \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \right) dx \\ &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi(t, tx) \chi_1 \chi_2^{-1} | \cdot |^{2s+1}(t) d^\times t dx \\ (x \mapsto xt^{-1}) &= \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi(t, x) \chi_1 \chi_2^{-1} | \cdot |^{2s}(t) d^\times t dx \\ &= \widehat{\varphi}_2(0) \int_{\mathbb{Q}_p^\times} \varphi_1(t) \chi_1 \chi_2^{-1} | \cdot |^{2s}(t) d^\times t \\ &= \widehat{\varphi}_2(0) Z(\varphi_1, \chi_1 \chi_2^{-1}, 2s) \end{aligned}$$

Thus from [Theorem 2.5.\(iii\)](#) we obtain

$$\frac{M(\chi_1, \chi_2, s) f_{\Phi, \chi, s}(e)}{f_{\widehat{\Phi}, \chi^{\text{sw}}, -s}(e)} = \frac{Z(\varphi_1, \chi_1 \chi_2^{-1}, 2s)}{Z(\widehat{\varphi}_1, \chi_2 \chi_1^{-1}, 1 - 2s)} = \gamma(2s, \chi_1 \chi_2^{-1}, \psi)^{-1}$$

□

## 11 Local Jacquet-Langlands Correspondence

Assume that  $(V, \langle \cdot, \cdot \rangle)$  is a finite dimensional nondegenerate quadratic space over  $\mathbb{Q}_p$ ,  $p \leq \infty$ . Let  $\psi : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$  be a nontrivial additive character. Then we have the **Weil representation**

$$\omega_\psi : \mathrm{SL}_2(\mathbb{Q}_p) \times \mathrm{O}(V) \longrightarrow \mathrm{GL}(\mathcal{S}(V))$$

defined by the following formulas

(i)  $\omega_\psi(1, h)\Phi(x) = \Phi(h^{-1}x)$  for  $x \in V$ .

For simplicity, define  $r_V : \mathrm{SL}_2(\mathbb{Q}_p) \rightarrow \mathrm{GL}(\mathcal{S}(V))$  by  $r_V(g) := \omega_\psi(g, 1)$  and assume  $m := \dim V$  is even.

(ii)  $r_V \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \Phi(x) = ((-1)^{\frac{m(m-1)}{2}} \det V, a)_p \cdot |a|^{\frac{m}{2}} \cdot \Phi(ax)$ , where  $(\cdot, \cdot)_p$  is the Hilbert symbol, and  $\det V$  is the determinant of the bilinear form  $\langle \cdot, \cdot \rangle$ .

(iii)  $r_V \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \Phi(x) = \psi \left( \frac{b \langle x, x \rangle}{2} \right) \Phi(x)$ .

(iv)  $r_V \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \Phi(x) = \gamma_\psi(V) \widehat{\Phi}(x)$ , where  $\gamma_\psi(V)$  is the **Weil index**, and

$$\widehat{\Phi}(x) := \int_V \Phi(y) \psi(\langle x, y \rangle) dy$$

is the **Fourier transform** in which  $dy$  is chosen so that the inversion formula holds.

Denote by  $q_V : V \rightarrow \mathbb{Q}_p$  the associated quadratic form; then we have

$$q_V(x) = \frac{1}{2} \langle x, x \rangle$$

$$\langle x, y \rangle = q_V(x + y) - q_V(x) - q_V(y)$$

The Weil index depends on the form  $q_V$ , so we also write  $\gamma_\psi(V) = \gamma_\psi(q_V)$ . For  $a \in \mathbb{Q}_p^\times$ , put

$$\gamma_\psi(a) := \gamma_\psi(ax^2)$$

Then one can prove that  $\gamma_\psi(a) \in \mu_8(\mathbb{C})$ . We list some properties of the Weil index. By definition,  $\gamma_\psi(V)$  is the unique number such that

$$\int_V \Phi(y) \psi(q_V(y)) dy = \gamma_\psi(V) \int_V \widehat{\Phi}(y) \psi(-q_V(y)) dy$$

holds for all  $\Phi \in \mathcal{S}(V)$ , where  $dy$  is the self-dual measure with respect to  $(\psi, q_V)$ . We have

- $\gamma_\psi(V_1 \oplus V_2) = \gamma_\psi(V_1) \gamma_\psi(V_2)$ .
- $\gamma_\psi(-q_V) = \gamma_\psi(q_V)^{-1}$ .
- $\gamma_\psi(a) \gamma_\psi(b) = \gamma_\psi(1) \gamma_\psi(ab) \cdot (a, b)_p$  for all  $a, b \in \mathbb{Q}_p^\times$ .

## 11.1 Quaternion algebras

For  $a, b \in \mathbb{Q}_p^\times$ , define a four dimensional  $\mathbb{Q}_p$ -algebra

$$D := D_{a,b} = \mathbb{Q}_p \oplus \mathbb{Q}_p\alpha \oplus \mathbb{Q}_p\beta \oplus \mathbb{Q}_p\alpha\beta$$

with relation  $\alpha^2 = a$ ,  $\beta^2 = b$ ,  $\alpha\beta = -\beta\alpha$ . On  $D$  there is a natural **involution**:

$$\begin{aligned} D &\longrightarrow D \\ z = x_1 + x_2\alpha + x_3\beta + x_4\alpha\beta &\longmapsto \bar{z} := x_1 - x_2\alpha - x_3\beta - x_4\alpha\beta \end{aligned}$$

Then one has  $\overline{z_1 \cdot z_2} = \bar{z}_2 \cdot \bar{z}_1$ . We use this to define the **reduced trace**

$$\mathrm{Tr}_{D/\mathbb{Q}_p}(z) := z + \bar{z} = 2x_1 \in \mathbb{Q}_p$$

and the **reduced norm**

$$\nu(z) = N_{D/\mathbb{Q}_p}(z) := z\bar{z} = x_1^2 - x_2^2a - x_3^2b + x_4^2ab \in \mathbb{Q}_p$$

Then  $(D, \nu)$  is a quadratic space: define  $\langle \cdot, \cdot \rangle_D : D \times D \rightarrow \mathbb{Q}_p$  by

$$\langle z, w \rangle_D := \nu(zw) - \nu(z) - \nu(w) = \mathrm{Tr}_{D/\mathbb{Q}_p}(z\bar{w})$$

In terms of the ordered basis  $\{1, \alpha, \beta, \alpha\beta\}$ , the matrix representation of this pairing is

$$\begin{pmatrix} 2 & & & \\ & -2a & & \\ & & -2b & \\ & & & 2ab \end{pmatrix}$$

so  $\det D = 16a^2b^2$ . Consider the Weil representation  $r_D : \mathrm{SL}_2(\mathbb{Q}_p) \rightarrow \mathrm{GL}(\mathcal{S}(D))$ . We first compute the Weil index:

$$\begin{aligned} \gamma_\psi(D) &= \gamma_\psi(\mathbb{Q}_p \oplus \mathbb{Q}_p(-a) \oplus \mathbb{Q}_p(-b) \oplus \mathbb{Q}_p ab) \\ &= \gamma_\psi(1)\gamma_\psi(-a)\gamma_\psi(-b)\gamma_\psi(ab) \\ &= \gamma_\psi(a)\gamma_\psi(b)(a, b)_p\gamma_\psi(-a)\gamma_\psi(-b) \\ &= (a, b)_p \end{aligned}$$

Thus  $\gamma_\psi(D) = 1$  if and only if  $(a, b)_p = 1$ , if and only if  $D \cong M_2(\mathbb{Q}_p)$ . In this case, we have  $\nu(x) = \det x$ .

Suppose  $\gamma_\psi(D) = (a, b)_p = -1$ ; then  $D$  is the unique division algebra over  $\mathbb{Q}_p$  with  $\dim D = 4$ . Consider the group of norm one elements:

$$D_1 := \{z \in D \mid \nu(z) = z\bar{z} = 1\}$$

It is a compact group. Let  $\Omega : D^\times \rightarrow \mathrm{GL}(U)$  be a finite dimensional complex irreducible representation of the group  $D^\times$ . Consider the space

$$\mathcal{S}(D, \Omega) := \{\Phi \in \mathcal{S}(D) \otimes_{\mathbb{C}} U \mid \Phi(xz_1) = \Omega(z_1^{-1})\Phi(x) \text{ for all } z_1 \in D_1\}$$

where  $\Omega(z_1^{-1})\Phi(x)$  really means  $(\mathrm{id}_{\mathbb{C}} \otimes \Omega(z_1^{-1}))\Phi(x)$ . We let  $\mathrm{SL}_2(\mathbb{Q}_p)$  act on  $\mathcal{S}(D, \Omega)$  by Weil representation:

$$r_D(g)\Phi(x) := (r_D(g) \otimes \mathrm{id}_U)\Phi(x)$$

Extend the action of  $\mathrm{SL}_2(\mathbb{Q}_p)$  to

$$G^+ := \{g \in \mathrm{GL}_2(\mathbb{Q}_p) \mid \det g \in \nu(D^\times)\}$$

by

$$r_D \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \Phi(x) := |a|^{\frac{m}{4}} \Omega(z) \Phi(xz) = |a| \Omega(z) \Phi(xz)$$

if  $a = \nu(z) \in \nu(D^\times)$ , where  $m = \dim D = 4$ . Then we obtain a representation of  $G^+$

$$r_D : G^+ \rightarrow \mathrm{GL}(\mathcal{S}(D, \Omega))$$

If  $p < \infty$ , one can find an unramified quadratic extension contained in  $D$  so that  $\nu(D^\times) = \mathbb{Q}_p^\times$ , implying that  $G^+ = \mathrm{GL}_2(\mathbb{Q}_p)$ . If  $p = \infty$ , then  $G^+ = \mathrm{GL}_2(\mathbb{R})^+$  is the index two subgroup consisting of matrices with positive determinant.

### 11.1.1 Non-archimedean cases

Assume  $p < \infty$ . As said above, the extended Weil representation  $r_D : \mathrm{GL}_2(\mathbb{Q}_p) \rightarrow \mathrm{GL}(\mathcal{S}(D, \Omega))$  is a representation of the whole group  $\mathrm{GL}_2(\mathbb{Q}_p)$ . Consider a sequence of maps

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{S}(\mathbb{Q}_p^\times) \otimes U & \longrightarrow & \mathcal{S}(D, \Omega) & \xrightarrow{\ell} & U \\ & & & & \Phi & \longmapsto & \Phi(0) \\ & & \xi & \longmapsto & \Phi_\xi : z \mapsto |\nu(z)|^{-1} \Omega(z^{-1}) \xi(\nu(z)) & & \end{array}$$

We claim this is an exact sequence. If  $\Phi_\xi = 0$ , then since  $\nu(D^\times) = \mathbb{Q}_p^\times$ , this means  $\xi = 0$  itself. Now suppose  $\Phi(0) = 0$ . Since  $\Phi$  is locally constant, this means  $\Phi \in \mathcal{S}(D^\times) \otimes \Omega$ . But  $\Omega(xz)\Phi(xz) = \Omega(x)\Phi(x)$  for all  $z \in D_1$ , so the map

$$\Omega(x)\Phi(x) : D^\times \rightarrow U$$

factors through  $D^\times/D_1$ , which is isomorphic to  $\mathbb{Q}_p^\times$  via the reduced norm map  $\nu : D^\times \rightarrow \mathbb{Q}_p^\times$ . Thus we can find  $\xi \in \mathbb{Q}_p^\times \rightarrow U$  such that  $\Omega(x)\Phi(x) = \xi(\nu(x))$  for each  $x \in D^\times$ . Since  $\Phi$  is locally constant, we must have  $\xi \in \mathcal{S}(\mathbb{Q}_p^\times) \otimes U$ , and  $\Phi = \Phi_{x \mapsto |\nu(x)| \xi(x)}$ .

Since  $\Phi(xz_1) = \Omega(z_1)^{-1} \Phi(x)$  for all  $z \in D_1$ , in particular  $\Phi(0) \in U^{\Omega(D_1)}$ .

- $\dim U = 1$ . Then  $\Omega(D_1) = \{1\}$ , because  $D_1$  is the commutator subgroup of  $D^\times$ . To see this, if  $x = \bar{x}$ , then  $x^2 = x\bar{x} = 1$  so that  $x = \pm 1$ . Otherwise,  $\mathbb{Q}_p(x)$  is a quadratic extension of  $\mathbb{Q}_p$ . In any case, as long as  $x\bar{x} = 1$ , there exists a quadratic subfield  $L$  of  $D$  containing  $x$ . By Hilbert's theorem 90, there exists  $y \in L$  such that  $x = y\bar{y}^{-1}$ . Moreover by Noether-Skolem theorem we can find  $\sigma \in D^\times$  such that  $\sigma z \sigma^{-1} = \bar{z}$  for all  $z \in L$ . Thus  $x = y\sigma y^{-1}\sigma$  lies in the commutator subgroup. Thus  $\Omega$  factors through  $D^\times/D_1$ , and we can find  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  such that  $\Omega = \chi \circ \nu$ .
- $\dim U > 1$ . Since  $D_1 \subsetneq D^\times$  is a normal subgroup,  $U^{\Omega(D_1)}$  is also stable under  $D^\times$ . Since  $U$  is irreducible, we must have  $U^{\Omega(D_1)} = 0$  or  $U^{\Omega(D_1)} = U$ . But if the latter were to occur,  $\Omega$  would factor through  $D^\times/D_1$ , which is an abelian group, implying  $\dim U = 1$ , a contradiction. Thus in this case we must have  $U^{\Omega(D_1)} = 0$ .

Let us assume  $\dim U > 1$ . Then the above discussion shows  $\xi \mapsto \Phi_\xi$  is an isomorphism  $\mathcal{S}(\mathbb{Q}_p^\times) \otimes U \rightarrow \mathcal{S}(D, \Omega)$ . We claim

$$\Phi_{K_\psi(g)\xi} = r_D(g)\Phi_\xi$$



for all  $g = \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$ . Indeed, for  $x \in D^\times$

$$\begin{aligned}
r_D \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \Phi_\xi(x) &= \psi(b\nu(x)) r_D \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \Phi_\xi(x) \\
(a = \nu(z)) &= \psi(b\nu(x)) |a| \Omega(z) \Phi_\xi(xz) \\
&= \psi(b\nu(x)) |\nu(z)| \Omega(z) |\nu(xz)|^{-1} \Omega(z^{-1}x^{-1}) \xi(\nu(xz)) \\
&= \psi(b\nu(x)) |\nu(x)|^{-1} \Omega(x^{-1}) \xi(\nu(x)a) \\
&= |\nu(x)|^{-1} \Omega(x^{-1}) K_\psi \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \xi(\nu(x)) = \Phi_{K_\psi(g)\xi}(x)
\end{aligned}$$

Thus  $(r_D, \mathcal{S}(D, \Omega))$  and  $(K_\psi, \mathcal{S}(\mathbb{Q}_p^\times)) \otimes U$  are isomorphic as  $B_1$ -representations. If we use this isomorphism to transfer the action of  $r_D$  to  $\mathcal{S}(\mathbb{Q}_p^\times)$ , we see  $(r_D, \mathcal{S}(\mathbb{Q}_p^\times))$  is an irreducible supercuspidal representation of  $\mathrm{GL}_2(\mathbb{Q}_p)$  by [Theorem 7.2](#) and [Lemma 7.4](#). Let us put

$$\mathrm{JL}(\Omega) := (r_D, \mathcal{S}(\mathbb{Q}_p^\times))$$

Next assume  $\dim U = 1$ . Then we have seen  $\Omega = \chi \circ \nu$  for some  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$ . In this case

$$\mathcal{S}(D, \Omega) = \{\Phi \in \mathcal{S}(D) \mid \Phi(xz_1) = \Phi(x) \text{ for all } z_1 \in D_1\}$$

and we have an exact sequence

$$0 \longrightarrow \mathcal{S}(\mathbb{Q}_p^\times) \otimes U \longrightarrow \mathcal{S}(D, \Omega) \xrightarrow{\ell} \mathbb{C}$$

Consider the map  $\Phi_0(x) := \mathbb{I}_{\mathbb{Z}_p^\times}(\nu(x))$ . Since  $D_1$  is compact, it is clear that  $\Phi_0 \in \mathcal{S}(D, \Omega)$ . We have  $\ell(\Phi_0) = \Phi_0(0) = 0$  but

$$\ell(r_D(w)\Phi_0) = r_D(w)\Phi_0(0) = - \int_D \Phi_0(x) dx \neq 0 \quad (\spadesuit)$$

This means  $\ell : \mathcal{S}(D, \Omega) \rightarrow \mathbb{C}$  is surjective, so we have an short exact sequence

$$0 \longrightarrow \mathcal{S}(\mathbb{Q}_p^\times) \otimes U \longrightarrow \mathcal{S}(D, \Omega) \xrightarrow{\ell} \mathbb{C} \longrightarrow 0$$

We claim

$$\ell \left( r_D \begin{pmatrix} a & b \\ 0 & d \end{pmatrix} \Phi \right) = \chi(ad) \left| \frac{a}{d} \right| \ell(\Phi)$$

It follows from definition that

$$r_D \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \Phi(0) = |a| \chi(a) \Phi(0), \quad r_D \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \Phi(0) = \Phi(0)$$

and

$$\begin{aligned}
r_D \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \Phi(0) &= r_D \begin{pmatrix} a^2 & 0 \\ 0 & 1 \end{pmatrix} r_D \begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix} \Phi(0) \\
&= |a^2| \chi(a^2) r_D \begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix} \Phi(0) = |a^2| \chi(a^2) |a^{-1}|^2 \Phi(0) = \chi(a^2) \Phi(0)
\end{aligned}$$

If for each  $\Phi \in \mathcal{S}(D, \Omega)$  we define

$$f_{\Phi}(g) := \ell(r_D(g)\Phi)$$

then  $f$  defines a map  $\mathcal{S}(D, \Omega) \rightarrow I(\chi|\cdot|^{-\frac{1}{2}}, \chi|\cdot|^{-\frac{1}{2}})$ . Let us show that  $\mathcal{S}(D, \Omega)$  is irreducible. Suppose  $V$  is an invariant proper subspace of  $\mathcal{S}(D, \Omega)$ . By definition for each  $\Phi \neq 0 \in \mathcal{S}(D, \Omega)$  we can find  $b \in \mathbb{Q}_p$  such that

$$r_D \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \Phi - \Phi \neq 0$$

This then implies  $0 \neq V(N) \subseteq \mathcal{S}(\mathbb{Q}_p^{\times}) \cap V$ . If  $V \neq 0$ , then by [irreducibility](#) of  $(K_{\psi}, \mathcal{S}(\mathbb{Q}_p)^{\times})$ , this forces  $\mathcal{S}(\mathbb{Q}_p^{\times}) = V(N) \subseteq V$ , and hence  $V = \mathcal{S}(\mathbb{Q}_p^{\times})$  as  $\mathcal{S}(\mathbb{Q}_p^{\times})$  has codimension 1 in  $\mathcal{S}(D, \Omega)$ . But  $\mathcal{S}(\mathbb{Q}_p^{\times})$  is not invariant under the action of  $r_D$  as seen in [\(♠\)](#), this leads to a contradiction, and thus  $V = 0$ , showing the irreducibility of  $\mathcal{S}(D, \Omega)$ . Since  $f : \mathcal{S}(D, \Omega) \rightarrow I(\chi|\cdot|^{-\frac{1}{2}}, \chi|\cdot|^{-\frac{1}{2}})$  is nontrivial, we must have  $\mathcal{S}(D, \Omega) \cong \text{St} \otimes \chi$ . In this case we define

$$\text{JL}(\Omega) := (r_D, \mathcal{S}(D, \Omega)) \cong \text{St} \otimes \chi$$

In both cases ( $\dim U = 1$  or  $> 1$ ),  $\text{JL}(\Omega)$  is an irreducible representation of  $\text{GL}_2(\mathbb{Q}_p)$  satisfying

$$\mathcal{S}(D, \Omega) \cong \text{JL}(\Omega) \otimes_{\mathbb{C}} U$$

The association

$$\text{JL} : \text{Rep}(D^{\times}) \longrightarrow \text{Rep}(\text{GL}_2(\mathbb{Q}_p))$$

is called the **Jacquet-Langlands correspondence** of  $\Omega$ .

## 11.2 Quadratic extensions

Suppose  $K/\mathbb{Q}_p$  is a quadratic field extension. Denote by  $z \mapsto \bar{z}$  the nontrivial element in the Galois group  $\text{Gal}(K/\mathbb{Q}_p)$ . Then  $(K, N = N_{K/\mathbb{Q}_p})$  is a quadratic space of dimension 2. If  $K = \mathbb{Q}_p(\sqrt{D})$ , then  $\det K = -4D$ , so for each  $a \in \mathbb{Q}_p^{\times}$ , we have  $(-\det K, a)_p = 1$  if  $a \in NK^{\times}$ , and  $-1$  otherwise. For convenience, write  $\tau_{K/\mathbb{Q}_p}(a) = (-\det K, a)_p$ .

Let  $\lambda : K^{\times} \rightarrow \mathbb{C}$  be a character and define

$$\mathcal{S}(K, \lambda) = \{ \Phi \in \mathcal{S}(K) \mid \Phi(xz_1) = \lambda(z_1)^{-1} \Phi(x) \text{ for all } z_1 \in K_1 \}$$

where  $K_1$  is the set of norm one element in  $K$ . As before, we let the Weil representation  $r_K$  act on  $\mathcal{S}(K, \lambda)$ , and extend it to a representation of the subgroup  $G^+ := \{g \in \text{GL}_2(\mathbb{Q}_p) \mid \det g \in N_{K/\mathbb{Q}_p} K^{\times}\}$  by means of the formula

$$r_K \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \Phi(x) := |a|^{\frac{1}{2}} \lambda(z) \Phi(xz)$$

if  $a = N_{K/\mathbb{Q}_p}(z) \in \mathbb{Q}_p^+ := N_{K/\mathbb{Q}_p} K^{\times} \subseteq \mathbb{Q}_p^{\times}$ . Again, consider the maps

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathcal{S}(\mathbb{Q}_p^+) & \longrightarrow & \mathcal{S}(K, \lambda) & \xrightarrow{\ell} & \mathbb{C} \\ & & & & \Phi & \longmapsto & \Phi(0) \\ & & \xi & \longmapsto & \Phi_{\xi} : z \mapsto |N(z)|^{-\frac{1}{2}} \lambda(z^{-1}) \xi(N(z)) & & \end{array}$$

This is an exact sequence, which can be proved in the same way as in the quaternion case. Define the subgroup  $B_1^+ = \left\{ \begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix} \mid a \in \mathbb{Q}_p^+, b \in \mathbb{Q} \right\} \leq B_1$ . Then, as a subspace of  $\mathcal{S}(K, \lambda)$ , the space  $\mathcal{S}(\mathbb{Q}_p^+)$  is invariant under the action of  $G^+$ , and  $(r_K|_{B_1^+}, \mathcal{S}(\mathbb{Q}_p^+)) = (K_\psi|_{B_1^+}, \mathcal{S}(\mathbb{Q}_p^+))$ .

Define  $\tilde{\mathcal{S}}(K, \lambda) := \text{Ind}_{G^+}^G(\mathcal{S}(K, \lambda), r_K)$ . Consider the map

$$\begin{aligned} \text{Ind}_{G^+}^G(\mathcal{S}(\mathbb{Q}_p^+), r_K) &\longrightarrow (K_\psi, \mathcal{S}(\mathbb{Q}_p^\times)) \\ f : G \rightarrow \mathcal{S}(\mathbb{Q}_p^+) &\longmapsto \xi_f(a) := f\left(\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix}\right) \end{aligned} \quad (1)$$

We claim this is well-defined and is an isomorphism as  $B_1^+$  representations. Let  $L : \mathcal{S}(\mathbb{Q}_p^+) \rightarrow \mathbb{C}^\times$  be the evaluation map at 1. Then

$$\xi_f(a) = L\left(\rho\begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} f(e)\right)$$

and for  $\alpha \in \mathbb{Q}_p^+$ , we have

$$\begin{aligned} f\left(\begin{pmatrix} a & x \\ 0 & 1 \end{pmatrix}\right)(\alpha) &= K_\psi\left(\begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix}\right) f\left(\begin{pmatrix} a & x \\ 0 & 1 \end{pmatrix}\right)(1) = r_K\left(\begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix}\right) f\left(\begin{pmatrix} a & x \\ 0 & 1 \end{pmatrix}\right)(1) \\ &= L\left(f\left(\begin{pmatrix} a\alpha & x\alpha \\ 0 & 1 \end{pmatrix}\right)\right) = L\left(K_\psi\begin{pmatrix} 1 & x\alpha \\ 0 & 1 \end{pmatrix} f\left(\begin{pmatrix} a\alpha & 0 \\ 0 & 1 \end{pmatrix}\right)\right) = \psi(x\alpha)\xi_f(a\alpha) \end{aligned}$$

This shows  $\xi_f \in \mathcal{S}(\mathbb{Q}_p^\times)$ , and since  $\mathbb{Q}_p^\times/\mathbb{Q}_p^+$  is finite, we find  $f \mapsto \xi_f$  is injective. Also,  $f \mapsto \xi_f$  is  $B_1$ -intertwining, so the [irreducibility](#) of  $(K_\psi, \mathcal{S}(\mathbb{Q}_p^\times))$  implies this is a  $B_1$ -isomorphism. In particular, this shows

If  $\lambda|_{K_1} \neq \mathbf{1}$ , then since  $\lambda(z_1)\Phi(x) = \Phi(xz_1)$ , we find  $\Phi(0) = 0$  for all  $\Phi \in \mathcal{S}(K, \lambda)$ . Thus  $\mathcal{S}(\mathbb{Q}_p^+) \cong \mathcal{S}(K, \lambda)$ , and  $\mathcal{S}(\mathbb{Q}_p^\times) \cong \text{Ind}_{G^+}^G \mathcal{S}(\mathbb{Q}_p^+) \cong \tilde{\mathcal{S}}(K, \lambda)$  as  $B_1$ -representations. In this case, we find  $\tilde{\mathcal{S}}(K, \lambda)$  is supercuspidal.

Suppose  $\lambda|_{K_1} = \mathbf{1}$ . Then we can find a character  $\chi : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  with  $\lambda = \chi \circ N_{K/\mathbb{Q}_p}$ . We are to construct a non-trivial  $\text{GL}_2(\mathbb{Q}_p)$ -equivariant map from  $\tilde{\mathcal{S}}(K, \lambda)$  to  $I(\chi, \chi\tau_{K/\mathbb{Q}_p})$ . For this, pick any  $\delta \in \mathbb{Q}_p^\times \setminus \mathbb{Q}_p^+$  and define

$$\begin{aligned} \tilde{\ell} : \tilde{\mathcal{S}}(K, \lambda) &\longrightarrow \mathbb{C} \\ \tilde{\Phi} &\longmapsto \tilde{\ell}(\tilde{\Phi}) := \chi(\delta)\ell(\tilde{\Phi}(1)) + \ell\left(\tilde{\Phi}\left(\begin{pmatrix} \delta & 0 \\ 0 & 1 \end{pmatrix}\right)\right) \end{aligned}$$

Then  $\tilde{\ell}$  is not trivial on  $\mathcal{S}(K, \lambda)$ , and the map  $\tilde{\Phi} \mapsto [g \mapsto \tilde{\ell}(\rho(g)\tilde{\Phi})]$  is what we want. It remains to show  $\tilde{\mathcal{S}}(K, \lambda)$  is irreducible as  $\text{GL}_2(\mathbb{Q}_p)$  representations.

## 12 Global Theory

**Lemma 12.1.** Let  $p < \infty$  and  $(\pi, V)$  be an irreducible representation of  $G = \mathrm{GL}_2(\mathbb{Q}_p)$ . Put  $K_p = \mathrm{GL}_2(\mathbb{Z}_p)$ . If  $V^{K_p} \neq 0$ , then  $\dim_{\mathbb{C}} V^{K_p} = 1$ .

*Proof.* Recall that we have the algebra

$$\mathcal{H}(G, K_p) = \{\phi \in \mathcal{S}(G) \mid \phi(k_1 g k_2) = \phi(g) \text{ for } k_i \in K_p, g \in G\}$$

By [Lemma 3.3.\(iii\) and \(iv\)](#),  $V^{K_p}$  is a simple  $\mathcal{H}(G, K_p)$ -module.

**Lemma 12.2** (Cartan decomposition). We have

$$\mathrm{GL}_2(\mathbb{Q}_p) = \bigsqcup_{x \geq y} K_p \begin{pmatrix} p^x & 0 \\ 0 & p^y \end{pmatrix} K_p$$

Then  $\mathcal{H}(G, K_p)$  is spanned by the characteristic functions of  $K_p \begin{pmatrix} a & 0 \\ 0 & b \end{pmatrix} K_p$  over  $\mathbb{C}$ , and hence for  $\phi \in \mathcal{H}(G, K_p)$ , we have  $\phi^t(g) := \phi(g^t) = \phi(g)$  for all  $g \in G$ , i.e.,  $\phi^t = \phi$ .

On the other hand, since  $G$  is unimodular, a direct computation shows  $(\phi_1 * \phi_2)^t = \phi_2^t * \phi_1^t$  for all  $\phi_i \in \mathcal{S}(G)$ . Hence,

$$\phi_1 * \phi_2 = (\phi_1 * \phi_2)^t = \phi_2^t * \phi_1^t = \phi_2 * \phi_1$$

that is,  $\mathcal{H}(G, K_p)$  is a commutative ring. Since  $V^{K_p}$  is a simple module over a commutative ring, we must have  $\dim_{\mathbb{C}} V^{K_p} = 1$ .  $\square$

### 12.1 Representations of $\mathrm{GL}_2(\mathbb{A})$

Denote by  $\mathbb{A} = \mathbb{A}_{\mathbb{Q}}$  the ring of adeles over  $\mathbb{Q}$ . Define

$$\mathrm{GL}_2(\mathbb{A}) := \left\{ (g_p) \in \prod_{p \leq \infty} \mathrm{GL}_2(\mathbb{Q}_p) \mid g_p \in \mathrm{GL}_2(\mathbb{Z}_p) \text{ for all finitely many } p \right\}$$

For finite prime  $p$ , put  $K_p = \mathrm{GL}_2(\mathbb{Z}_p)$  and let  $(\pi_p, V_p)$  be an irreducible representation of  $\mathrm{GL}_2(\mathbb{Q}_p)$ . For  $p = \infty$ , let  $(\pi_{\infty}, V_{\infty})$  be an irreducible  $(\mathfrak{g}_{\infty}, K_{\infty})$ -module, where  $\mathfrak{g}_{\infty} = \mathrm{Lie}(\mathrm{GL}_2(\mathbb{R}))$  and  $K_{\infty} = \mathrm{O}(2)$ .

(♠) Assume that  $V^{K_p} \neq 0$  for all but finitely many  $p$ . Define

$$V := \bigotimes'_{p \leq \infty} V_p = \varinjlim_{\substack{S \subseteq M_{\mathbb{Q}} \\ \#S < \infty}} \left( \bigotimes_{p \in S} V_p \otimes \bigotimes_{p \notin S} V_p^{K_p} \right)$$

Let  $S_0$  be a finite set of primes containing  $\infty$ . For  $p \notin S_0$ , since we are assuming  $V_p^{K_p} \neq 0$ , by [Lemma 12.1](#), we have  $V_p^{K_p} = \mathbb{C} \cdot \xi_p^{\circ}$ . Then

$$V = \mathrm{span}_{\mathbb{C}} \left\{ \bigotimes_{p \in S} v_p \otimes \bigotimes_{p \notin S} \xi_p^{\circ} \mid v_p \in V_p, S \supseteq S_0, \#S < \infty \right\}$$

Then

$$\pi := \bigotimes' \pi_p : \mathrm{GL}_2(\mathbb{A}) \rightarrow \mathrm{GL}(V)$$

is an representation of  $\mathrm{GL}_2(\mathbb{A})$ , or more precisely, a representation of  $(\mathfrak{g}_{\infty}, K_{\infty}) \times \prod'_{p < \infty} \mathrm{GL}_2(\mathbb{Q}_p)$ .

**Definition.** We say  $(\pi, V)$  is an **irreducible representation of  $\mathrm{GL}_2(\mathbb{A})$**  if  $(\pi, V) \cong \left( \otimes'_{p \leq \infty} \pi_p, \otimes'_{p \leq \infty} V_p \right)$  with each  $(\pi_p, V_p)$  irreducible and  $\{(\pi_p, V_p)\}_{p \leq \infty}$  satisfying  $(\spadesuit)$ .

For  $(g_p) = (a_{ij}) \in \mathrm{GL}_2(\mathbb{Q}_p)$ , define

$$\|g_p\|_p := \begin{cases} \sum_{i,j} |a_{ij}|_\infty^2 & , \text{ if } p = \infty \\ \max_{i,j} |a_{ij}|_p & , \text{ if } p < \infty \end{cases}$$

For  $g = (g_p) \in \mathrm{GL}_2(\mathbb{A})$ , define

$$\|g\| = \prod_{p \leq \infty} \|g_p\|_p$$

which is well-defined since for all but finitely many  $g_p$ , we have  $\|g_p\|_p \leq 1$ .

**Definition.** A function  $\phi : \mathrm{GL}_2(\mathbb{A}) \rightarrow \mathbb{C}$  is called an **automorphic form** on  $\mathrm{GL}_2(\mathbb{A})$  if

(i)  $\phi$  is  $K$ -finite, where  $K = \prod_{p \leq \infty} K_p$ ;

(ii)  $\phi$  is **smooth**, i.e. there exists an open compact  $U \subseteq \prod_{p < \infty} K_p$  such that

- $\phi(gu) = \phi(g)$  for all  $u \in U$ , and
- for all  $g_f \in \mathrm{GL}_2(\mathbb{A}_f) = \prod'_{p < \infty} \mathrm{GL}_2(\mathbb{Q}_p)$ , the map

$$\begin{aligned} \mathrm{GL}_2(\mathbb{R}) &\longrightarrow \mathbb{C} \\ g_\infty &\longmapsto \phi(g_\infty g_f) \end{aligned}$$

is smooth;

(iii)  $\phi$  is **slowing increasing**, i.e. there exist  $M_1, M_2 > 0$  such that

$$|\phi(g)| \leq M_2 \|g\|^{M_1}$$

for all  $g \in \mathrm{GL}_2(\mathbb{A})$ ;

(iv)  $\phi(rg) = \phi(g)$  for all  $r \in \mathrm{GL}_2(\mathbb{Q})$  (this is why  $\phi$  is called automorphic);

(v)  $\phi$  is  $\mathcal{Z}$ -finite, where  $\mathcal{Z} = \mathbb{C}[J, \Delta] \subseteq U(\mathfrak{g}_{\mathbb{C}})$ ,  $J = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ , and  $\Delta$  is the Casimir element of  $\mathfrak{sl}_2(\mathbb{R})$ .

We denote by  $\mathcal{A}(\mathrm{GL}_2(\mathbb{A}))$  the space of automorphic forms on  $\mathrm{GL}_2(\mathbb{A})$ . Then  $\mathcal{A}(\mathrm{GL}_2(\mathbb{A}))$  is a representation of  $\mathrm{GL}_2(\mathbb{A})$  under the right translation.

In the following, let us put  $G = \mathrm{GL}_2$ , and  $\mathcal{A}(\mathrm{GL}_2(\mathbb{A})) = \mathcal{A}(G)$ .

**Definition.** An irreducible representation  $(\pi, V)$  of  $\mathrm{GL}_2(\mathbb{A})$  is **automorphic** if  $\mathrm{Hom}_{\mathrm{GL}_2(\mathbb{A})}(\pi, \mathcal{A}(G)) \neq 0$ .

**Definition.** A continuous character  $\omega : \mathbb{Q}^\times \backslash \mathbb{A}^\times \rightarrow \mathbb{C}^\times$  is called a **Hecke character** of  $\mathbb{Q}$ .

We write

$$\mathcal{A}(G, \omega) = \{ \phi \in \mathcal{A}(G) \mid \phi(zg) = \omega(z)\phi(g) \text{ for all } z \in \mathbb{A}^\times \}$$

to be the space of automorphic forms of  $\mathrm{GL}_2(\mathbb{A})$  with central character  $\omega$ . Then

$$\mathcal{A}(G) = \bigoplus_{\omega : \text{Hecke}} \mathcal{A}(G, \omega) ???$$

and a smooth function  $\phi : G(\mathbb{Q}) \backslash G(\mathbb{A}) \rightarrow \mathbb{C}$  with central character  $\omega$  is automorphic if and only if  $\phi$  is  $K$ -finite,  $\mathcal{Z}$ -finite and slowly decreasing. A representation  $\pi = \otimes' \pi_p$  is automorphism if and only if  $\mathrm{Hom}_{G(\mathbb{A})}(\pi, \mathcal{A}(G, \omega)) \neq 0$  for some Hecke character  $\omega$  of  $\mathbb{Q}$ .

## 12.2 Siegel Set

If  $(x, y) \in \mathbb{Q}_p^2$ , define

$$\|(x, y)\|_p := \begin{cases} \max\{|x|_p, |y|_p\} & , \text{ if } p < \infty \\ \sqrt{|x|_\infty^2 + |y|_\infty^2} & \text{ if } p = \infty \end{cases}$$

and for  $(x, y) \in \mathbb{A}^2$ , define

$$\|(x, y)\| := \prod_{p \leq \infty} \|(x_p, y_p)\|_p$$

Then  $\|\cdot\| : \mathbb{A}^\times \rightarrow \mathbb{R}_{>0}$  is a continuous map.

We list some facts.

- For  $\alpha \in \mathbb{Q}^\times \subseteq \mathbb{A}^\times$ , we have

$$|\alpha| := \prod_{p \leq \infty} |\alpha|_p = 1$$

This is the **product formula**. In other words,  $|\cdot| : \mathbb{A}^\times \rightarrow \mathbb{R}_{>0}$  factors through  $\mathbb{Q}^\times \backslash \mathbb{A}^\times$ .

- $\mathbb{A} = \mathbb{Q} + [0, 1] \times \prod_{p < \infty} \mathbb{Z}_p$ .
- Put  $(\mathbb{A}^\times)^0 = \{x \in \mathbb{A}^\times \mid |x| = 1\}$ . Then  $(\mathbb{A}^\times)^0 = \mathbb{Q}^\times \left( \{\pm 1\} \times \prod_{p < \infty} \mathbb{Z}_p^\times \right)$ .
- $\mathrm{GL}_2(\mathbb{A}) = \mathrm{GL}_2(\mathbb{Q}) \left( \mathrm{GL}_2(\mathbb{R}) \times \prod_{p < \infty} \mathrm{GL}_2(\mathbb{Z}_p) \right)$ .

**Lemma 12.3.** There exists  $c_0 > 0$  such that for any  $g \in \mathrm{GL}_2(\mathbb{A})$  there exists  $\gamma \in \mathrm{GL}_2(\mathbb{Q})$  such that

$$\|(0, 1)\gamma g\| < c_0 |\det g|^{\frac{1}{2}}$$

where  $\det : \mathrm{GL}_2(\mathbb{A}) \rightarrow \mathbb{A}^\times$ .

Put

$$B^0(\mathbb{A}) = \left\{ \begin{pmatrix} a_1 & x \\ 0 & a_2 \end{pmatrix} \mid a_i \in (\mathbb{A}^\times)^0, x \in \mathbb{A} \right\}$$

By product formula, we have  $B(\mathbb{Q}) \subseteq B^0(\mathbb{A})$ . Since  $\mathbb{Q} \backslash \mathbb{A}$  and  $\mathbb{Q}^\times \backslash (\mathbb{A}^\times)^0$  are compact,  $B(\mathbb{Q}) \backslash B^0(\mathbb{A})$  is compact as well. In particular, we can find compact  $\Omega_0 \subseteq B^0(\mathbb{A})$  such that

$$B^0(\mathbb{A}) = B(\mathbb{Q})\Omega_0$$

In fact, we can take

$$\Omega_0 = \left\{ \begin{pmatrix} a_1 & x \\ 0 & a_2 \end{pmatrix} \mid a_i \in \{\pm 1\} \times \prod_{p < \infty} \mathbb{Z}_p^\times, x \in [-1, 1] \times \prod_{p < \infty} \mathbb{Z}_p \right\}$$

For  $c > 0$ , we define the **Siegel set** to be

$$\mathfrak{S}(\Omega_0, c) := \left\{ b \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} k \mid b \in \Omega_0, a \in \mathbb{R}^\times, |a| > c, k \in K \right\}$$

where  $K = \mathrm{O}(2) \times \prod_{p < \infty} \mathrm{GL}_2(\mathbb{Z}_p)$ .

**Theorem 12.4.** There exists  $c > 0$  such that

$$\mathrm{GL}_2(\mathbb{A}) = \mathrm{GL}_2(\mathbb{Q})\mathbb{R}_+\mathfrak{S}(\Omega_0, c)$$

where  $\mathbb{R}_+ \subseteq \mathrm{GL}_2(\mathbb{R}) \subseteq \mathrm{GL}_2(\mathbb{A})$ .

**Lemma 12.5.** Take  $c > 0$  be as in [Theorem 12.4](#). The set

$$\{r \in \mathbb{Q}^\times \setminus \mathrm{GL}_2(\mathbb{Q}) \mid r\mathfrak{S} \cap \mathbb{A}^\times \mathfrak{S} \neq \emptyset\}$$

is finite, where  $\mathfrak{S} = \mathfrak{S}(\Omega_0, c)$  is the Siegel set.

**Corollary 12.5.1.** Let  $\omega : \mathbb{Q}^\times \setminus \mathbb{A}^\times \rightarrow S^1$  be a unitary Hecke character of  $\mathbb{Q}$  and  $\phi \in \mathcal{A}(G, \omega)$ . If there exists  $m < 1$  and  $c_1$  such that

$$|\phi(g)| \leq c_1 \|g\|^m$$

for all  $g \in \mathrm{GL}_2(\mathbb{A})$ , then  $\phi \in L^1(\mathbb{A}^\times G(\mathbb{Q}) \backslash G(\mathbb{A}))$ , i.e.,

$$\int_{Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})} |\phi(g)| dg < \infty$$

That  $|\phi|$  is a function on  $Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})$  results from that  $\phi$  is automorphic and  $\omega$  is unitary.

*Proof.* Let  $\mathfrak{S}$  be the Siegel set as in the previous lemma, and  $\pi_1 : G \rightarrow Z(\mathbb{A}) \backslash G(\mathbb{A})$  be the projection. Put

$$\mathfrak{S}' = \pi_1(\mathfrak{S}) \subseteq Z(\mathbb{A}) \backslash G(\mathbb{A})$$

and for  $g \in Z(\mathbb{A}) \backslash \mathrm{GL}_2(\mathbb{A})$ , define

$$A_{\mathfrak{S}}(g) := \sum_{r \in \mathbb{Q}^\times \setminus G(\mathbb{Q})} \mathbb{I}_{\mathfrak{S}'}(rg)$$

Formally, it descends to a map for  $g \in Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})$ . To see this sum is well-defined, by [Theorem 12.4](#), the projection  $\mathfrak{S} \rightarrow Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})$  is surjective, so for  $g \in Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})$  we can choose  $x \in \mathfrak{S}$  with  $A_{\mathfrak{S}}(g) = A_{\mathfrak{S}}(x)$ . Then

$$\{r \in \mathbb{Q}^\times \setminus G(\mathbb{Q}) \mid rx \in \mathfrak{S}'\} = \{r \in \mathbb{Q}^\times \setminus G(\mathbb{Q}) \mid \mathbb{A}^\times \mathfrak{S} \cap \mathbb{A}^\times rx \neq \emptyset\} \subseteq \{r \in \mathbb{Q}^\times \setminus G(\mathbb{Q}) \mid \mathbb{A}^\times \mathfrak{S} \cap r\mathfrak{S} \neq \emptyset\}$$

The last set above is finite by the previous lemma, so the sum  $\sum_{r \in \mathbb{Q}^\times \setminus G(\mathbb{Q})} \mathbb{I}_{\mathfrak{S}'}(rg)$  is actually a finite sum; this shows  $A_{\mathfrak{S}}(g)$  is well-defined. Now  $A_{\mathfrak{S}}(g) \geq \mathbb{I}_{Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})}(g)$ , so

$$\begin{aligned} \int_{Z(\mathbb{A}) \backslash G(\mathbb{A})} |\phi(g)| \mathbb{I}_{\mathfrak{S}'}(g) dg &= \int_{Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})} \sum_{r \in \mathbb{Q}^\times \setminus G(\mathbb{Q})} |\phi(rg)| \mathbb{I}_{\mathfrak{S}'}(rg) dg \\ &= \int_{Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})} |\phi(g)| A_{\mathfrak{S}}(g) dg \\ &\geq \int_{Z(\mathbb{A})G(\mathbb{Q}) \backslash G(\mathbb{A})} |\phi(g)| dg \end{aligned}$$

It suffices to show  $\int_{Z(\mathbb{A}) \backslash G(\mathbb{A})} |\phi(g)| \mathbb{I}_{\mathfrak{S}'}(g) dg < \infty$ . By assumption, we have

$$\int_{Z(\mathbb{A}) \backslash G(\mathbb{A})} |\phi(g)| \mathbb{I}_{\mathfrak{S}'}(g) dg \leq c_1 \int_c^\infty |t|^{m-1} d^\times t \mathrm{vol}(\Omega_0 K) \text{ ???}$$

The last integral is finite if  $m < 1$ , so the result follows.  $\square$

## 12.3 Cusp forms

**Definition.** Let  $N = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\}$ . For  $\phi \in \mathcal{A}(G)$ , define

$$\phi_N(g) := \int_{\mathbb{Q} \backslash \mathbb{A}} \phi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx$$

This is called the **constant term** of  $\phi$  (along  $N$ ). Here  $dx$  is the quotient measure of the Haar measure on  $\mathbb{A}$  normalized so that  $\text{vol}([0, 1] \times \prod_{p < \infty} \mathbb{Z}_p) = 1$  by the counting measure on  $\mathbb{Q}$ . An automorphic form  $\phi$  is called **cuspidal**, or a **cuspidal form** if  $\phi_N = 0$ .

**Proposition 12.6.** If  $\phi$  is a cusp form, then  $\phi$  is **rapidly decreasing**, i.e., for all  $m \in \mathbb{Z}$  there exists  $c_m$  such that

$$|\phi(g)| \leq c_m \|g\|^m$$

## 12.4 Poisson summation formula

For each  $p \leq \infty$ , let  $\psi_p : \mathbb{Q}_p \rightarrow \mathbb{C}^\times$  be the standard additive character. Define

$$\psi_{\mathbb{A}} = \prod_{p \leq \infty} \psi_p : \mathbb{A} \rightarrow \mathbb{C}^\times$$

By definition, one can show  $\psi_{\mathbb{A}}(x + \alpha) = \psi_{\mathbb{A}}(x)$  for all  $\alpha \in \mathbb{Q}$ , so it induces a map on the quotient  $\psi_{\mathbb{A}} : \mathbb{Q} \backslash \mathbb{A} \rightarrow \mathbb{C}^\times$ .

For each  $p < \infty$  we fix the element  $\mathbb{I}_{\mathbb{Z}_p} \in \mathcal{S}(\mathbb{Q}_p)$ . Form the restricted tensor product  $\mathcal{S}(\mathbb{A}) = \bigotimes'_{p \leq \infty} \mathcal{S}(\mathbb{Q}_p)$ .

For each  $\Phi \in \mathcal{S}(\mathbb{A})$ , define its **Fourier transform**

$$\widehat{\Phi}(x) := \int_{\mathbb{A}} \Phi(y) \psi_{\mathbb{A}}(xy) dy$$

The Fourier transform induces a bijection on  $\mathcal{S}(\mathbb{A})$ .

**Theorem 12.7.** For  $\Phi \in \mathcal{S}(\mathbb{A})$ , we have

$$\sum_{\alpha \in \mathbb{Q}} \Phi(\alpha) = \sum_{\alpha \in \mathbb{Q}} \widehat{\Phi}(\alpha)$$

*Proof.* Define  $f : \mathbb{A} \rightarrow \mathbb{C}$  by

$$f(x) = \sum_{\alpha \in \mathbb{Q}} \Phi(\alpha + x)$$

This series converges absolutely and compactly, so it defines a continuous function on  $\mathbb{A}$ . To see this, let us assume  $\Phi(x) = \Phi_{\infty}(x_{\infty}) \Phi_f(x_f)$  with  $\Phi_{\infty} \in \mathcal{S}(\mathbb{R})$ ,  $\Phi_f \in \mathcal{S}(\mathbb{A}_{\text{fin}})$ . Since  $\Phi_f$  has compact support, by prime factorization there exists a discrete subgroup  $\Lambda \leq \mathbb{R}$  such that if  $\alpha \in \mathbb{Q}$ , then  $\Phi_f(\alpha_f) = 0$  unless  $\alpha_{\infty} \in \Lambda$ . Now it suffices to show  $\sum_{\alpha \in \Lambda} \Phi_{\infty}(\alpha_{\infty} + x_{\infty})$  converges absolutely and compactly in  $x_{\infty}$ . This is easy.

Since it is periodic, it induces a continuous map  $f : \mathbb{Q} \backslash \mathbb{A} \rightarrow \mathbb{C}$ , by abuse of notation. Since  $\widehat{\mathbb{Q} \backslash \mathbb{A}} = \{\psi_{\alpha} : x \mapsto \psi_{\mathbb{A}}(\alpha x) \mid \alpha \in \mathbb{Q}\}$  and  $\mathcal{A} \backslash \mathbb{Q}$  is compact abelian, we have the Fourier expansion

$$f(x) = \sum_{\alpha \in \mathbb{Q}} a_{\alpha} \psi_{\alpha}(x)$$



with  $a_\alpha = \int_{\mathbb{Q} \setminus \mathbb{A}} f(x) \psi_\alpha(-x) dx$ . We compute the coefficients  $a_\alpha$ .

$$\begin{aligned}
a_\alpha &= \int_{\mathbb{Q} \setminus \mathbb{A}} f(x) \psi_\alpha(-x) dx = \int_{\mathbb{Q} \setminus \mathbb{A}} f(x) \psi_{\mathbb{A}}(-\alpha x) dx \\
&= \int_{\mathbb{Q} \setminus \mathbb{A}} \sum_{\beta \in \mathbb{Q}} \Phi(x + \beta) \psi_{\mathbb{A}}(-\alpha x) dx \\
&= \int_{\mathbb{Q} \setminus \mathbb{A}} \sum_{\beta \in \mathbb{Q}} \Phi(x + \beta) \psi_{\mathbb{A}}(-\alpha(x + \beta)) dx \\
&= \int_{\mathbb{A}} \Phi(x) \psi_{\mathbb{A}}(-\alpha x) dx = \widehat{\Phi}(-\alpha)
\end{aligned}$$

Thus

$$f(x) = \sum_{\alpha \in \mathbb{Q}} \widehat{\Phi}(-\alpha) \psi_\alpha(x)$$

The right hand side defines a continuous function as well, so this equality holds everywhere in  $x \in \mathbb{A}$ . Taking  $x = 0$ , we obtain

$$\sum_{\alpha \in \mathbb{Q}} \Phi(\alpha) = f(0) = \sum_{\alpha \in \mathbb{Q}} \widehat{\Phi}(-\alpha)$$

□

For  $\Phi \in \mathcal{S}(\mathbb{A}^n)$ , we can similarly define  $\widehat{\Phi} : \mathbb{A}^n \rightarrow \mathbb{C}$  by

$$\widehat{\Phi}(x) = \int_{\mathbb{A}^n} \Phi(y) \psi_{\mathbb{A}}(x \cdot y) dy$$

where  $x \cdot y = x_1 y_1 + \cdots + x_n y_n$  if  $x = (x_n)$ ,  $y = (y_n)$ . In this way we still have the Poisson summation formula

$$\sum_{\alpha \in \mathbb{Q}^n} \Phi(\alpha) = \sum_{\alpha \in \mathbb{Q}^n} \widehat{\Phi}(\alpha)$$

Let  $\Phi \in \mathcal{S}(\mathbb{A}^n)$  and  $a \in \mathbb{A}^\times$ . Define  $\Phi_a \in \mathcal{S}(\mathbb{A}^n)$  by  $\Phi_a(x) := \Phi(ax)$ . We compute its Fourier transform.

$$\begin{aligned}
\widehat{\Phi}_a(x) &= \int_{\mathbb{A}^n} \Phi_a(y) \psi_{\mathbb{A}}(x \cdot y) dy = \int_{\mathbb{A}^n} \Phi(ay) \psi_{\mathbb{A}}(x \cdot y) dy \\
(y \mapsto a^{-1}y) &= \int_{\mathbb{A}^n} \Phi(y) \psi(a^{-1}xy) |a|^{-n} dy = |a|^{-n} \widehat{\Phi}(a^{-1}x)
\end{aligned}$$

Thus we have the following (slight) generalization of Poisson summation formula.

**Theorem 12.8.** For  $\Phi \in \mathcal{S}(\mathbb{A}^n)$  and  $a \in \mathbb{A}^\times$ , we have

$$\sum_{\alpha \in \mathbb{Q}^n} \Phi(a\alpha) = \frac{1}{|a|^n} \sum_{\alpha \in \mathbb{Q}^n} \widehat{\Phi}(a^{-1}\alpha)$$

## 12.5 Eisenstein series

Let  $\chi_1, \chi_2 : \mathbb{Q}^\times \setminus \mathbb{A}^\times \rightarrow \mathbb{C}^\times$  be two Hecke characters of  $\mathbb{Q}$ ; then they together define a character  $\chi = (\chi_1, \chi_2) : B(\mathbb{A}) \rightarrow \mathbb{C}$ . For  $\Phi \in \mathcal{S}(\mathbb{A}^2)$ , define the **Godement section**  $f_{\Phi, \chi, s} : G(\mathbb{A}) \rightarrow \mathbb{C}$  by the formula

$$f_{\Phi, \chi, s}(g) := \chi_1 | \cdot |^{s+\frac{1}{2}} (\det g) \int_{\mathbb{A}^\times} \Phi((0, t)g) \chi_1 \chi_2^{-1} | \cdot |^{2s+1}(t) d^\times t$$

where  $d^\times t = \prod_{p \leq \infty} d^\times t_p$ . If  $\Phi = \bigotimes'_{p \leq \infty} \Phi_p$  (with  $\Phi_p = \mathbb{I}_{\mathbb{Z}_p \times \mathbb{Z}_p}$  for almost all  $p < \infty$ ), we have

$$f_{\Phi, \chi, s}(g) = \prod_{p \leq \infty} f_{\Phi_p, \chi_p, s}(g_p)$$

For  $\Phi \in \mathcal{S}(\mathbb{A}^2)$ ,  $s \in \mathbb{C}$ ,  $g \in G(\mathbb{A})$ , define the **Eisenstein series**

$$E_\chi(\Phi, s, g) := \sum_{r \in B(\mathbb{Q}) \backslash G(\mathbb{Q})} f_{\Phi, \chi, s}(rg)$$

Ignoring the convergence issue, we see that  $g \mapsto E_\chi(\Phi, s, g)$  is automorphic, i.e.,  $E_\chi(\Phi, s, rg) = E_\chi(\Phi, s, g)$  for all  $r \in G(\mathbb{Q})$ .

**Theorem 12.9.** Suppose  $|\chi_1 \chi_2^{-1}| = |\cdot|^\rho$  for some  $\rho \in \mathbb{R}$ .

- (i) The series  $E_\chi(\Phi, s, g)$  converges absolutely if  $\operatorname{Re}(s) > \frac{1-\rho}{2}$ .
- (ii)  $E_\chi(\Phi, s, g)$  has a meromorphic continuation to  $\mathbb{C}$  and satisfies the functional equation

$$E_\chi(\Phi, s, g) = E_{\chi^{\text{sw}}}(\hat{\Phi}, -s, g)$$

where  $\chi^{\text{sw}} = (\chi_2, \chi_1)$ .

- (iii)  $E_\chi(\Phi, s, g)$  is entire if  $\chi_1 \chi_2^{-1}$  is not of the form  $|\cdot|^{s_0}$  for some  $s_0 \in \mathbb{C}$ , and has only a simple pole at  $s = \frac{-\rho \pm 1}{2}$  ??? if  $\chi_1 \chi_2^{-1} = |\cdot|^{\rho+it}$  for some  $t \in \mathbb{R}$ .

In fact, one can show  $E_\chi(\Phi, s, g) \in \mathcal{A}(G, \chi_1 \chi_2)$  is an automorphic form.

*Proof.* We have the Bruhat decomposition

$$G(\mathbb{Q}) = B(\mathbb{Q}) \bigsqcup_{\alpha \in \mathbb{Q}} B(\mathbb{Q}) \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}$$

so

$$B(\mathbb{Q}) \backslash G(\mathbb{Q}) = \left\{ e = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \mid \alpha \in \mathbb{Q} \right\}$$

Since  $E_\chi(\Phi, s, g) = E_\chi(\rho(g)\Phi, s, e)$ , we may assume  $g = e$ . Then formally

$$\begin{aligned}
E_\chi(\Phi, s, e) &= f_{\Phi, \chi, s}(e) + \sum_{\alpha \in \mathbb{Q}} f_{\Phi, \chi, s} \left( \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \right) \\
&= \int_{\mathbb{A}^\times} \Phi(0, t) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t + \sum_{\alpha \in \mathbb{Q}} \int_{\mathbb{A}^\times} \Phi(t, t\alpha) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t \\
&= \int_{\mathbb{Q}^\times \setminus \mathbb{A}^\times} \sum_{\beta \in \mathbb{Q}^\times} \Phi(0, \beta t) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(\beta t) d^\times t + \sum_{\alpha \in \mathbb{Q}} \int_{\mathbb{Q}^\times \setminus \mathbb{A}^\times} \sum_{\beta \in \mathbb{Q}^\times} \Phi(t\beta, t\beta\alpha) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t\beta) d^\times t \\
&= \int_{\mathbb{Q}^\times \setminus \mathbb{A}^\times} \sum_{0 \neq \xi \in \mathbb{Q}^2} \Phi(t\xi) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t \\
&= \int_{|t|>1} \sum_{0 \neq \xi \in \mathbb{Q}^2} \Phi(t\xi) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t \\
&\quad + \int_{|t|<1} \sum_{\xi \in \mathbb{Q}^2} \Phi(t\xi) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t - \int_{|t|<1} \Phi(0) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t \\
&\stackrel{12.8}{=} \int_{|t|>1} \sum_{0 \neq \xi \in \mathbb{Q}^2} \Phi(t\xi) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t \\
&\quad + \int_{|t|<1} \sum_{\xi \in \mathbb{Q}^2} \widehat{\Phi}(t^{-1}\xi) |t|^{-2} \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t - \int_{|t|<1} \Phi(0) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t \\
(t \mapsto t^{-1}) &= \underbrace{\int_{|t|>1} \left( \sum_{0 \neq \xi \in \mathbb{Q}^2} \Phi(t\xi) \right) \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t}_{(A)} + \underbrace{\int_{|t|>1} \left( \sum_{0 \neq \xi \in \mathbb{Q}^2} \widehat{\Phi}(t^{-1}\xi) \right) \chi_1^{-1} \chi_2 |\cdot|^{1-2s}(t) d^\times t}_{(B)} \\
&\quad - \underbrace{\Phi(0) \int_{|t|<1} \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t}_{(C)} + \underbrace{\widehat{\Phi}(0) \int_{|t|<1} \chi_1 \chi_2^{-1} |\cdot|^{2s-1}(t) d^\times t}_{(D)}
\end{aligned}$$

For (A) and (B), the parenthetical terms are rapidly decreasing in  $t$ , so the integrals converge absolutely for all  $s \in \mathbb{C}$  (note that  $\int_{|t|>1} = \int_1^\infty \int_{\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0}$  and recall that  $\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0$  is compact). For (C)

$$\Phi(0) \int_{|t|<1} \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(t) d^\times t = \Phi(0) \int_0^1 \int_{\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0} \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(tx) d^\times t d^\times x$$

Since  $\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0$  is compact, the integral vanishes if  $\chi_1 \chi_2^{-1}|_{(\mathbb{A}^\times)^0} \neq 1$ , and if  $\chi_1 \chi_2^{-1}|_{(\mathbb{A}^\times)^0} = 1$ , it is

$$\Phi(0) \text{vol}(\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0, d^\times t) \int_0^1 \chi_1 \chi_2^{-1} |\cdot|^{2s+1}(x) d^\times x$$

Similarly, (D) vanishes if  $\chi_1 \chi_2^{-1}|_{(\mathbb{A}^\times)^0} \neq 1$ , and if  $\chi_1 \chi_2^{-1}|_{(\mathbb{A}^\times)^0} = 1$ , it is

$$\widehat{\Phi}(0) \text{vol}(\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0, d^\times t) \int_0^1 \chi_1 \chi_2^{-1} |\cdot|^{2s-1}(x) d^\times x$$

Now recall that a continuous character  $\chi : \mathbb{R}^\times \rightarrow \mathbb{C}^\times$  has the form  $\chi = |\cdot|^r \text{sign}^\varepsilon$  for some  $r \in \mathbb{C}$  and  $\varepsilon \in \{0, 1\}$ ; this  $\chi_1 \chi_2^{-1}|_{\mathbb{R}_{>0}} = |\cdot|^{\rho+it_0}$  for some  $t_0 \in \mathbb{R}$ . Thus if  $2 \text{Re}(s) - 1 + \rho > 0$  and  $\chi_1 \chi_2^{-1}|_{(\mathbb{A}^\times)^0} = 1$ , we have

$$(C)-(D) = \text{vol}(\mathbb{Q}^\times \setminus (\mathbb{A}^\times)^0, d^\times t) \left( \frac{\Phi(0)}{2s+1+\rho+it_0} - \frac{\widehat{\Phi}(0)}{2s-1+\rho+it_0} \right)$$

Then  $E_\chi(\Phi, s, e)$  satisfies all desired properties.  $\square$

### 12.5.1 Fourier Expansion

For  $\phi \in \mathcal{A}(G)$ , define  $W_\phi : G(\mathbb{A}) \rightarrow \mathbb{C}$  by

$$W_\phi(g) := \int_{\mathbb{Q} \backslash \mathbb{A}} \phi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) dx$$

where  $\psi = \psi_{\mathbb{A}} : \mathbb{Q} \backslash \mathbb{A} \rightarrow \mathbb{C}^\times$  is the standard additive character.  $W_\phi$  is called the **Whittaker function** of  $\phi$ , and it satisfies

$$W_\phi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) = \psi(x) W_\phi(g)$$

for all  $g \in G(\mathbb{A})$ ,  $x \in \mathbb{A}$ . Then for all  $\phi \in \mathcal{A}(G)$ , we have the **Fourier expansion** of  $\phi$ :

$$\phi(g) = \phi_N(g) + \sum_{\alpha \in \mathbb{Q}^\times} W_\phi \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

To see this, since the function  $x \mapsto \phi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right)$  is continuous on the compact abelian group  $\mathbb{Q} \backslash \mathbb{A}$ , it has the expansion  $\sum_{\alpha \in \mathbb{Q}} \phi_\alpha \psi(\alpha x)$  with

$$\phi_\alpha = \int_{\mathbb{Q} \backslash \mathbb{A}} \phi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-\alpha x) dx$$

For  $\alpha = 0$ , by definition we have  $\phi_\alpha = \phi_N$ . For  $\alpha \neq 0$ , compute

$$\begin{aligned} \phi_\alpha &= \int_{\mathbb{Q} \backslash \mathbb{A}} \phi \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-\alpha x) dx \stackrel{x \mapsto \alpha^{-1}x}{=} \int_{\mathbb{Q} \backslash \mathbb{A}} \phi \left( \begin{pmatrix} 1 & \alpha^{-1}x \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) |\alpha^{-1}| dx \\ &= \int_{\mathbb{Q} \backslash \mathbb{A}} \phi \left( \begin{pmatrix} \alpha^{-1} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) dx = W_\phi \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right) \end{aligned}$$

Taking  $x = 0$  proves the desired identity.

For convenience, write  $E(g) = E_\chi(\Phi, s, g)$  and  $f = f_{\Phi, \chi, s}$ , where  $s \in \mathbb{C}$ ,  $\Phi \in \mathcal{S}(\mathbb{A}^2)$ ,  $\chi = (\chi_1, \chi_2)$ . We discuss its Fourier expansion. Firstly, the constant term

$$\begin{aligned} E_N(g) &:= \int_{\mathbb{Q} \backslash \mathbb{A}} E \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx \\ &= \int_{\mathbb{Q} \backslash \mathbb{A}} \sum_{\gamma \in B(\mathbb{Q}) \backslash G(\mathbb{Q})} f \left( \gamma \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx \\ &= \int_{\mathbb{Q} \backslash \mathbb{A}} f \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) + \sum_{\alpha \in \mathbb{Q}} f \left( w^{-1} \begin{pmatrix} 1 & x + \alpha \\ 0 & 1 \end{pmatrix} g \right) dx \\ &= f(g) + Mf(g) \end{aligned}$$

The third equality is the Bruhat decomposition

$$G(\mathbb{Q}) = B(\mathbb{Q}) \bigsqcup_{\alpha \in \mathbb{Q}} B(\mathbb{Q}) \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}$$

and  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . For the last equality, note that  $\text{vol}(\mathbb{Q}\backslash\mathbb{A}, dx) = 1$ , and define

$$Mf(g) := \int_{\mathbb{A}} f \left( w^{-1} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx$$

If  $\Phi = \bigotimes'_{p \leq \infty} \Phi_p \in \mathcal{S}(\mathbb{A}^2)$ , then

$$Mf(g) = \prod_{p \leq \infty} \int_{\mathbb{Q}_p} f_{\Phi_p, \chi_p, s} \left( w^{-1} \begin{pmatrix} 1 & x_p \\ 0 & 1 \end{pmatrix} g_p \right) dx_p = \prod_{p \leq \infty} Mf_{\Phi_p, \chi_p, s}(g_p)$$

Here  $M = \ell_N$  is the intertwining operator defined before. Secondly, the Whittaker function

$$\begin{aligned} W_E(g) &:= \int_{\mathbb{Q}\backslash\mathbb{A}} E \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) dx \\ &= \underbrace{\int_{\mathbb{Q}\backslash\mathbb{A}} f \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) dx}_{=0} + \int_{\mathbb{Q}\backslash\mathbb{A}} \sum_{\alpha \in \mathbb{Q}} f \left( w^{-1} \begin{pmatrix} 1 & x + \alpha \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) dx \\ &= \int_{\mathbb{A}} f \left( w^{-1} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-x) dx = \prod_p \int_{\mathbb{Q}_p} f_{\Phi_p, \chi_p, s} \left( w^{-1} \begin{pmatrix} 1 & x_p \\ 0 & 1 \end{pmatrix} g_p \right) \psi_p(-x_p) dx_p \end{aligned}$$

The last equality holds when  $\Phi = \bigotimes'_{p \leq \infty} \Phi_p$ . If we define the local Whittaker function

$$W_{f_p}(g) := \int_{\mathbb{Q}_p} f_p \left( w^{-1} \begin{pmatrix} 1 & x_p \\ 0 & 1 \end{pmatrix} g_p \right) \psi_p(-x_p) dx_p$$

with  $f_p = f_{\Phi_p, \chi_p, s} \in I(\chi_{1,p} |\cdot|^s, \chi_{2,p} |\cdot|^{-s})$  (c.f. [Remark 8.3](#)), we have

$$W_E(g) = \prod_{p \leq \infty} W_{f_p}(g_p)$$

whenever  $\Phi = \bigotimes'_{p \leq \infty} \Phi_p$  and  $\chi = \prod_{p \leq \infty} \chi_p$ .

**Example.**

- (1) Let  $p < \infty$ ,  $\Phi = \mathbb{I}_{\mathbb{Z}_p \times \mathbb{Z}_p}$  and  $\chi_p = (\chi_{1,p}, \chi_{2,p})$  with  $\chi_{i,p}$  unramified. Write  $f = f_{\Phi_p, \chi_p, s}$  for brevity. For

$a \in \mathbb{Q}_p^\times$ ,

$$\begin{aligned}
W_f \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) &= \int_{\mathbb{Q}_p} f \left( w^{-1} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) \psi_p(-x) dx \\
&= \int_{\mathbb{Q}_p} \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \int_{\mathbb{Q}_p^\times} \Phi_p \left( (0, t) \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} a & x \\ 0 & 1 \end{pmatrix} \right) \chi_{1,p} \chi_{2,p}^{-1} | \cdot |^{2s+1}(t) d^\times t \psi_p(-x) dx \\
&= \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \int_{\mathbb{Q}_p} \int_{\mathbb{Q}_p^\times} \Phi_p(ta, tx) \chi_{1,p} \chi_{2,p}^{-1} | \cdot |^{2s+1}(t) \psi_p(-x) d^\times t dx \\
&= \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \int_{\mathbb{Q}_p} \mathbb{I}_{\mathbb{Z}_p}(ta) \chi_{1,p} \chi_{2,p}^{-1} | \cdot |^{2s+1}(t) \int_{\mathbb{Q}_p} \mathbb{I}_{\mathbb{Z}_p}(tx) \psi_p(-x) dx d^\times t \\
(x \mapsto t^{-1}x) &= \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \int_{\mathbb{Q}_p^\times} \mathbb{I}_{\mathbb{Z}_p}(ta) \chi_{1,p} \chi_{2,p}^{-1} | \cdot |^{2s+1}(t) |t^{-1}| \widehat{\mathbb{I}}_{\mathbb{Z}_p}(-t^{-1}) d^\times t \\
(t \mapsto t^{-1}) &= \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \int_{\mathbb{Q}_p^\times} \mathbb{I}_{\mathbb{Z}_p}(t^{-1}a) \mathbb{I}_{\mathbb{Z}_p}(-t) (t^{-1}a) \chi_{1,p} \chi_{2,p}^{-1} | \cdot |^{2s}(t^{-1}) d^\times t \\
&= \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \int_{0 \leq \text{ord}_p t \leq \text{ord}_p a} \chi_{1,p} \chi_{2,p}^{-1} | \cdot |^{2s}(t^{-1}) d^\times t \\
&= \chi_{1,p} | \cdot |^{s+\frac{1}{2}}(a) \sum_{m=0}^{\text{ord}_p a} \chi_{1,p}^{-1} \chi_{2,p} | \cdot |^{-2s}(p^m)
\end{aligned}$$

In particular,  $W_f \left( \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right) = 1$  under this situation.

(2) Let  $p = \infty$ ,  $\Phi_\infty(x, y) = e^{-\pi(x^2+y^2)}$ ,  $\chi_{1,p} = \chi_{2,p} = 1$ . Then for  $a \in \mathbb{R}^\times = \mathbb{Q}_\infty^\times$ ,

$$\begin{aligned}
W_f \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) &= |a|^{s+\frac{1}{2}} \int_{\mathbb{R}^\times} e^{-\pi t^2 a^2} |t|^{2s+1} |t^{-1}| \widehat{e^{-\pi x^2}}(-t^{-1}) d^\times t \\
&= |a|^{s+\frac{1}{2}} \int_{\mathbb{R}^\times} e^{-\pi(t^2 a^2 + t^{-2})} |t|^{2s} d^\times t \\
(t \mapsto |a|^{-\frac{1}{2}} t) &= |a|^{\frac{1}{2}} \int_{\mathbb{R}^\times} e^{-\pi|a|(t^2+t^{-2})} |t|^{2s} d^\times t = |a|^{\frac{1}{2}} \mathcal{K}_s(\pi|a|)
\end{aligned}$$

where  $\mathcal{K}_s(y) := \int_{\mathbb{R}^\times} e^{-y(t+t^{-1})} |t|^s d^\times t = 2 \int_0^\infty e^{-y(t+t^{-1})} t^s d^\times t$  is the  **$K$ -Bessel function**.

## 12.5.2 Application to Prime Number Theorem

**Theorem 12.10.**  $\zeta(1+it) \neq 0$  for all  $t \in \mathbb{R}^\times$ , where

$$\zeta(s) = \prod_{p \leq \infty} L(s, 1_p) = \pi^{-\frac{s}{2}} \Gamma\left(\frac{s}{2}\right) \prod_{p < \infty} (1-p^{-s})^{-1}$$

*Proof.* Define

$$\Phi_p^\circ := \begin{cases} e^{-\pi(x^2+y^2)} & , \text{ if } p = \infty \\ \mathbb{I}_{\mathbb{Z}_p \times \mathbb{Z}_p} & , \text{ if } p < \infty \end{cases}$$

and put  $\Phi^\circ = \bigotimes_{p \leq \infty}' \Phi_p^\circ$ ; then  $\widehat{\Phi}^\circ = \Phi^\circ$ . Put  $\chi = (1, 1)$ , and form the **Epstein Eisenstein series**

$$E(s, g) := E_\chi(\Phi^\circ, s, g)$$

We compute its constant term; we have

$$E_N(s, g) = f_{\Phi^\circ, \chi, s}(g) + Mf_{\Phi^\circ, \chi, s}(g)$$

and by [Proposition 10.1](#),

$$Mf_{\Phi^\circ, \chi, s}(g) = \prod_p \gamma(2s, 1_p, \psi_p)^{-1} f_{\widehat{\Phi^\circ}, \chi^{\text{sw}}, -s} = \prod_p \frac{L(2s, 1_p)}{L(1-2s, 1_p)} f_{\widehat{\Phi^\circ}, \chi, -s} = \frac{\zeta(2s)}{\zeta(1-2s)} f_{\Phi^\circ, \chi^{\text{sw}}, -s}$$

Compute

$$\begin{aligned} f_{\Phi^\circ, \chi, s}(e) &= \int_{\mathbb{A}^\times} |\Phi^\circ(0, t)| \cdot |t|^{2s+1} d^\times t \\ &= \int_{\mathbb{R}^\times} e^{-\pi t^2} |t|^{2s+1} d^\times t \cdot \prod_{p < \infty} \int_{\mathbb{Q}_p^\times} |\mathbb{I}_{\mathbb{Z}_p}(t_p)| \cdot |t_p|^{2s+1} d^\times t_p \\ &= \zeta(2s+1) \end{aligned}$$

Thus

$$E_N(s, e) = f_{\Phi^\circ, \chi, s}(e) + Mf_{\Phi^\circ, \chi, s}(e) = \zeta(2s+1) + \zeta(2s)$$

To be filled. □

## 12.6 $L$ -functions of cuspidal automorphic representations

Recall that  $(\pi, V)$  is an irreducible representation of  $\text{GL}_2(\mathbb{A})$  if  $\pi = \bigotimes'_{p \leq \infty} \pi_p$  with each  $\pi_p$  an irreducible representation of  $\text{GL}_2(\mathbb{Q}_p)$ , and  $\pi$  is called automorphic if  $\text{Hom}_{G(\mathbb{A})}(\pi, \mathcal{A}(G)) \neq 0$ .

**Definition.** An irreducible representation  $(\pi, V)$  is called **cuspidal** if  $\text{Hom}_{G(\mathbb{A})}(\pi, \mathcal{A}_0(G)) \neq 0$ .

Suppose  $\pi$  is an automorphic cuspidal irreducible representation of  $\text{GL}_2(\mathbb{A})$ . Since  $\pi = \bigotimes'_{p \leq \infty} \pi_p$ , for each  $p \leq \infty$  we can form the local  $L$ -functions  $L(s, \pi_p)$  of  $\pi_p$ . Define the **global  $L$ -function**

$$L(s, \pi) = \prod_{p \leq \infty} L(s, \pi_p) \quad \text{????}$$

**Proposition 12.11.** Suppose  $\pi$  is an automorphic cuspidal irreducible representation of  $\text{GL}_2(\mathbb{A})$  with central character  $\omega$  of weight  $\rho$  (i.e.,  $|\omega| = |\cdot|^\rho$ ). Then  $L(s, \pi)$  is absolutely convergent for  $\text{Re}(s) > \frac{3-\rho}{2}$ .

Let  $p < \infty$ . Then  $\pi_p$  is spherical if and only if  $\pi_p^{\text{GL}_2(\mathbb{Z}_p)} \neq 0$ , if and only if  $\dim_{\mathbb{C}} \pi_p^{\text{GL}_2(\mathbb{Z}_p)} = 1$ . By [Homework 5](#), we see  $\pi_p \cong \pi(\chi_1, \chi_2)$  with  $\chi_i : \mathbb{Q}_p^\times \rightarrow \mathbb{C}^\times$  unramified. Since  $\mathcal{H}_p := \mathcal{H}(\text{GL}_2(\mathbb{Q}_p), \text{GL}_2(\mathbb{Z}_p))$  is commutative, we can find  $\lambda_{\pi_p} : \mathcal{H}_p \rightarrow \mathbb{C}$  such that  $\pi_p(f)v = \lambda_{\pi_p}(f)v$  for all nonzero spherical vector  $v$  and  $f \in \mathcal{H}_p$ . (c.f. [Lemma 12.1](#).)

**Lemma 12.12.** Suppose there exists  $C > 0$  such that

$$|\lambda_{\pi_p}(f)| \leq C \int_{G(\mathbb{Q}_p)} f(g) dg$$

for all  $f \in \mathcal{H}_p$ . Then  $|\chi_1 \chi_2(p)| = 1$  and

$$p^{-\frac{1}{2}} = |p|^{\frac{1}{2}} \leq |\chi_i(p)| \leq |p|^{-\frac{1}{2}} = p^{\frac{1}{2}}$$

for  $i = 1, 2$ .

*Proof.* Define

$$T_n = \mathbb{I} \begin{pmatrix} p^n & \\ & 1 \end{pmatrix}_K, \quad n \in \mathbb{N}_0$$

$$R_n = \mathbb{I} \begin{pmatrix} p^n & \\ & p^n \end{pmatrix}_K = \mathbb{I} \begin{pmatrix} p^n & \\ & p^n \end{pmatrix}_K, \quad n \in \mathbb{Z}$$

Then  $T_n, R_n \in \mathcal{H}_p$ , and if we put  $\alpha_i = \chi_i(p)$ ,  $i = 1, 2$ , we have

$$\lambda_{\pi_p}(T_n) = |p|^{-\frac{n}{2}} (\alpha_1^n + \alpha_2^n)$$

$$\lambda_{\pi_p}(R_n) = (\alpha_1 \alpha_2)^n$$

To check this, we take  $(\pi, V) = (\rho, I(\chi_1, \chi_2))$ ,  $I(\chi_1, \chi_2)^K = \mathbb{C}f_0$ , where  $f_0 \in I(\chi_1, \chi_2)$  is the unique element such that  $f_0(bk) = \chi \delta_B^{\frac{1}{2}}(b)$  for all  $b \in B(\mathbb{Q}_p)$ ,  $k \in K = \mathrm{GL}_2(\mathbb{Z}_p)$ . Since  $\pi(T_n)f_0(e) = \lambda_{\pi_p}(T_n)f_0(e)$ , we have

$$\begin{aligned} \lambda_{\pi_p}(T_n) &= \int_{G(\mathbb{Q}_p)} T_n(g) f_0(g) dg \\ &= \sum_{x \in \mathbb{Z}_p/p^n \mathbb{Z}_p} f_0 \begin{pmatrix} p^n & x \\ 0 & 1 \end{pmatrix} + f_0 \begin{pmatrix} 1 & \\ & p^n \end{pmatrix} \\ &= \alpha_1^n |p^n|^{\frac{1}{2}} p^n + \alpha_2^n |p^n|^{-\frac{1}{2}} \\ &= |p^n|^{-\frac{1}{2}} (\alpha_1^n + \alpha_2^n) \end{aligned}$$

Here the measure  $dg$  is normalized so that  $\mathrm{vol}(K, dg) = 1$ , and we use the decomposition (c.f. [Homework 5](#))

$$K \begin{pmatrix} p^n & \\ & 1 \end{pmatrix} K = \bigsqcup_{x \in \mathbb{Z}_p/p^n \mathbb{Z}_p} \begin{pmatrix} p^n & x \\ 0 & 1 \end{pmatrix} K \sqcup \begin{pmatrix} 1 & 0 \\ 0 & p^n \end{pmatrix} K$$

The identity for  $R_n$  can be proved similarly. Now by assumption, we have

$$|\lambda_{\pi_p}(T_n)| \leq C \int_{G(\mathbb{Q}_p)} T_n(g) dg = C(p^n + 1)$$

$$|\lambda_{\pi_p}(R_n)| \leq C \int_{G(\mathbb{Q}_p)} R_n(d) gd = C$$

Therefore,

$$|\alpha_1^n + \alpha_2^n| \leq C(p^{\frac{n}{2}} + p^{-\frac{n}{2}}) \text{ for } n \in \mathbb{N}_0$$

$$|\alpha_1 \alpha_2|^n \leq C \text{ for } n \in \mathbb{Z}$$

The second inequalities imply  $|\alpha_1 \alpha_2| = 1$ . We claim the first imply  $p^{-\frac{1}{2}} \leq |\alpha_i| \leq p^{\frac{1}{2}}$ . For this, form the formal power series

$$f(z) = \sum_{n=0}^{\infty} (\alpha_1^n + \alpha_2^n) z^n = \frac{1}{1 - \alpha_1 z} + \frac{1}{1 - \alpha_2 z}$$

The first inequalities imply the power series is absolutely convergent for  $|z| < p^{-\frac{1}{2}}$ , and the last expression implies  $|\alpha_i| \leq p^{\frac{1}{2}}$ . Since  $|\alpha_1 \alpha_2| = 1$ , this proves the claim.  $\square$



*Proof.* (of [Proposition 12.11](#)) Say  $\pi \cong \bigotimes_{p \leq \infty}' \pi_p$ . Let  $S$  be a finite set of primes such that  $\pi_p$  is NOT spherical.

Replacing  $\pi$  by  $\pi \otimes |\det|^{-\frac{f}{2}}$ , we may assume  $\omega$  is unitary.

Since  $\pi$  is automorphic,  $\pi$  has a realization  $(\rho, V) \subseteq \mathcal{A}_0(G)$ . Choose  $0 \neq \phi \in V$  that is fixed by  $\mathrm{GL}_2(\mathbb{Z}_p)$  for all  $p \notin S$ . Then for all  $f \in \mathcal{H}_p$ ,  $p \notin S$ ,  $\pi(f)\phi = \lambda_{\pi_p}(f)\phi$ . Choose  $g_0 \in G(\mathbb{A})$  with  $\phi(g_0) \neq 0$ . Then

$$\lambda_{\pi_p}(f)\phi(g_0) = \int_{G(\mathbb{Q}_p)} \phi(g_0 g_p) f(g_p) dg_p$$

Since  $\phi$  is a cusp form,  $\phi$  is bounded on  $G(\mathbb{A})$  by [Proposition 12.6](#) (the case  $m = 0$ ) so that

$$|\lambda_{\pi_p}(f)| \leq C \int_{G(\mathbb{Q}_p)} f(g_p) dg_p$$

for some  $C$ . By [Lemma 12.12](#), for  $p \notin S$  if we write  $\pi_p \cong \pi(\chi_{1,p}, \chi_{2,p})$ , then  $p^{-\frac{1}{2}} \leq |\chi_{i,p}(p)| \leq p^{\frac{1}{2}}$  ( $i = 1, 2$ ). For  $p \in S$ ,

$$L(s, \pi_p) = \frac{1}{(1 - \chi_{1,p}(p)p^{-s})(1 - \chi_{2,p}(p)p^{-s})}$$

so that

$$L(s, \pi) = \prod_{p \in S} L(s, \pi_p) \cdot \prod_{p \notin S} \prod_{i=1}^2 \frac{1}{1 - \chi_{i,p}(p)p^{-s}}$$

Note that  $\prod_{p \notin S} \frac{1}{1 - \chi_{i,p}(p)p^{-s}}$  converges absolutely if  $|\chi_{i,p}(p)p^{-\mathrm{Re}(s)}| < p^{-1}$ . For  $p \notin S$ ,  $p^{-\frac{1}{2}} \leq |\chi_{i,p}(p)| \leq p^{\frac{1}{2}}$  ( $i = 1, 2$ ) implies  $|\chi_{i,p}(p)p^{-\mathrm{Re}(s)}| < p^{\frac{1}{2} - \mathrm{Re}(s)}$ . Thus if  $\mathrm{Re}(s) > \frac{3}{2}$ , the product  $L(s, \pi)$  converges absolutely.  $\square$

## 12.7 Zeta function for cusp forms

Let  $(\pi, V_\pi)$  be an irreducible automorphic cuspidal representation of  $G(\mathbb{A})$  with central character  $\omega$ ; we assume  $V_\pi \subseteq \mathcal{A}_0(G)$ . For  $\phi \in V_\pi$ , define the **zeta integral**

$$Z(\phi, s) = \int_{\mathbb{Q}^\times \backslash \mathbb{A}^\times} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a$$

and  $\hat{\phi}(g) := \phi(gw)\omega^{-1}(\det g)$ , where  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \in G(\mathbb{Q})$ ; then  $\hat{\phi} \in V_{\pi^\vee}$ . ???

**Proposition 12.13.**

1.  $Z(\phi, s)$  converges absolutely for  $\mathrm{Re}(s) \gg 0$ , has analytic continuation to an entire function and is bounded in every vertical strip.
2.  $Z(\phi, s)$  satisfies the functional equation

$$Z(\phi, s) = Z(\hat{\phi}, 1 - s)$$

*Proof.*

$$\begin{aligned} Z(\phi, s) &= \int_{\mathbb{Q}^\times \backslash \mathbb{A}^\times} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a \\ &= \int_{|a|>1} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a + \int_{|a|<1} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a \end{aligned}$$

On the other hand,

$$\phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) = \phi \left( w^{-1} \begin{pmatrix} 1 & 0 \\ 0 & a \end{pmatrix} w \right) = \phi \left( \begin{pmatrix} 1 & 0 \\ 0 & a \end{pmatrix} w \right) = \omega(a) \phi \left( \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} w \right) = \widehat{\phi} \left( \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} w \right)$$

so

$$\int_{|a|<1} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a = \int_{|a|>1} \widehat{\phi} \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{\frac{1}{2}-s} d^\times a$$

In sum, we obtain

$$Z(\phi, s) = \int_{|a|>1} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a + \int_{|a|>1} \widehat{\phi} \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{\frac{1}{2}-s} d^\times a$$

Since  $\phi$  and  $\widehat{\phi}$  are cuspidal, they are rapidly decreasing [Proposition 12.6](#). Thus

$$\left| \int_{|a|>1} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a \right| \leq C \int_1^\infty t^{s-n-\frac{1}{2}} d^\times t$$

for some  $n \gg 0$  and  $C = C_n > 0$ . Similar for the second integral. In conclusion, both integral converges absolutely and define entire functions for  $s \in \mathbb{C}$ , and thus  $Z(\phi, s)$  is entire and verifies the functional equation.  $\square$

## 12.8 Whittaker functions

Let  $(\pi, V_\pi)$  be as in the last subsection. For all  $p \leq \infty$ , fix a nonzero Whittaker functional  $\ell_p : V_{\pi_p} \rightarrow \mathbb{C}$ . Let  $S$  be the finite set of primes such that  $\pi_p$  is not spherical. For  $p \notin S$ , we require  $\ell_p(\xi_p^\circ) = 1$ , where  $\xi_p^\circ$  is a fixed basis element of  $V_{\pi_p}^{\text{GL}_2(\mathbb{Z}_p)}$ .

**Lemma 12.14.** If  $\ell : V_\pi \rightarrow \mathbb{C}$  is a global Whittaker function, then  $\ell = C \prod_{p \leq \infty} \ell_p$  for some  $C \in \mathbb{C}$ .

**Corollary 12.14.1.** Let  $\pi \cong \bigotimes_{p \leq \infty}' \pi_p$  be cuspidal irreducible. For all  $p \leq \infty$  we have the isomorphism

$$\begin{aligned} V_{\pi_p} &\longrightarrow W(\pi_p, \psi_p) \\ \xi_p &\longmapsto W_{\xi_p} \end{aligned}$$

where  $W(\pi_p, \psi_p)$  is the Whittaker model of  $\pi_p$ . Then there exist an isomorphism

$$\begin{aligned} \bigotimes_{p \leq \infty}' V_{\pi_p} &\longrightarrow V_\pi \subseteq \mathcal{A}_0(G) \\ \bigotimes_p \xi_p &\longleftarrow \phi \end{aligned}$$

such that  $W_\phi(g) = \prod_{p \leq \infty} W_{\xi_p}(g_p)$  for all  $g = (g_p)_p \in G(\mathbb{A})$ .

Now for  $\phi \in \mathcal{A}_0(G)$ , since  $\phi_N = 0$ , we have

$$\begin{aligned} Z(\phi, s) &= \int_{\mathbb{Q}^\times \backslash \mathbb{A}^\times} \phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a = \int_{\mathbb{Q}^\times \backslash \mathbb{A}^\times} \sum_{\alpha \in \mathbb{Q}^\times} W_\phi \left( \begin{pmatrix} \alpha a & 0 \\ 0 & 1 \end{pmatrix} \right) |a\alpha|^{s-\frac{1}{2}} d^\times a \\ &= \int_{\mathbb{A}^\times} W_\phi \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} \right) |a|^{s-\frac{1}{2}} d^\times a \\ &= \prod_{p \leq \infty} \int_{\mathbb{Q}_p^\times} W_{\xi_p} \left( \begin{pmatrix} a_p & 0 \\ 0 & 1 \end{pmatrix} \right) |a_p|^{s-\frac{1}{2}} d^\times a_p = \prod_{p \leq \infty} Z(W_{\xi_p}, s) \end{aligned}$$

For each  $p \leq \infty$  we can find  $W_{\xi_p} \in W(\pi_p, \psi_p)$  such that  $Z(W_{\xi_p}, s) = L(s, \pi_p)$ . Thus there exists  $\phi \in V_\pi$  such that  $Z(\phi, s) = L(s, \pi)$ , and consequently  $L(s, \pi)$  admits an analytic continuation to  $s \in \mathbb{C}$  with functional equation

$$L(1-s, \pi^\vee) = \epsilon(s, \pi)L(s, \pi)$$

where  $\epsilon(s, \pi) := \prod_{p \leq \infty} \epsilon(s, \pi_p, \psi_p)$  is the product of all local  $\epsilon$ -factors.

## 12.9 The Converse Theorem

Let  $F$  be a number field and let  $\pi \cong \bigotimes_\nu \pi_\nu$  be an irreducible admissible representation of  $\mathrm{GL}_2(\mathbb{A}_F)$  with each  $\pi_\nu$  infinite dimensional. Suppose the central character of  $\pi$  is a Hecke character  $\omega : F^\times \backslash \mathbb{A}_F^\times \rightarrow \mathbb{C}^\times$  of weight  $\rho \in \mathbb{R}$ .

**Theorem 12.15.** Suppose there exists  $r \in \mathbb{R}$  such that for almost all places  $\nu$  with  $\pi_\nu = \pi(\chi_{1,\nu}, \chi_{2,\nu})$  we have

$$|\pi_\nu|^{-r} \leq |\chi_{i,\nu}(\pi)| \leq |\pi_\nu|^r \quad (i = 1, 2)$$

where  $\pi_\nu$  is a uniformizer in  $F_\nu$ . Suppose that for all unitary Hecke characters  $\chi : F^\times \backslash \mathbb{A}_F^\times \rightarrow S^1$  the infinite product

$$L(s, \pi \otimes \chi) = \prod_\nu L(s, \pi_\nu \otimes \chi_\nu)$$

converges absolutely for  $\mathrm{Re} s \gg 0$ , EBV and satisfies the functional equation

$$L(s, \pi \otimes \chi) = \epsilon(s, \pi \otimes \chi)L(1-s, \pi^\vee \otimes \chi^{-1})$$

Then  $\pi$  is cuspidal.

For each Whittaker function  $W \in W_\psi(\pi)$ , define the series

$$\varphi_1(g) = \varphi_W(g) := \sum_{\alpha \in F^\times} W \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

We will show later that  $\varphi_1$  converges absolutely and compactly on  $\mathrm{GL}_2(\mathbb{A}_F)$ , and the map

$$a \mapsto \varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

is slowly decreasing for each fixed  $g \in G(\mathbb{A}_F)$ . Taking these for granted, we then see for each  $g \in G(\mathbb{A}_F)$ , the zeta integral

$$Z(\varphi_1, s, g) := \int_{F^\times \backslash \mathbb{A}_F^\times} \varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right) |a|^s d^\times a$$

converges absolutely for  $\mathrm{Re} s \gg 0$ . We proceed to show  $\varphi_1$  is an automorphic form. Since the standard character  $\psi$  is trivial on  $F$ , we have

$$\varphi_1 \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) = \sum_{\alpha \in F^\times} W \left( \begin{pmatrix} 1 & \alpha x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right) = \sum_{\alpha \in F^\times} \psi(\alpha x) W \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right) = \varphi_1(g)$$

By construction,  $\varphi_1$  is invariant under the left translation by the  $\begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix}$ ,  $\alpha \in F^\times$ . For  $a \in \mathbb{A}_F^\times$ , since

$$\varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} g \right) = \sum_{\alpha \in F^\times} W \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} g \right) = \omega(a) \varphi_1(g)$$

if  $a \in F^\times$ , then  $\varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} g \right) = \varphi_1(g)$ . So far we have shown that  $\varphi_1(bg) = \varphi_1(g)$  for all  $b \in B(F)$ . It remains to show  $\varphi_1(wg) = \varphi_1(g)$ , where  $w = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . For this we put  $\varphi_2(g) = \varphi_1(wg)$  and define

$$f_1(a) := \varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right), \quad f_2(a) := \varphi_2 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

Let  $\chi$  be a unitary Hecke character of  $F$  and consider the zeta integrals

$$Z(f_i, \chi, s) := \int_{F^\times \backslash \mathbb{A}^\times} f_i(a) \chi(a) |a|^{s-\frac{1}{2}} d^\times a$$

We have

$$f_2(a) = \varphi_1 \left( w \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right) = \omega(a) \varphi_1 \left( \begin{pmatrix} a^{-1} & 0 \\ 0 & 1 \end{pmatrix} wg \right)$$

and thus

$$\begin{aligned} Z_1(s) &= Z(f_1, \chi, s) = \int_{F^\times \backslash \mathbb{A}^\times} \varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right) \chi(a) |a|^{s-\frac{1}{2}} d^\times a \\ Z_2(s) &= Z(f_2, \chi, s) = \int_{F^\times \backslash \mathbb{A}^\times} \varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} wg \right) \omega^{-1} \chi^{-1}(a) |a|^{\frac{1}{2}-s} d^\times a \end{aligned}$$

Unfolding, we have

$$Z(f_1, \chi, s) = \int_{\mathbb{A}^\times} W \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right) \chi(a) |a|^{s-\frac{1}{2}} d^\times a$$

??? We can find  $c \gg 0$  such that  $Z(f_1, \chi, s)$  (resp.  $Z(f_2, \omega^{-1} \chi^{-1}, 1-s)$ ) converges absolutely whenever  $\operatorname{Re} s > c$  (resp.  $\operatorname{Re} s < -c$ ), and are bounded in vertical strips in  $\operatorname{Re} s > c$  (resp.  $\operatorname{Re} s < c$ ).

**Lemma 12.16.** Let  $\nu$  be a finite place of  $F$  such that  $\pi_\nu$  is spherical principal and the additive character  $\psi_\nu$  is unramified. If  $W_\nu^\circ$  is the unique spherical Whittaker function normalized so that  $W_\nu^\circ(e) = 1$ , then for each unitary character  $\chi_\nu : F_\nu^\times \rightarrow S^1$ , we have

$$Z(W_\nu^\circ, \chi_\nu, s) = L(s, \pi_\nu \otimes \chi_\nu)$$

Let us assume  $W = \prod_\nu W_\nu$ , and let  $S$  be a finite set of finite places such that  $\pi_\nu, \chi_\nu, \psi_\nu$  are unramified,  $g_\nu \in K_\nu$  and  $W_\nu = W_\nu^\circ$  for  $\nu \notin S$ . For  $\operatorname{Re} s > c$ ,

$$Z_1(s) = \prod_\nu Z(W_\nu, \chi_\nu, s) = L(s, \pi \otimes \chi) \prod_\nu \frac{Z(W_\nu, \chi_\nu, s)}{L(s, \pi_\nu \otimes \chi_\nu)} = L(s, \pi \otimes \chi) \prod_{\nu \in S} \frac{Z(W_\nu, \chi_\nu, s)}{L(s, \pi_\nu \otimes \chi_\nu)}$$

and for  $\operatorname{Re} s < -c$ ,

$$Z_2(s) = L(1-s, \pi^\vee \otimes \chi^{-1}) \prod_\nu \frac{Z(W_\nu, \omega^{-1} \chi_\nu^{-1}, 1-s)}{L(1-s, \pi_\nu^\vee \otimes \chi_\nu^{-1})} = L(1-s, \pi^\vee \otimes \chi^{-1}) \prod_{\nu \in S} \frac{Z(W_\nu, \omega^{-1} \chi_\nu^{-1}, 1-s)}{L(1-s, \pi_\nu^\vee \otimes \chi_\nu^{-1})}$$

By assumptions on  $L$ -functions, it follows that  $Z_1$  has an analytic continuation to some entire function in  $s$ . Recall for  $\nu \notin S$ , the epsilon factor  $\epsilon(s, \pi_\nu \otimes \chi_\nu, \psi_\nu) = 1$ . By the functional equation

$$\frac{Z(W_\nu, \chi_\nu^{-1} \omega_\nu^{-1}, 1-s, wg_\nu)}{L(1-s, \pi_\nu^\vee \otimes \chi_\nu^{-1})} = \epsilon(s, \pi_\nu \otimes \chi_\nu, \psi_\nu) \frac{Z(W_\nu, \chi_\nu, s, g_\nu)}{L(s, \pi_\nu \otimes \chi_\nu)}$$

we have

$$\begin{aligned} Z_2(s) &= L(1-s, \pi^\vee \otimes \chi^{-1}) \epsilon(s, \pi \otimes \chi, \psi) \prod_{\nu \in S} \frac{Z(W_\nu, \chi_\nu, s)}{L(s, \pi_\nu \otimes \chi_\nu)} \\ &= L(s, \pi \otimes \chi) \prod_{\nu \in S} \frac{Z(W_\nu, \chi_\nu, s)}{L(s, \pi_\nu \otimes \chi_\nu)} = Z_1(s) \end{aligned}$$

Therefore  $Z_1$  and  $Z_2$  extend to the same entire function  $Z$ , and  $Z$  is bounded in vertical strips for  $\operatorname{Re} s > c$  or  $\operatorname{Re} s < -c$ . We have

$$Z(s) = L(s, \pi \otimes \chi) \prod_{\nu \in S} \frac{Z(W_\nu, \chi_\nu, s)}{L(s, \pi_\nu \otimes \chi_\nu)}$$

which is valid for every  $s \in \mathbb{C}$ .  $L(s, \pi \otimes \chi)$  is assumed to be EBV, and for each finite place  $\nu$  in  $S$ , the ratio is a polynomial in  $(\#\kappa(\nu))^{\pm s}$ , so it is also EBV. As for the infinite place  $\nu$  in  $S$ , the ratio is a product of polynomials and Gamma functions, so by Stirling's formula and the Phragmen-Lindelöf principle,  $Z$  is bounded in vertical strips for  $-c \leq \operatorname{Re} s \leq c$ .

Note that  $f_1$  and  $f_2$  descend to a map on  $\mathbb{A}_F^\times / F^\times$ . To show  $f_1 = f_2$ , it suffices to show that  $f_1(tx) = f_2(tx)$  for all  $t \in (\mathbb{A}_F^\times)^0 / F^\times$  and  $x \in \mathbb{A}_F^\times$ . Since  $(\mathbb{A}_F^\times)^0 / F^\times$  is compact, it suffices to show  $t \mapsto f_1(tx)$  and  $t \mapsto f_2(tx)$  have same Fourier expansions. To show this, for each character  $\chi : (\mathbb{A}_F^\times)^0 / F^\times \rightarrow \mathbb{C}^\times$ , put

$$g_i(x) = \widehat{f}_i(x, \chi) = \chi(x) \int_{(\mathbb{A}_F^\times)^0 / F^\times} f_i(tx) \chi(t) d^\times t \quad (i = 1, 2)$$

$g_1$  and  $g_2$  are functions on  $\mathbb{A}_F^\times / (\mathbb{A}_F^\times)^0 \cong \mathbb{R}_{>0} \cong \mathbb{R}$ , and we need to show  $g_1 = g_2$ . Since  $Z_1(f_1, \chi, s) = Z_2(f_2, \chi, s)$ , we have

$$\int_{\mathbb{R}} h_1(x) e^{sx} dx = \int_{\mathbb{R}} h_2(x) e^{sx} dx \quad (= Z(s))$$

where  $h_i(x) := g_i(e^x)$ . Pick  $g \in C_c^\infty(\mathbb{R})$  and consider the convolution  $g * h_i$ . Then  $\widehat{g * h_i}^{\text{La}} = \widehat{g}^{\text{La}} \widehat{h_i}^{\text{La}}$ , and by the inversion formula we have

$$g * h_i(x) = \frac{1}{2\pi i} \int_{b-i\infty}^{b+i\infty} \widehat{h_i}^{\text{La}}(s) \widehat{g}^{\text{La}}(s) e^{-sx} ds \quad (\spadesuit)$$

where  $b > c$  if  $i = 1$  and  $b < -c$  if  $i = 2$ . Look at  $\widehat{g}(s)$ . If we write  $s = \sigma + it$ , then

$$\widehat{g}^{\text{La}}(\sigma + it) = \int_{\mathbb{R}} g(x) e^{x(\sigma+it)} dx = \int_{\mathbb{R}} g(x) e^{x\sigma} e^{itx} dx = \widehat{g(x)e^{x\sigma}}^{\text{Fourier}}(t)$$

It follows from Riemann-Lebesgue lemma that as  $\sigma$  lies in a fixed compact interval, the function  $\widehat{g}^{\text{La}}(\sigma + it)$  decays faster than any polynomial as  $t \rightarrow \pm\infty$ . Along with the fact that  $\widehat{h_i}^{\text{La}}$  is EBV, the Cauchy's integral formula implies that the integral in  $(\spadesuit)$  is independent of  $b$ . As a consequence, we have  $g * h_1 = g * h_2$  for all  $g \in C_c^\infty(\mathbb{R})$ , whence  $h_1 = h_2$ . So  $g_1 = g_2$ , and since  $\widehat{f}_1(x, \chi) = \widehat{f}_2(x, \chi)$  for all  $\chi \in (\mathbb{A}_F^\times)^0 / F^\times$ , we obtain  $f_1 = f_2$ . Therefore,

$$\varphi_1(wg) = \varphi_2(g) = f_2(1) = f_1(1) = \varphi_1(g)$$

In sum, we have proved that

$$\varphi_1(g) = \sum_{\alpha \in F^\times} W \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

is an automorphic form. We claim  $\varphi_1$  is in fact cuspidal, so we obtain a map

$$\begin{aligned} W_\psi(\pi) &\longrightarrow \mathcal{A}_0(G) \\ W &\longmapsto \varphi_W \end{aligned}$$

that intertwines the  $G$ -action by right translation. Indeed, the constant term of  $\varphi_W$  is

$$\int_{F \backslash \mathbb{A}_F} \varphi_W \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) dx = \sum_{\alpha \in F^\times} W \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right) \int_{F \backslash \mathbb{A}_F} \psi(\alpha x) dx = 0$$

(recall that  $F \backslash \mathbb{A}_F$  is compact) so  $\varphi_W$  is cuspidal. Finally, we have

$$\frac{1}{\text{vol}(F \backslash \mathbb{A}_F)} \int_{F \backslash \mathbb{A}_F} \varphi_W \left( \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} g \right) \psi(-\beta x) dx = W \left( \begin{pmatrix} \beta & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

if  $\beta \in F^\times$ , so  $W = 0$  if  $\varphi_W = 0$ .

It remains to show

$$\varphi_1(g) = \sum_{\alpha \in F^\times} W \left( \begin{pmatrix} \alpha & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

converges absolutely and compactly, and the map

$$a \mapsto \varphi_1 \left( \begin{pmatrix} a & 0 \\ 0 & 1 \end{pmatrix} g \right)$$

is slowly decreasing for  $g \in \Omega$ , where  $\Omega$  is any compact set in  $\text{GL}_2(\mathbb{A}_F)$ .

## References

[Lan02] Serge Lang. Algebra. Springer New York, 2002.